

1 **Title Page**

2 **Comprehensive analysis of adverse event profile changes with**
3 **pertuzumab addition to trastuzumab-based breast cancer therapy:**
4 **disproportionality analysis using VigiBase**

5

6 **Short Title: Pertuzumab addition and adverse events in breast cancer therapy**

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30 **Key words**

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32

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36

37 **Principal Investigator (PI) statement**

38 The authors confirm that the PI for this paper is Jun Matsumoto and that he is responsible for this research
39 and analysis.

40

41 **What is already known about this subject**

42 ● The addition of pertuzumab to trastuzumab-based therapy has become the standard treatment for
43 HER2-positive breast cancer.

44 ● There are concerns that pertuzumab may increase the risk of adverse events.

45 ● However, real-world safety information on pertuzumab remains limited.

46

47 **What this study adds**

48 ● We have comprehensively identified the safety profile of pertuzumab addition to trastuzumab-based
49 therapy using real-world data.

50 ● The addition of pertuzumab was associated with various adverse events, including gastrointestinal
51 disorders and infections.

52 ● These results are useful for treatment selection, considering the risk of adverse events in individual
53 patients.

54 **Abstract**

55 **Introduction:** Pertuzumab is used in combination with trastuzumab-based therapy for HER2-positive
56 breast cancer. However, real-world safety information on pertuzumab remains limited. This study assessed
57 the safety of adding pertuzumab to trastuzumab-based therapy for HER2-positive breast cancer using real-
58 world data.

59

60 **Methods:** VigiBase, the World Health Organization’s global database of adverse events (AEs), containing
61 reports from November 1967 to December 2023, was used. Signals for pertuzumab-associated AEs in breast
62 cancer cases were detected using the reporting odds ratio (ROR).

63

64 **Results:** Signals of trastuzumab plus pertuzumab relative to trastuzumab alone were detected in
65 gastrointestinal disorders (ROR: 1.45, 95% confidence interval: 1.26–1.67), including diarrhea (3.49, 2.83–
66 4.30); infections and infestations (1.54, 1.24–1.91); and skin and subcutaneous tissue disorders (ROR: 1.63,
67 1.40–1.90), including pruritus (1.96, 1.51–2.55) and rash (1.63, 1.20–2.23). Further, signals of trastuzumab
68 plus docetaxel plus pertuzumab relative to those of trastuzumab plus docetaxel were detected in
69 gastrointestinal disorders (1.63, 1.38–1.93), including nausea (1.72, 1.24–2.39) and vomiting (1.48, 1.01–
70 2.17), and in nervous system disorders (1.50, 1.20–1.87), including paresthesia (2.60, 1.33–5.08) and
71 peripheral sensory neuropathy (5.94, 1.79 – 19.71). The frequency of AEs causing or prolonging

72 hospitalization was increased with trastuzumab plus pertuzumab compared to that with trastuzumab alone

73 (1.18, 1.00–1.38).

74

75 **Conclusion:** AE profiles after the addition of pertuzumab to trastuzumab-based therapy were

76 comprehensively identified. The findings in this study highlight the importance of considering these AEs

77 when selecting pertuzumab combination therapy to ensure the safety of patients with breast cancer.

78 **1 Introduction**

79 Breast cancer is the most commonly diagnosed cancer worldwide, with approximately 2.3
80 million new cases and 685,000 deaths annually [1, 2]. It can be categorized into subtypes based on the
81 expression of hormone receptors (HR) and human epidermal growth factor receptor 2 (HER2): HR-
82 positive/HER2-negative, HER2-positive, and triple-negative [3]. Each subtype exhibits distinct biological
83 behaviors and responses to treatment, necessitating personalized therapeutic strategies. The HER2-positive
84 subtype, accounting for 15–20% of breast cancers, is characterized by aggressive tumor growth and poor
85 prognosis [4]. Treatment for HER2-positive breast cancer primarily involves HER2-targeted therapy, with
86 trastuzumab improving prognosis by inhibiting cell proliferation and promoting cytotoxicity [5–8].
87 Pertuzumab, used in combination with trastuzumab, binds to a distinct HER2 epitope, further enhancing
88 treatment efficacy [8]. This combination improves survival outcomes and is now the standard therapy for
89 HER2-positive breast cancer [9–11]. Recently, a subcutaneous formulation combining trastuzumab and
90 pertuzumab has been developed and has demonstrated non-inferiority to the intravenous administration of
91 each antibody separately, making combined treatment with trastuzumab and pertuzumab more convenient
92 [12].

