| 1 | Development and validation of a Symptom Illustration Scale from the Patient-Reported Outcome | | | | | | |
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| 2 | Con | nmon Terminology Criteria for Adverse Events for patients with breast cancer | | | | | |
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| 4 | Yok | o Suzuki ^{1,2} , Takayuki Iwamoto ^{1,3*} , Maya Uno ^{1,2} , Minami Hatono ¹ , Yukiko Kajiwara ¹ , Yuko Takahashi ¹ , | | | | | |
| 5 | Mariko Kochi ¹ , Tadahiko Shien ¹ , Yuichiro Kikawa ⁴ , Yukari Uemura ⁵ , Yasuhiro Hagiwara ⁶ , Seiichiro | | | | | | |
| 6 | Yamamoto ⁷ , Naruto Taira ^{1,3} , Hiroyoshi Doihara ^{1,8} , Shinichi Toyooka ² | | | | | | |
| 7 | | | | | | | |
| 8 | 1. | Breast and Endocrine Surgery, Okayama University Hospital, Okayama, Japan | | | | | |
| 9 | 2. | Department of General Thoracic Surgery and Breast and Endocrinological Surgery, Okayama University | | | | | |
| 10 | 3. | Department of Breast and Thyroid Surgery, Kawasaki Medical School Hospital, Kurashiki, Japan | | | | | |
| 11 | 4. | Breast Surgery, Kansai Medical University Hospital, Osaka, Japan | | | | | |
| 12 | 5. | Biosciences, Department of Data Science, Center for Clinical Sciences, National Center for Global Health | | | | | |
| 13 | | and Medicine, Tokyo, Japan | | | | | |
| 14 | 6. | Department of Biostatistics, School of Public Health, The University of Tokyo, Tokyo, Japan | | | | | |
| 15 | 7. | Shizuoka Graduate University of Public Health, Shizuoka, Japan | | | | | |
| 16 | 8. | Department of Surgery, Kawasaki Medical School General Medical Center, Okayama, Japan | | | | | |
| 17 | | | | | | | |
| 18 | Corresponding author: | | | | | | |
| 19 | Takayuki Iwamoto, M.D., Ph D., | | | | | | |
| 20 | Breast and Thyroid Surgery, Kawasaki Medical School Hospital | | | | | | |
| 21 | Address: 577 Matsushima, Kurashiki City, Okayama, Japan 701-0192 | | | | | | |
| 22 | Phone: +81-86-462-1111; Fax: +81-86-462-7897 | | | | | | |
| 23 | tiwamoto@med.kawasaki-m.ac.jp | | | | | | |
| 24 | | | | | | | |

26 Abstract

27Purpose: Emojis are commonly used for daily communication and may be useful in assessing patient-reported 28 outcomes (PROs) in breast cancer. The purpose of this study is to develop and validate a Symptom Illustration 29 Scale (SIS) as a new PRO measurement. 30 Methods: Eighteen original SIS items were developed from the PRO-CTCAE. In cohort one, the SIS validity 31 and reliability were examined in patients with breast cancer, using a semi-structured five-question survey to 32 investigate content validity. PROs with PRO-CTCAE and SIS were examined twice to determine criteria 33 validity and test-retest reliability. In cohort two, the responsiveness of the scales were examined in patients 34 treated with anthracycline, docetaxel, paclitaxel, and endocrine therapy. PROs with PRO-CTCAE and SIS were 35 investigated two or three times, depending on the therapy. 36 Results: Patients were enrolled from August 2019 to October 2020. In cohort one (n=70), most patients had no 37 difficulties with the SIS, but 16 patients indicated that it was difficult to understand severities in the SIS. For 38 criterion validity, Spearman rank correlation coefficients (r_s) between PRO-CTCAE and SIS items were ≥ 0.41 , 39 except for "Decreased appetite." For test-retest reliability, κ coefficients of the SIS were ≥ 0.41 for 16/18 items 40 (88.9%). Response time was significantly shorter for the SIS than for PRO-CTCAE (p<0.001). In cohort two 41 (n=106), score changes between PRO-CTCAE and SIS for relevant symptoms all had correlations with $r_s \ge 0.41$. 42 Conclusion An original SIS from the PRO-CTCAE for patients with breast cancer were verified the validity, 43 reliability, and responsiveness. Further studies to improve and validate the SIS are needed. 44 45 46 Keywords: Quality of life, patient-reported outcome measurements, breast cancer, electronic data capture of

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PROs (ePRO), Symptom Illustration Scale.