93 In recent years, pertuzumab has been the commonly used antibody in the treatment of HER2-
94 positive breast cancer; however, concerns have been raised regarding the occurrence of adverse events
95 (AEs), including cardiotoxicity and hematotoxicity [9–11]. Balancing efficacy and safety is important in

96 cancer treatment. In particular, safety influences treatment continuity and, consequently, the treatment
97 outcome. Thus, analyzing the treatment safety and appropriately managing AEs is essential. As clinical
98 trials are rigorous and controlled, they include a limited and highly selected patient population, which may
99 not fully represent the diversity of patients encountered in routine clinical practice. Real-world data (RWD)
100 analysis using global pharmacovigilance databases, such as the World Health Organization's (WHO's)
101 VigiBase, allows for comprehensive safety assessments beyond clinical trial settings [13].

102 This study assessed the safety of adding pertuzumab to trastuzumab-based chemotherapy for
103 HER2-positive breast cancer using VigiBase data. By analyzing AE reports, we aim to identify potential
104 safety concerns and provide a comprehensive AE profile to minimize treatment interruptions through
105 proactive risk management.

106 **2 Methods**

107 **2.1 Data sources**

108 The analysis was conducted using VigiBase, the largest global database of AEs, managed by the
109 WHO. VigiBase has collected reports since November 1967, and this analysis includes data from over 35
110 million cases until December 2023. The study was exempt from Institutional Review Board approval
111 because it was an observational study that used fully anonymized patient data. This study was performed
112 referring to the REporting of A Disproportionality analysis for drUg Safety signal detection using individual
113 case safety reports in Pharmacovigilance (READUS-PV) guideline [14, 15].

114

115 **2.2 Data extraction**

116 The flowchart of the reported extraction process is shown in Fig. 1a. Duplicate reports were
117 excluded using an algorithm designed to detect duplicate reports [16, 17]. To improve the reliability of the
118 analysis by excluding incomplete data, reports lacking age and sex information were excluded. To minimize
119 the risk of confounding by cancer type, information from the drug indication field in the VigiBase dataset
120 was extracted, and only cases identified as breast cancer were included in the analysis. Reports involving
121 trastuzumab usage were identified, and those involving additional pertuzumab usage were extracted.
122 Furthermore, reports including anticancer agents other than trastuzumab, pertuzumab, and docetaxel were
123 excluded and analyzed as a subgroup. L01 (antineoplastic agents) and L02 (endocrine therapy) of the

124 Anatomical Therapeutic Chemical classification were extracted as anticancer agents. Information on age,
125 sex, region, reporter, reporting year, and severity was extracted from each report. Severity was defined as
126 Death, Life-threatening, Caused/Prolonged Hospitalization, Disabling/Incapacitating, Congenital
127 anomaly/Birth defect and Other. The flowchart of AE extraction is shown in Fig. 1b. AEs were defined
128 according to the Medical Dictionary for Regulatory Activities (MedDRA) version 26. MedDRA codes
129 reported for trastuzumab as a suspected agent in breast cancer were extracted, and those with three or more
130 counts were included in the analysis to exclude false positives while maintaining sensitivity [18]. AEs were
131 classified into primary System Organ Classes (SOCs) and Preferred Terms (PTs).

132

133 **2.3 Data analysis**

134 Disproportionality analysis is commonly used when analyzing AE databases to explore potential
135 associations between medicines and AEs. In this study, the signals of the SOCs or PTs following the use of
136 pertuzumab in breast cancer cases treated with trastuzumab were detected. The reporting odds ratio (ROR)
137 and 95% confidence interval (CI) were used as signal indicators. To calculate the ROR and 95% CI, reports
138 were divided into four groups: (a) the number of reports with AEs to be analyzed for which pertuzumab
139 was the suspected agent, (b) the number of reports with other AEs for which pertuzumab was the suspected
140 agent, (c) the number of reports with AEs to be analyzed for which pertuzumab was not the suspected agent,

141 and (d) the number of reports with other AEs for which pertuzumab was not the suspected agent. The ROR

142 and 95% CI were calculated using the following formulae:

143 $ROR = (a/b)/(c/d)$

144 $95\%CI = \exp\{\ln(ROR) \pm 1.96(1/a + 1/b + 1/c + 1/d)^{0.5}\}$

145 The ROR indicates the ratio of the fraction of reported AEs in which pertuzumab was the

146 suspected agent to the fraction of reported AEs in which pertuzumab was not reported as the suspected

147 agent. A signal of AEs associated with the combination of pertuzumab was considered to be detected if the

148 lower limit of the 95% CI was > 1 .

149 **3 Results**

150 **3.1 Descriptive analysis of the study population**

151 In total, 36,561,546 cases were reported in VigiBase as of December 2023 (Fig. 1a). After
152 excluding duplicate data and data with missing age and sex data, 28,584 reports related to trastuzumab use
153 in breast cancer cases were identified. Of these, 20,025 were in the without pertuzumab group, in which
154 pertuzumab was not reported, and 8,559 were in the with pertuzumab group, in which trastuzumab and
155 pertuzumab were reported together. The characteristics of the without pertuzumab and with pertuzumab
156 groups are summarized in Table 1. The age group of 45–64 years was the most common in both groups,
157 with 11,560 cases (57.73%) in the without pertuzumab group and 4,752 cases (55.52%) in the with
158 pertuzumab group. Most patients in both groups were female, 19,878 (99.27%) in the without pertuzumab
159 group and 8,486 (99.15%) in the with pertuzumab group. More than 90% of the cases in both groups were
160 reported in Europe, the Americas, and the Western Pacific Region. Physicians were the most common
161 reporters, with 9,108 (45.48%) in the without pertuzumab group and 5,298 (61.90%) in the with pertuzumab
162 group. Most reports in the without pertuzumab group were published before 2013 (5,096; 25.45%), whereas,
163 in the with pertuzumab group, the peak reporting year was 2019 (1,397; 16.32%).

164

165 **3.2 SOC signals with pertuzumab relative to those without pertuzumab**

166 The AEs included in this analysis were extracted and classified into 27 SOCs and 1,550 PTs (Fig.
167 1b). The results of the disproportionality analysis of AEs at the SOC level in the with pertuzumab group
168 relative to those in the without pertuzumab group are shown in Fig. 2. In the with pertuzumab group, signals
169 were detected in 11 SOCs, including blood and lymphatic system disorders (ROR: 1.51, 95% CI: 1.40–
170 1.64); eye disorders (1.26, 1.06–1.50); gastrointestinal disorders (1.70, 1.60–1.81); immune system
171 disorders (1.18, 1.02–1.36); infections and infestations (1.80, 1.65–1.97); injury, poisoning, and procedural
172 complications (1.79, 1.62–1.98); investigations (1.14, 1.06–1.23); metabolism and nutrition disorders (1.61,
173 1.43–1.81); nervous system disorders (1.18, 1.10–1.27); renal and urinary disorders (1.29, 1.07–1.56); and
174 reproductive system and breast disorders (1.82, 1.41–2.36).

175

176 **3.3 Anticancer agents reported simultaneously with trastuzumab-based therapy**

177 We further analyzed the antineoplastic agents and endocrine therapies reported simultaneously
178 with trastuzumab and pertuzumab (Fig. 3). The results showed that 6,826 (34.09%) patients in the without
179 pertuzumab group (trastuzumab-only group) and 1,600 (18.69%) patients in the with pertuzumab group
180 (trastuzumab + pertuzumab group) had no anticancer agents reported simultaneously, indicating that other
181 agents were used more frequently in the with pertuzumab group. The most frequently used concomitant
182 chemotherapy was docetaxel, with 6,085 (30.39%) patients in the without pertuzumab group and 4,350
183 (50.82%) in the with pertuzumab group, indicating more than 20% higher use in the with pertuzumab group.

184 Therefore, the AE signals shown in Fig. 2 were considered to be influenced by the differences in
185 concomitant chemotherapy. To detect the AE signals without the effects of concomitant chemotherapy, a
186 subgroup analysis was conducted using reports without other anticancer agents.