50 Introduction

51 Patient-reported outcomes (PROs) have an important role in oncology research and are used as key 52 endpoints in some oncology drug trials [1–3]. With development of electronic communication devices, 53 electronic data capture of PROs (ePRO) has also become a promising approach. ePRO is a web-based system 54 that enables medical staff to monitor patients' symptoms in real time [4-9]. Attempts to integrate PRO 55 assessment into routine clinical care have increased, with comparative studies showing that ePRO improves 56 readmission rates, emergency room visits, medication compliance, accuracy of subjective symptoms, symptom 57 management, and possibly overall survival (OS) [8, 10–14]. 58 Introducing ePRO into daily clinical situations requires good compliance, ease of understanding, and 59 familiarity. Additionally, the patient burden and language barrier should be resolved. PRO measurements 60 (PROMs) have been developed to evaluate PROs in clinical trials. PROMs have been translated into multiple 61 languages and are widely used to evaluate PROs. One aim of assessing PROs in clinical trials is to evaluate 62 adverse events. Patients are required to answer multiple questions to evaluate symptoms for assessing adverse 63 events using, for example, the PRO-Common Terminology Criteria for Adverse Events (CTCAE) questionnaire 64 [15]. However, this may be a burden to patients with a poor performance status (PS) due to progression of 65 cancer. Moreover, these PROMs are based on text and this may cause additional difficulties for patients who 66 cannot read. 67 Use of illustrations or emojis may be an option for assessment of PROs in clinical practice [16]. The Wong-68 Baker FACES® Pain Rating Scale, one of several face scales for pain assessment, shows excellent correlations 69 with a visual analog scale, particularly in children who have difficulty expressing pain severity with language 70 [16, 17]. In another study, smiley pictures appeared to fulfill the requirements for early and prompt screening of 71 depression, particularly in older patients who had a stroke and may not have the concentration required to 72 complete lengthy questionnaires [17]. 73

Emojis, which comprise actual pictures and require specific software support, were created in Japan in 1997 and encoded in Unicode standard in 2010. Emojis are now commonly used for daily communication [18] and are familiar and universal, and thus understandable, regardless of language. Use of emojis in medical situations

| 76 | has been suggested previously [19-21]. A correlation between Linear Analog Scale Assessment and emojis, and |
|-----|---|
| 77 | possible use of emojis for PRO assessment, were described at the 2018 Annual Meeting of the American Society |
| 78 | of Clinical Oncology. Stickers can be comprehensive representations of various elements, such as environmental |
| 79 | descriptions and facial/body language, and these are also commonly used in daily mobile messages [18]. |
| 80 | Use of emojis or stickers has rarely been studied to assess PROs in patients with cancer. Therefore, the |
| 81 | objective of this study was to develop the Symptom Illustration Scale (SIS), which uses emojis and stickers to |
| 82 | assess PROs. We hypothesize that the new SIS is equivalent to PRO-CTCAE in terms of validity, reliability, and |
| 83 | responsiveness. |
| 84 | |
| 85 | Material and Methods |
| 86 | Development of the SIS was shown in Supplementary method. |
| 87 | Validation of SIS v.1.0 |
| 88 | The study was approved by the Medical Ethics Committee of Okayama University Hospital on November 8, |
| 89 | 2019 (application numbers 1907-038 (Cohort one) and 1907-042 (Cohort two)). Written consent was obtained |
| 90 | from each patient. Each patient received a 500-yen gift card. To validate SIS v.1.0, two single-center, |
| 91 | prospective, cohort studies were conducted to test reliability and validity (cohort one) and responsiveness |
| 92 | (cohort two). |
| 93 | Participants |
| 94 | The study had a prospective design and used two cohorts for two purposes: to examine if the SIS could |
| 95 | identify a stable symptom and a change in a symptom. Cohort one included patients who were clinically stable. |
| 96 | The inclusion criteria were 1) age ≥ 20 years, 2) diagnosed with breast cancer, 3) stage 0–III breast cancer, 4) |
| 97 | able to comprehend Japanese, 5) underwent initial treatment (e.g., surgery, chemotherapy, and radiotherapy) that |
| 98 | ended more than one year ago, and 6) underwent prescribed endocrine therapy that started more than one year |
| 99 | ago. Patients who were receiving treatment with a molecular-targeted drug (e.g., trastuzumab, pertuzumab) were |
| 100 | also enrolled. Patients with active disease or with cognitive disorders identified from comorbidities and |

101 medication in medical records were excluded.

102 For cohort two, the inclusion criteria were: 1) age ≥ 20 years, 2) diagnosed with breast cancer, 3) Stage 0–III 103 breast cancer, 4) able to comprehend Japanese, and 5) started any of the following adjuvant therapies: i) 104 Anthracycline: patients scheduled for neoadjuvant or adjuvant chemotherapy with intravenous anthracycline and 105 cyclophosphamide at intervals of three weeks for four cycles; ii) Docetaxel: patients scheduled for neoadjuvant 106 or adjuvant chemotherapy with intravenous docetaxel and cyclophosphamide or docetaxel alone at intervals of 107 three weeks for four cycles. iii) Paclitaxel: patients scheduled for neoadjuvant or adjuvant chemotherapy with 108 intravenous paclitaxel weekly for 12 weeks. iv) Endocrine therapy: patients scheduled for adjuvant endocrine 109 therapy with tamoxifen or an aromatase inhibitor once daily. Patients with cognitive disorders were excluded. 110 Data collection and survey 111 In both cohorts, demographic data were collected after the survey, including marital status, academic 112background, employment status, frequently used electronic communication equipment, frequency of computer 113 usage, and frequency of use of emojis in daily life. All clinical data were extracted from electronic medical 114 records at our hospital. 115 In cohort one, we investigated PROs at the time of enrollment (T1) and 7 days later (T2), using paper-based 116 PRO-CTCAE and SIS questionnaires. Using the envelope method, patients were randomized into PRO-CTCAE 117 and SIS groups. At T1, a face-to-face survey was conducted in the hospital. At T1 and T2, patients in the SIS 118 group completed the SIS followed by the PRO-CTCAE, whereas those in the PRO-CTCAE group completed 119 the PRO-CTCAE followed by the SIS. After collecting each questionnaire at T1, a semi-structured survey was 120 conducted by the medical staff. The survey comprised the questions was shown in Supplementary method. At 121 T1, questionnaires for T2 were given to patients. These were completed at home and mailed to our research 122 office. The deadline for submission was 14 days after the registration date. If questionnaires did not arrive at the 123 research office by the 10th day, the staff telephoned each patient and asked them to submit their questionnaires. 124 In cohort two to examine the change in scores, we assessed responsiveness using the PRO-CTCAE and SIS 125 questionnaires, as in cohort one, but the patients were not randomized into SIS and PRO-CTCAE groups, 126 because it did not matter which order the surveys were administered. Cohort two included patients with breast 127 cancer under treatment with chemotherapeutic regimens or endocrine therapy. As such, we assumed that the type 128 of adverse event and time of onset would differ depending on the regimen.

129 The survey schedules are defined in Supplementary method. Depending on the reason (e.g., adverse events),

130 dose reduction or postponement of treatment was allowed. Concomitant anti-human epidermal growth factor

131 receptor type 2 (HER2) therapy (trastuzumab and pertuzumab) was also allowed. In cases of treatment

132 discontinuation, the last survey was conducted within two weeks after discontinuation.

133 **Outcomes**

In cohort one, the primary endpoint was the content validity of the SIS in order to verify the accuracy of measuring what is truly intended as the subject, and the secondary endpoints were criteria validity based on

136 PRO-CTCAE in order to verify how accurately a test measures the outcome it is designed to measure, test-retest

137 reliability in order to verify the correlation between results obtained by repeating the same test on the same

138 subjects at a consistent interval, assuming that the subjects do not undergo significant changes over a short

139 period of time, and response time. In cohort two, correlations between changes in PRO-CTCAE and SIS were

140 assessed in order to verify the high responsiveness of the scale, which reflects changes in symptoms such as

141 improvement or deterioration.