187

188 **3.4 SOC signals for subgroups with pertuzumab relative to those in subgroups without pertuzumab**

189 The results of the disproportionality analysis at the SOC level, excluding reports on other
190 anticancer agents, are shown in Fig. 4a. Signals were detected in the trastuzumab + pertuzumab group for
191 gastrointestinal disorders (1.45, 1.26–1.67); infections and infestations (1.54, 1.24–1.91); injury, poisoning
192 and procedural complications (1.59, 1.29–1.97); and metabolism and nutrition disorders (1.38, 1.01–1.88)
193 relative to those in the trastuzumab-only group. New signals that were not detected in the overall analysis
194 were detected in neoplasms benign, malignant and unspecified neoplasms (including cysts and polyps)
195 (1.60, 1.21–2.11) and in skin and subcutaneous tissue disorders (1.63, 1.40–1.90). We also analyzed the
196 trastuzumab + docetaxel (n = 1,410) and trastuzumab + docetaxel + pertuzumab (n = 1,999) subgroups with
197 the addition of docetaxel, which was most frequently reported simultaneous treatment (Fig. 4b). Consistent
198 with the results of the subgroup without docetaxel, signals were detected in the trastuzumab + docetaxel +
199 pertuzumab group for gastrointestinal disorders (1.63, 1.38–1.93); infections and infestations (1.47, 1.16–
200 1.86); injury, poisoning, and procedural complications (2.63, 1.74–3.96); metabolism and nutrition
201 disorders (2.09, 1.42–3.08); and neoplasms benign, malignant, and unspecified neoplasms (including cysts

202 and polyps) (2.12, 1.30–3.46). Signals for investigations (1.83, 1.42–2.36) and nervous system disorders
203 (1.50, 1.20–1.87) were also detected in the trastuzumab + docetaxel + pertuzumab group.

204

205 **3.5 PT signals for subgroups with pertuzumab relative to those in subgroups without pertuzumab**

206 Furthermore, a disproportionality analysis was performed for PTs in the SOCs for which a signal
207 was detected in each subgroup to identify more detailed AE categories (Tables 2 and 3). In the trastuzumab
208 + pertuzumab group, signals were detected for PTs, including diarrhea (3.49, 2.83–4.30), nasopharyngitis
209 (1.91, 1.05–3.44), dehydration (3.68, 1.70–7.96), alopecia (2.00, 1.31–3.05), dry skin (3.16, 1.58–6.32),
210 pruritus (1.96, 1.51–2.55), and rash (1.63, 1.20–2.23), relative to those in the trastuzumab-only group (Table
211 2). In the trastuzumab + docetaxel + pertuzumab group, signals were detected for PTs, including diarrhea
212 (2.37, 1.88–2.99), nausea (1.72, 1.24–2.39), vomiting (1.48, 1.01–2.17), decreased neutrophil count (5.67,
213 2.57–12.49), and peripheral sensory neuropathy (5.94, 1.79–19.71) relative to those in the trastuzumab +
214 docetaxel group (Table 3). Although signals were not detected at the SOC level, they were also detected
215 for PTs, including febrile neutropenia (3.00, 1.14–7.88) and left ventricular dysfunction (2.50, 1.29–4.85)
216 in the trastuzumab + pertuzumab group (Table S1) and for febrile neutropenia (1.29, 1.00–1.67) and rash
217 (1.46, 1.01–2.12) in the trastuzumab + docetaxel + pertuzumab group (Table S2).

218

219 **3.6 Difference in serious AEs with and without pertuzumab**

220 The difference in the severity of AEs between the without pertuzumab and with pertuzumab
221 groups was also analyzed. Analysis of all serious classifications revealed no difference in the frequency of
222 AEs reported as serious between the without pertuzumab and with pertuzumab groups, both overall and in
223 the subgroups (Figs. 5a–5c). A detailed analysis of severity revealed signals of Caused/Prolonged
224 Hospitalization in the with pertuzumab group (1.25, 1.17–1.33) and the trastuzumab + pertuzumab
225 subgroup (1.18, 1.00 – 1.38) (Figs. 5a and 5b). Disproportionality analysis for AEs reported as
226 Caused/Prolonged Hospitalization revealed SOC signals for gastrointestinal disorders (1.51, 1.08–2.12),
227 investigations (1.48, 1.01–2.18), and metabolism and nutrition disorders (1.92, 1.06–3.47) in the
228 trastuzumab + pertuzumab group relative to those in the trastuzumab-only group (Fig. 5d). Signals,
229 including diarrhea (2.52, 1.53–4.15) and dehydration (4.29, 1.76–10.48), were detected in the trastuzumab
230 + pertuzumab group at the PT level (Table S3).