142 Statistical Analysis

143 In cohort one, to verify the content validity of the SIS, responses were categorically classified based on data 144collected in the semi-structured survey. The distribution and ratio of participants per item were calculated. To 145 verify criteria validity and test-retest reliability, data for symptom severity were ranked 0-4 (rash was ranked 0 146 or 1) from mild to severe. To verify criteria validity, the Spearman rank correlation coefficient (r_s), κ coefficient, 147 95% confidence interval (CI), and SIS concordance rate were calculated for each PRO-CTCAE item. Moderate 148 correlation ($r_s > 0.41$) and strong correlation ($r_s > 0.70$) were defined as "correlation" and a κ coefficient ≥ 0.41 149 indicated "agreement". The rate of concordance was calculated as the ratio of patients who responded to both 150 questionnaires to those whose severity on both questionnaires matched. To verify the test-retest reliability of 151 PRO-CTCAE and SIS, the degree of agreement between T1 and T2 was calculated as a K coefficient, 95% CI, 152 and agreement rate. The times required for completion of PRO-CTCAE and SIS were compared by t-test.

153 In cohort two, to verify the responsiveness of SIS, datsa for SIS and PRO-CTCAE were interpreted as

- 154 continuous variables ranging from 0 to 4, from mild to severe. Changes in each score on PRO-CTCAE and SIS
- 155 from T1 to T2 and T1 to T3 were calculated. Correlation analysis was performed between PRO-CTCAE and

156 SIS using r_s and 95% CI at each survey time point.

- 157 In cohort one, the sample size was defined as follows: to verify content validity, we assumed that theoretical
- 158 saturation would be reached by conducting a semi-structured survey in 100 patients. As such, we set the target
- 159 number of patients to 100. In cohort two, we assumed a correlation coefficient of 0.8 for PRO-CTCAE and SIS
- 160 score changes, which required a sample size of 14 to produce results with a probability of 80%. Considering
- 161 dropout cases, the target number of cases for cohort two was set to 30.
- 162 Spearman rank order correlation analysis was performed using R v.3.2.1, and κ coefficient analysis was
- 163 performed using JMP v.11.2 (SAS Institute, Inc., Cary, NC, USA).
- 164
- 165 **Results**

166 Characteristics of cohort one

- 167 From August 2019 to March 2020, 100 cases were enrolled in cohort one. Of these, 70 cases were analyzed
- and 30 were excluded due to a printing error found in the questionnaire. There were no missing surveys at all
- 169 time points. The patient background factors are shown in Table 1. All participants were female. The median age
- 170 was 56 years (range: 37–76 years) and the median interval from diagnosis to enrollment was 3.7 years. Among
- all participants, 87% were smartphone users and 94% had used an emoji. Only three (4.3%) had an education
- 172 level below junior high school, 19 (27.1%) were homemakers, and nine (12.9%) were neither a smartphone nor
- tablet computer user.
- 174 **Content validity**
- To test whether the scale is measuring the appropriate elements, we assessed content validity through a semistructured survey comprising the following questions:

177 Q1. Was there any SIS item that you found difficult to understand? (comprehensibility)

- 178 For this question, 54 patients (77%) answered "no" and approximately 80% of patients easily understood the
- purpose of all SIS items. Additionally, 16 (23%) answered that one or more items were difficult to understand;

- three (4%) answered that "Decreased appetite" and "Swelling" were difficult to understand; and two (3%)
- answered "Nausea" and "Hot flushes." The 16 patients with difficulties were asked which aspects were difficult
- to understand, and 9 indicated differences in severity.

183 Q2. Was there any SIS item that you felt needed an additional explanation? (comprehensibility)

- 184 For this question, 65 patients (93%) answered "no." Of the five patients who answered "yes," four stated "an
- 185 explanation is needed regarding the differences in severity".

186 **Q3.** Was there any other symptom that you wanted to report? (comprehensiveness)

- 187 For this question, 44 (63%) answered "no." Among those who answered "yes" the main symptoms were
- 188 "pain in the surgical wound" in (n=4, 6%), "itchiness" (n=4, 6%), and "headache" (n=3, 4%). In the free
- 189 comments section, one patient stated "I had no way to report pain caused by a positional change."

190 Q4. Which was easier to answer, the SIS or PRO-CTCAE questionnaire? (response burden)

- 191 For this question, 61 patients (87%) stated that the SIS was easier to answer than the PRO-CTCAE. In the
- 192 free comments section, seven patients mentioned that the SIS were more fun to answer and had no response
- 193 burden.

194 **Q5.** Did you feel discomfort or shame while using the SIS? (impression)

- 195 For this question, 68 patients (97%) answered "no." In the free comments section, 30 patients stated "The
- 196 SIS was cute" and five stated "I felt cheerful after answering the SIS."
- 197 The results for Q1 to Q5 are shown in Table 3.

198 **Criterion validity**

- 199 Criterion validity was assessed to investigate whether the test values obtained were highly correlated with
- 200 the external criteria. The result at T1 is shown in Figure 1. The rs values for PRO-CTCAE and SIS items were
- ≥ 0.41 , except for "Decreased appetite." This indicated that 17/18 items (94.4%) were correlated between the
- 202 PRO-CTCAE and SIS. Additionally, the κ coefficients showed agreement for 13/18 test items (72.2%) and the
- 203 concordance rates were within 38.6–98.6%.
- The survey results at T2 were similar to those at T1; thus, 17/18 items (94.4%) were correlated and 12/18
- 205 (66.7%) items matched (Figure S1). Likewise, the results of the stratified analysis of the PRO-CTCAE and SIS

- 206 groups were similar. The correlation coefficient for "Decreased appetite" tended to be low; however, a
- 207 correlation was found between other PRO-CTCAE and SIS items (Figures S2, S3).

208 **Test-retest reliability**

- 209 Test-retest reliability between T1 and T2 was assessed to investigate whether symptoms changed in a short
- 210 period of time. The κ coefficients for the SIS were ≥ 0.41 for 16/18 items (88.9%), excluding "vomiting" and
- 211 "diarrhea" (Figure 2); the κ coefficients of these PRO-CTCAE items was low. The test-retest reliability between
- 212 PRO-CTCAE and SIS was similar. In addition, at T1 and T2, the concordance rates of all SIS items were \geq 50%.
- 213 The test-retest reliability per group is shown in Figures S4 and S5.

214 Comparison of response time

- The average time required to answer the SIS was 1 min 48 s, with a range of 1 min 37 s to 1 min 59 s,
- 216 whereas that for the PRO-CTCAE was 3 min 20 s, with a range of 2 min 59 s to 3 min 32 s. Thus, the response
- time for SIS was about 1.5 min shorter than that for the PRO-CTCAE (t-test: *p* <0.001).

218 Characteristics of cohort two

- From December 2019 to October 2020, 29 patients receiving anthracycline, 30 receiving docetaxel, 17
- 220 receiving paclitaxel, and 30 receiving endocrine therapy were registered in cohort two. The demographic and
- 221 clinical characteristics are shown in Table 2. Compared to other groups, the anthracycline group had more
- 222 patients aged >60 years. Most patients had a performance status of 0 or 1; >70% were married; all had more
- than high school education; >80% used a smartphone daily, >50% rarely used a personal computer; and >90%
- 224 used emojis in emails or social media.

225 Correlation of score changes between the PRO-CTCAE and SIS

- In the anthracycline group, we focused on changes in appetite, nausea, vomiting and hair loss. The results
- showed that 17/18 items (94.4%) were correlated in terms of score changes from T1 (before the first cycle) to
- 228 T2 (day 8 of the first cycle) ($r_s \ge 0.41$) (Figure 3). Decreased appetite (S: Severity), nausea and vomiting all had
- 229 $r_s \ge 0.41$. Only "Decreased appetite (I: Interference)" had $r_s = 0.35$. Correlations of score changes from T1 to T3
- 230 (day 15 of the first cycle) are shown in Figure S6. "Vomiting" had a weak correlation ($r_s < 0.41$), while "Hair
- 231 loss," "Decreased appetite," and "Nausea" were correlated ($r_s \ge 0.41$)

| 232 | In the docetaxel group, | we particularly | examined c | change of swelling. | For "Swelling," | all three items from |
|-----|-------------------------|-----------------|------------|---------------------|-----------------|----------------------|
| | | | | | | |

- T1 (before the first cycle) to T2 (day 1 of the second cycle) and two of three items, except "I: Interference,"
- from T1 to T3 (day 1 of the fourth cycle) were correlated, indicating a generally good correlation (Figures S7,
- 235 S8).
- In the paclitaxel group, we focused on the change of numbress & tingling. Score changes from T1 (before
- the first cycle) to T2 (day 1 of the sixth cycle) between PRO-CTCAE and SIS for "Numbness & tingling", as
- well as from T1 to T3 (day 1 of the 12th cycle) were correlated, indicating a generally good correlation (Figures
 S9, S10).
- In the endocrine group, we assessed changes of joint pain and hot flushes. Score changes from T1 to T2 (2
- 241 months ±2 weeks after the first treatment) between PRO-CTCAE and SIS for "Joint pain" and "Hot flushes"
- showed correlations between PRO-CTCAE and SIS (Figure S11).
- 243

244 Discussion

In this study, we developed an 18-item original SIS from the PRO-CTCAE and verified the validity and reliability of the SIS in cohort one and the responsiveness of the SIS in cohort two.