231 **4 Discussion**

232 To the best of our knowledge, this is the first study to comprehensively identify the differences
233 in AE profiles after adding pertuzumab to trastuzumab-based chemotherapy for HER2-positive breast
234 cancer using RWD. In this study, a bias in concomitant chemotherapy was observed between the group
235 without pertuzumab and the group with pertuzumab (Fig. 3). Consequently, the detected AE signals were
236 likely influenced by other concomitantly reported agents rather than by the addition of pertuzumab itself
237 (Fig. 2). To minimize the influence of these agents, cases involving additional anticancer agents were
238 excluded. This approach allowed for clearer comparisons between trastuzumab alone and trastuzumab +
239 pertuzumab, as well as between trastuzumab + docetaxel and trastuzumab + docetaxel + pertuzumab (Fig.
240 4). As a result, the observed signals were more likely attributable to pertuzumab addition, providing a more
241 accurate representation of changes in the safety profile. Clinical trials, including APHINITY, CLEOPATRA,
242 and NEOSPHERE, have reported increased rates of diarrhea and rash with pertuzumab addition [9–11].
243 Our study also detected these signals (Tables 2, 3, and S2), aligning with clinical practice. A previous
244 pharmacovigilance study on pertuzumab using the Food and Drug Administration Adverse Event Reporting
245 System by Zou et al. identified AE signals, including myelosuppression and cardiotoxicity [19]. However,
246 pertuzumab-associated AEs were detected relative to all reports. In clinical settings, as pertuzumab is not
247 administered alone but is always administered in combination with trastuzumab or other chemotherapies,
248 including docetaxel, these results are likely attributed to trastuzumab or concomitant chemotherapy. Our

249 study focused on the safety of pertuzumab in addition to trastuzumab relative to that with trastuzumab alone.
250 Therefore, our results complement those of Zou et al. and demonstrate the safety profile of pertuzumab
251 from a more clinical point of view.

252 HER2-targeted therapy is expanding to other cancers [20–22]. Although pertuzumab did not
253 improve survival in gastric cancer, it showed benefits in colorectal cancer [23, 24]. As its use broadens,
254 continuous safety monitoring remains essential. HER2-targeted agents, including trastuzumab and
255 pertuzumab, are associated with cardiotoxicity due to HER2’s role in cardiomyocyte function [25–27].
256 Previous pharmacovigilance studies detected cardiotoxicity signals for both drugs [26]. In our study, left
257 ventricular dysfunction was identified at the PT level in the trastuzumab + pertuzumab group (Table S1).
258 However, clinical trials have reported no increased cardiotoxicity risk with the addition of pertuzumab [28,
259 29]. Although the overall risk appears low, continued monitoring is necessary. Signals for skin and
260 subcutaneous tissue disorders were observed in the trastuzumab + pertuzumab group (Fig. 4a and Table 2),
261 consistent with previous reports [19]. HER2 in keratinocytes plays a role in maintaining skin function [30,
262 31], and dual inhibition by trastuzumab and pertuzumab may exacerbate skin-related AEs. The recently
263 developed subcutaneous trastuzumab-pertuzumab formulation may alter AE profiles, warranting further
264 study. Additionally, signals for infections and infestations were detected (Figs. 2 and 4), including febrile
265 neutropenia (Tables S1 and S2), highlighting the need for careful monitoring. Although clinical trials have
266 not reported an increased risk of febrile neutropenia [29], vigilance for blood toxicity-related AEs remains

267 necessary. Currently, the combination of trastuzumab, docetaxel, and pertuzumab is the optimal first-line
268 therapy for HER2-positive breast cancer [32]. Our data revealed signals of gastrointestinal disorders,
269 including nausea and vomiting; increased alanine aminotransferase (ALT) and aspartate aminotransferase
270 (AST); and nervous system disorders, including paresthesia and peripheral sensory neuropathy in the
271 trastuzumab + docetaxel + pertuzumab group relative to those in the trastuzumab + docetaxel group. These
272 AEs commonly occur with docetaxel, and its combination with pertuzumab may further enhance the
273 toxicity of docetaxel [33]. Therefore, in cases of triple therapy with trastuzumab, docetaxel, and pertuzumab,
274 strengthening anti-nausea measures and monitoring the occurrence of hepatic dysfunction and neuropathy
275 relative to trastuzumab plus docetaxel therapy may be necessary.