247 The validity and reliability of the SIS was shown in cohort one. The findings were generally favorable and

248 within expectations. Emojis are widely used in social media, which can increase the end users' fondness for

these items as a fun and practical tool. Our study included many patients who used smartphones and emojis,

250 reflecting today's society wherein these have become a part of life. In this regard, future use of emojis between

251 healthcare providers and patients is easy to imagine, particularly if communicating by a conventional method is

- difficult. Based on our findings, most patients indicated that the SIS was easier to answer than the PRO-
- 253 CTCAE. Further, they did not feel embarrassment or discomfort while answering the SIS, and the response time
- 254 was shorter than that for the PRO-CTCAE. Lastly, some patients indicated that they enjoyed answering the

255 questions on the SIS. It is important to note that these results may simply capture different results from different

- 256 indicators.
- 257 Similarly to previous reports [17, 22], we received a few comments regarding comprehensibility, particularly

difficulty understanding differences in severity. Lee et al. developed a 5-step (1: very happy, 2: happy, 3:

259 fair/average, 4: sad, and 5: very sad) evaluation tool with a smiley face scale for patients with ischemic stroke

and noticed that older patients had difficulty telling the difference between very happy and happy, as well as

261 very sad and sad, faces [17]. Thus, they reduced the number of expressions to 3. Since we anticipated this

262 problem during the development phase of SIS, we added some text to the emojis. In the next version, we may

263 need to revise the expressions to make them more easily understandable.

264 We also received a few comments regarding comprehensiveness. In question 3, which assesses content

265 validity, most patients answered that they had no other symptoms to report. However, a small number of patients

stated that they experienced additional symptoms, including "pain in the surgical wound," "itchiness," and

267 "headache.". In the next version, some informative items will be added to the SIS. "Pain in the surgical wound,"

a possible complication of total mastectomy, is referred to as post-mastectomy pain syndrome (PMPS). In a

269 previous report, 50% of survey respondents stated that they were still experiencing PMPS at a mean of 9 years

after surgery [23]. "Headache" is also a potentially significant symptom that may be suggestive of distant

271 metastasis. Covering all symptoms, including rare symptoms, is not possible, but we will consider adding new

items based on their frequency of occurrence and clinical importance.

For criterion validity, only "Decreased appetite", one of 18 items (5.6%), was below the standard correlation

value of 0.41. We noticed a discrepancy in this item between the PRO-CTCAE and SIS questionnaires. The

275 PRO-CTCAE examines the degree of appetite loss by asking "In the last 7 days, what was the SEVERITY of

276 your DECREASED APPETITE at its WORST?", whereas the SIS asks "How was your appetite in the past

277 week?" This may have led to the poor agreement between the two scales. In the next version of the SIS, we plan

to update the question for "Decreased appetite".

279 We confirmed that the test-retest reliability of SIS was favorable. Only two items, "Vomiting" and

280 "Diarrhea," showed weak agreement. For "Vomiting," there was a significant difference in κ coefficient between

281 "F: Frequency" and "S: Severity" in the PRO-CTCAE. "Vomiting" is examined by frequency and not intensity.

As such, compared with "Severity," "Frequency" may have better agreement with the true outcomes. Likewise,

283 in the SIS, "Vomiting" was expressed as "Severity" and not "Frequency." Compared the PRO-CTCAE, the SIS

had a much worse κ coefficient for "Frequency" but a similar κ coefficient for "Severity." Further, "Vomiting"

285 in the SIS had a higher κ coefficient than "Severity" in the PRO-CTCAE. Lastly, "Diarrhea" had poor

agreement between the PRO-CTCAE and SIS, which may also be due to the "Frequency" and "Severity"

287 difference seen for "Vomiting." These findings that emojis were not good indicators of frequency suggest a need

288 for revisions such as using more suitable text and emoji stickers.

289 In cohort two, we interpreted categorical values with continuous values and assessed associations between 290 the PRO-CTCAE and SIS. We found a generally favorable responsiveness for the SIS between baseline and 291 post-administration. Since the expected side effects vary widely depending on the treatment regimen, each side 292 effect was evaluated on a regimen-by-regimen basis. In this analysis, we assessed the correlation of changes 293 between two time points. To validate responsiveness, we did not use effect size (mean of the second time -294 mean of the first time/SD of the first time) or standardized response means (mean of the second time - mean of 295 the first time/SD of the change) because we assumed that the symptoms in this cohort were relatively stable with 296 little variability and a small standard deviation. However, a question remains on the appropriateness of replacing 297 categorical variables with continuous variables; i.e., whether each has a guaranteed equal priority [15]. Although 298 some authors perceive responsiveness as the most important characteristic of an evaluative tool, the proper way 299 to assess responsiveness is not apparent [24]. Terwee et al. concluded that a distinct measure of responsiveness 300 leads to a distinct conclusion because of a distinct objective [24]. Further discussion is needed to determine the 301 most appropriate method to validate responsiveness.

Without considering dropouts, an estimated sample size of 14 patients was needed for each treatment group in cohort two. However, the relatively small sample size of the paclitaxel group (n=17) requires a comment. In cohort two, patients were registered from December 2019 to October 2020. At that time, COVID-19 began to spread in Japan, and a reduced number of hospital visits was necessary to control the outbreak. Therefore, many physicians chose to administer docetaxel every 3 weeks for 4 doses rather than paclitaxel every week for 12 doses. Due to COVID-19, extending the enrollment period would not have increased the number of enrolled cases. Nevertheless, the study was completed as planned.

309 This study had several limitations. First, it was limited to female, Japanese patients with breast cancer. Many

310 factors, such as gender, age, type of cancer and disease, religious, cultural background, and social media

311 platform, affect individual use of emojis. As such, each situation may need a different SIS. Second, cohort one

had a small sample size. We estimated that 100 cases were needed in this cohort, but we were able to analyze

- 313 only 70 cases. This small sample size may lead to a bias. Third, as mentioned above, the appropriate approach to
- 314 validation of responsiveness is uncertain. Forth, as it has been validated with subjects who have a good PS, it
- 315 has not been validated with subjects who have a poor PS. It is unknown whether similar performance to SIS can
- be obtained even with subjects who have poor PS.
- 317 In conclusion, we developed an original SIS from the PRO-CTCAE for patients with breast cancer and
- 318 verified the validity, reliability, and responsiveness of the SIS. It should be noted that some of the items were
- 319 correlated between PRO-CTCAE and SIS, while others were low, such as "Decreased appetite". Different
- 320 situations may be captured by different scales. Further improvement and validation are needed to clarify the
- 321 differences and commons from the original PRO-CTCAE and to create a scale that more truly captures patient
- 322 symptoms.
- 323

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326

327 Disclosure of potential conflicts of interest

- 328 Tadahiko Shien, Yuichiro Kikawa, and Naruto Taira received an honorarium from Pfizer Inc.
- 329 Compliance with ethical standards
- 330 Research involving Human Participants and/or Animals
- 331 This study involving human participants was in accordance with the 1964 Helsinki Declaration and its later
- amendments or comparable ethical standards.
- 333
- **334** Informed consent
- 335 Informed consent was obtained from all individual participants included in the study.

Figure legends

Figure 1 Correlation coefficients, κ coefficients, and concordance rates between the PRO-CTCAE and SIS at T1

The forest plots show correlation coefficients between the PRO-CTCAE and SIS at T1. κ coefficients and concordance rates are also shown. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; ROC, rate of concordance; S, severity.

Figure 2 Test-retest reliability between T1 and T2

The forest plots show κ coefficients and 95% confidence intervals for all patients between T1 and T2. Concordance rates are also shown. A, amount; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/Absence; PRO, patient-reported outcome; ROC, rate of concordance; S, severity.

Figure 3 Correlation coefficient of score changes from T1 to T2 between the PRO-CTCAE and SIS in the anthracyline group

The forest plots show correlation coefficients of score changes from T1 to T2 between the PRO-CTCAE and SIS. A, amount; CC: corelation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/Absence; PRO, patient-reported outcome; S, severity.

Figure 4 Questionnaire for Symptom Illustration Scale v.1.0

English translations were added to the original Japanese version for publication: 1) Decreased appetite, 2) Nausea, 3) Vomiting, and 4) Constipation, continued in Sup. Figure 1.