276 The SOC signals of injury, poisoning, and procedural complications detected in this study cannot
277 be directly associated with pertuzumab (Figs. 2 and 4). PT for Infusion-related reaction was detected (Table
278 3), which should be considered when administering antibody agents. Additionally, a signal for benign,
279 malignant, and unspecified neoplasms may indicate an increased incidence of brain metastases. Brain
280 metastases occur in 30–55% of HER2-positive breast cancers [34], with trastuzumab prolonging survival
281 while increasing the risk of brain metastases [35–37]. Pertuzumab may further extend survival, potentially
282 elevating this risk. Since trastuzumab and pertuzumab have limited blood-brain barrier penetration,
283 combination therapy with tyrosine kinase inhibitors, including lapatinib, tucatinib, and pyrotinib, has been
284 reported to be effective in controlling brain metastases [38–40].

285 The results of the severity analysis showed that no signals were detected for total severity upon
286 the addition of pertuzumab (Figs. 5a–5c). Although a detailed analysis of severity did not reveal signals in
287 the life-threatening category, they may cause or prolong hospitalization. AEs, especially those classified as
288 SOC for gastrointestinal disorders, investigations, and metabolism and nutritional disorders, may contribute
289 to the causation or prolongation of hospitalization (Fig. 5d). When selecting pertuzumab combination
290 therapy, attention should be paid to the occurrence of diarrhea and dehydration, which should be treated
291 immediately. Considering the increased frequency of AEs identified in this study, patients undergoing
292 combination treatment with pertuzumab should be monitored.

293 Although studies using VigiBase are highly useful for monitoring drug safety globally, they have
294 some limitations. Controlling for confounding factors is difficult because spontaneous reporting may
295 include reporting bias, erroneous data, or missing data. Further, data from these databases cannot be used
296 to calculate the incidence of AEs and assess risks, as the cases of patients in whom AEs did not occur are
297 unknown. Additionally, this study identified a reporting-year imbalance between the trastuzumab group and
298 the trastuzumab + pertuzumab group. This discrepancy arises from differences in approval years, with
299 trastuzumab approved in 1998 and pertuzumab in 2012. Such an imbalance may introduce bias due to
300 evolving AE reporting standards over time. Although studies using RWD cannot replace clinical trials, they
301 are valuable for qualitatively monitoring the safety of medications and estimating the risk of AEs [41].
302 Despite these limitations, RWD can be used to detect agent-induced AEs and implement countermeasures

303 quickly. In this study, the ROR method was used for comprehensive signal detection. A comparative
304 analysis of data mining algorithms, including the ROR, Proportional Reporting Ratio (PRR), Bayesian
305 Confidence Propagation Neural Network (BCPNN), and Multi-item Gamma Poisson Shrinker (MGPS)
306 methods, has demonstrated that the ROR method is the most comprehensive and effective for
307 pharmacovigilance [42]. The ROR method has higher sensitivity in signal detection compared to Bayesian
308 statistical approaches, such as BCPNN and MGPS, allowing for the identification of a broader range of
309 potential safety signals. Therefore, combining multiple signal detection methods may enhance accuracy
310 and reduce false positives. In this study, signals were evaluated using the PRR, BCPNN, and MGPS
311 methods in addition to the ROR method (Table S4), with data presented in Tables S5–16. Although no AEs
312 were detected as signals by all four methods, AEs such as diarrhea and dehydration were identified in the
313 trastuzumab + pertuzumab subgroup by three methods, except for MGPS. This suggests that these may
314 represent more robust signals (Tables S8 and S16). Further retrospective or prospective studies are needed
315 to accurately determine the effects of pertuzumab addition on the occurrence of AEs.

316 **5 Conclusions**

317 We have comprehensively identified the safety profile of pertuzumab addition to trastuzumab-
318 based therapy using RWD. Pertuzumab may enhance gastrointestinal disorders, including diarrhea and
319 infections, as well as skin and subcutaneous tissue disorders, including alopecia, dry skin, pruritus, and
320 rashes, when used in combination with trastuzumab. In addition, gastrointestinal disorders, including
321 nausea and vomiting; liver disorders, including increased AST and ALT levels; and nervous system
322 disorders, including paresthesia and peripheral sensory neuropathy, may be enhanced when it is combined
323 with trastuzumab and docetaxel. Overall, when selecting pertuzumab combination therapy, considering the
324 AEs identified in this study is important to ensure the safety of chemotherapy for patients with breast cancer.

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326 **Declarations**

327 **Funding:** This study did not receive any funding.

328

329 **Conflicts of interest:** The authors declare no conflicts of interest.