Supplementary Figure Legends

Figure S1 Correlation coefficients, κ coefficients, and concordance rates between the PRO-CTCAE and SIS at T2

The forest plots show correlation coefficients between the PRO-CTCAE and SIS at T1. κ coefficients and concordance rates are also shown. A, amount; CC: correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; ROC, rate of concordance; S, severity.

Figure S2 Correlation coefficients, κ coefficients, and concordance rates between the PRO-CTCAE and SIS at T1 in the PRO-CTCAE group

The forest plots show correlation coefficients between the PRO-CTCAE and SIS at T1 in the PRO-CTCAE group. κ coefficients and concordance rates are also shown. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient- reported outcome; ROC, rate of concordance; S, Severity.

Figure S3 Correlation coefficients, κ coefficients, and concordance rates between the PRO-CTCAE and SIS questionnaires at T1 in the SIS group

The forest plots show correlation coefficients between the PRO-CTCAE and SIS at T1 in the SIS group. κ coefficient and concordance are also shown. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; ROC, rate of concordance; S, severity.

Figure S4 Test-retest reliability between T1 and T2 in the PRO-CTCAE group

The forest plots show the κ coefficient and 95% confidence interval between T1 and T2 in the PRO-CTCAE group. Concordance rates are also shown. A, amount; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; ROC, rate of concordance; S,

severity.

Figure S5 Test-retest reliability between T1 and T2 in the SIS group

The forest plots show κ coefficients and 95% confidence intervals between T1 and T2 in the SIS group. Concordance rates are also shown. A, amount;. CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; ROC, rate of concordance; S, severity.

Figure S6 Correlation coefficients for score changes from T1 to T3 between the PRO-CTCAE and SIS questionnaires in the anthracyline group

The forest plots show correlation coefficients of score changes from T1 to T3 between the PRO-CTCAE and SIS. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; S, severity.

Figure S7 Correlation coefficients for score changes from T1 to T2 between the PRO-CTCAE and SIS questionnaires in the docetaxel group

The forest plots show correlation coefficients of score changes from T1 to T2 between the PRO-CTCAE and SIS. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, Interference; P, presence/absence; PRO, patient-reported outcome; S, severity.

Figure S8 Correlation coefficients for score changes from T1 to T3 between the PRO-CTCAE and SIS in the docetaxel group

The forest plots show correlation coefficient of score changes from T1 to T3 between the PRO-CTCAE and SIS. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO: patient-reported outcome; S, severity.

Figure S9 Correlation coefficients for score changes from T1 to T2 between the PRO-CTCAE and SIS in the paclitaxel group

The forest plots show correlation coefficients of score changes from T1 to T2 between the PRO-CTCAE and SIS. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, Frequency; I, Interference; P, presence/absence; PRO, patient-reported outcome; S, severity.

Figure S10 Correlation coefficients for score changes from T1 to T3 between the PRO-CTCAE and SIS in the paclitaxel group

The forest plots show the correlation coefficient of score changes from T1 to T3 between the PRO-CTCAE and SIS. A, amount; CC, corelation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; S, severity.

Figure S11 Correlation coefficients for score changes from T1 to T2 between the PRO-CTCAE and SIS in the endocrine cohort

The forest plots show correlation coefficients of score changes from T1 to T2 between the PRO-CTCAE and SIS. A, amount; CC, correlation coefficient; CI, confidence interval; SIS, Symptom Illustration Scale; F, frequency; I, interference; P, presence/absence; PRO, patient-reported outcome; S, severity.

Figure S12 Questionnaire for the Symptom Illustration Scale v.1.0

English translations were added to the original Japanese version for publication: 5) Diarrhea, 6) Shortness of breath, 7) Swelling, 8) Rash, 9) Hair loss, 10) Numbness & tingling, 11) General pain, 12) Joint pain, 13) Insomnia, 14) Fatigue, 15) Anxious, 16) Vaginal discharge, 17) Vaginal dryness, and 18) Hot flashes, continued from Figure 1.

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Ethics approval: This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of Okayama University Hospital (Cohort one: Date 2019.5.28/No. 1907-038, Cohort two: Date 2019.11.29/No. 1907-042).

Consent to participate: Written informed consent was obtained from all participants in the study.

Consent to publish: The authors affirm that all patients provided informed consent for publication of all figures and tables.