330

331 **Availability of data and material:** The datasets generated and analyzed during the study are available

332 from the corresponding author upon reasonable request.

333

334 **Ethics approval:** Not applicable because this study was an observational study that used fully anonymized

335 patient data

336

337 **Consent for publication:** Not applicable.

338

339 **Code availability:** The code is available from the corresponding author upon reasonable request.

340

341 **Author contributions:** Tatsuaki Takeda and Jun Matsumoto contributed to the study's conception and

342 design. Material preparation, data collection and analysis were performed by Tatsuaki Takeda and Tomonori

343 Sakai. The first draft of the manuscript was written by Tatsuaki Takeda, and all authors commented on
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460 **Table 1** Characteristics of the study population

Characteristics	Without pertuzumab n = 20,025 n (%)		With pertuzumab n = 8,559 n (%)	
	Age			
≤ 44 years	3,773	(18.84)	1,672	(19.53)
45–64 years	11,560	(57.73)	4,752	(55.52)
65–74 years	3,484	(17.40)	1,565	(18.28)
75 ≥ years	1,208	(6.03)	570	(6.66)
Sex				
Male	147	(0.73)	73	(0.85)
Female	19,878	(99.27)	8,486	(99.15)
Region				
African Region	81	(0.40)	17	(0.20)
Region of the Americas	7,982	(39.86)	2,473	(28.89)
South-East Asia Region	1,162	(5.80)	193	(2.25)
European Region	8,343	(41.66)	4,578	(53.49)
Eastern Mediterranean Region	572	(2.86)	242	(2.83)
Western Pacific Region	1,885	(9.41)	1,056	(12.34)
Reporter				
Physician	9,108	(45.48)	5,298	(61.90)
Pharmacist	2,473	(12.35)	861	(10.06)
Other Health Professional	2,812	(14.04)	911	(10.64)
Lawyer	1,434	(7.16)	502	(5.87)
Consumer or other non-health professional	3,277	(16.36)	949	(11.09)
Not available	921	(4.60)	38	(0.44)
Reporting year				
≤ 2013	5,096	(25.45)	155	(1.81)
2014	1,395	(6.97)	339	(3.96)
2015	1,316	(6.57)	477	(5.57)
2016	1,212	(6.05)	600	(7.01)
2017	1,189	(5.94)	544	(6.36)
2018	2,197	(10.97)	1,129	(13.19)
2019	2,324	(11.61)	1,397	(16.32)
2020	1,456	(7.27)	1,089	(12.72)
2021	1,217	(6.08)	969	(11.32)

2022	1,114	(5.56)	909	(10.62)
2023	1,509	(7.54)	951	(11.11)

461

462 **Table 2** Preferred Terms (PTs) in the System Organ Classes (SOCs) for which a signal was detected in the trastuzumab + pertuzumab subgroup

SOC	PT	Trastuzumab only (n = 6,826)	Trastuzumab + Pertuzumab (n = 1,600)	ROR (95% CI)
Gastrointestinal disorders	Diarrhea	222	168	3.49 (2.83–4.30)
	Stomatitis	16	11	2.95 (1.36–6.36)
	Gastric disorder	2	4	8.55 (1.56–46.73)
Infections and infestations	Cellulitis	9	6	2.85 (1.01–8.02)
	Lower respiratory tract infection	2	3	6.41 (1.07–38.39)
	Nasopharyngitis	36	16	1.91 (1.05–3.44)
	Clostridium difficile infection	1	5	21.39 (2.50–183.26)
	COVID-19	27	22	3.51 (1.99–6.18)
Injury, poisoning and procedural complications	Off-label use	29	42	6.32 (3.92–10.17)
	Toxicity to various agents	4	6	6.42 (1.81–22.78)
Metabolism and nutrition disorders	Dehydration	14	12	3.68 (1.70–7.96)
	Feeding disorder	2	3	6.41 (1.07–38.39)
Neoplasms benign, malignant and unspecified (including cysts and polyps)	Brain neoplasm malignant	2	3	6.41 (1.07–38.39)
	Metastases to central nervous system	29	15	2.22 (1.19–4.15)
Skin and subcutaneous tissue disorders	Alopecia	69	32	2.00 (1.31–3.05)
	Cold sweat	2	6	12.84 (2.59–63.69)

Dry skin	19	14	3.16 (1.58–6.32)
Nail dystrophy	3	4	5.70 (1.27–25.49)
Pruritus	192	86	1.96 (1.51–2.55)
Rash	151	57	1.63 (1.20–2.23)
Rash erythematous	6	5	3.56 (1.09–11.69)
Skin lesion	4	5	5.35 (1.43–19.93)
Onychomadesis	2	4	8.55 (1.56–46.73)
Skin mass	2	3	6.41 (1.07–38.39)

SOC, System Organ Class; PT, Preferred Term; ROR: Reporting Odds Ratio; CI: Confidence Interval;

464 **Table 3** Preferred Terms (PTs) in the System Organ Classes (SOCs) for which a signal was detected in the trastuzumab + docetaxel + pertuzumab subgroup

SOC	PT	Trastuzumab + Docetaxel (n = 1,410)	Trastuzumab + Docetaxel + Pertuzumab (n = 1,999)	ROR (95% CI)
Gastrointestinal disorders	Diarrhea	106	323	2.37 (1.88–2.99)
	Dyspepsia	8	29	2.58 (1.18–5.66)
	Nausea	53	126	1.72 (1.24–2.39)
	Vomiting	41	85	1.48 (1.01–2.17)
Injury, poisoning and procedural complications	Infusion-related reaction	10	52	3.74 (1.89–7.38)
Investigations	Alanine aminotransferase increased	6	21	2.48 (1.00–6.17)
	Aspartate aminotransferase increased	4	20	3.55 (1.21–10.42)
	Neutrophil count decreased	7	55	5.67 (2.57–12.49)
	Weight decreased	3	19	4.50 (1.33–15.24)
Metabolism and nutrition disorders	Decreased appetite	19	46	1.72 (1.01–2.96)
Neoplasms benign, malignant and unspecified (including cysts and polyps)	Metastases to central nervous system	1	11	7.80 (1.01–60.46)
Nervous system disorders	Headache	11	33	2.13 (1.08–4.24)
	Paraesthesia	11	40	2.60 (1.33–5.08)
	Peripheral sensory neuropathy	3	25	5.94 (1.79–19.71)
	Polyneuropathy	5	25	3.56 (1.36–9.32)

SOC, System Organ Class; PT, Preferred Term; ROR: Reporting Odds Ratio; CI: Confidence Interval;

465

466 **Figure legends**

467 **Fig. 1.** (a) Flow of the report extraction process. (b) Flow of extraction of adverse events for analysis.

468 MedDRA, Medical Dictionary for Regulatory Activities; SOC, System Organ Class; PT, Preferred Term

469

470 **Fig. 2.** Disproportionality analysis of adverse events at the System Organ Class (SOC) level for the with

471 pertuzumab group relative to those in the without pertuzumab group. The plots show the Reporting Odds

472 Ratio (ROR) with the 95% Confidence Interval (CI) on a logarithmic scale. The red plot shows the SOCs

473 for which a signal was detected in the group with pertuzumab.

474

475 **Fig. 3.** The top 20 anticancer agents reported simultaneously with trastuzumab and pertuzumab. The

476 percentage of each agent is shown.

477

478 **Fig. 4.** Disproportionality analysis of adverse events at the System Organ Class (SOC) level for (a) the

479 trastuzumab + pertuzumab group relative to the trastuzumab-only group and (b) the trastuzumab +

480 docetaxel + pertuzumab group relative to the trastuzumab + docetaxel group. The plots show the Reporting

481 Odds Ratio (ROR) with the 95% Confidence Interval (CI) on a logarithmic scale. The red plot shows the

482 SOCs for which a signal was detected in the group with pertuzumab.

483

484 **Fig. 5.** Disproportionality analysis of severity of adverse events for (a) the with trastuzumab group
485 relative to that in the without trastuzumab group, (b) the trastuzumab + pertuzumab group relative to
486 that in the trastuzumab-only group, and (c) the trastuzumab + docetaxel + pertuzumab group relative
487 to that in the trastuzumab + docetaxel group. (d) Disproportionality analysis of adverse events reported
488 as Caused/Prolonged Hospitalization in the severity analysis at the System Organ Class (SOC) level
489 for the trastuzumab + pertuzumab group relative to that in the trastuzumab-only group. The plots show
490 the Reporting Odds Ratio (ROR) with the 95% Confidence Interval (CI) on a logarithmic scale. The
491 red plot shows the categories for which a signal was detected in the group with pertuzumab.