1	Research Article
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3	p53-armed oncolytic adenovirus induces autophagy and apoptosis
4	in KRAS and BRAF-mutant colorectal cancer cells
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#### 25 Abstract

Colorectal cancer (CRC) cells harboring KRAS or BRAF mutations show a more-26 27 malignant phenotype than cells with wild-type KRAS and BRAF. KRAS/BRAF-wildtype CRCs are sensitive to epidermal growth factor receptor (EGFR)-targeting agents, 28 29 whereas KRAS/BRAF-mutant CRCs are resistant due to constitutive activation of the EGFR-downstream KRAS/BRAF signaling pathway. Novel therapeutic strategies to 30 treat KRAS/BRAF mutant CRC cells are thus needed. We recently demonstrated that 31 the telomerase-specific replication-competent oncolytic adenoviruses OBP-301 and 32 p53-armed OBP-702 exhibit therapeutic potential against KRAS-mutant human 33 34 pancreatic cancer cells. In this study, we evaluated the therapeutic potential of OBP-301 35 and OBP-702 against human CRC cells with differing KRAS/BRAF status. Human CRC cells with wild-type KRAS/BRAF (SW48, Colo320DM, CACO-2), mutant KRAS 36 (DLD-1, SW620, HCT116), and mutant BRAF (RKO, HT29, COLO205) were used in 37 this study. The antitumor effect of OBP-301 and OBP-702 against CRC cells was 38 analyzed using the XTT assay. Virus-mediated modulation of apoptosis, autophagy, and 39 the EGFR-MEK-ERK and AKT-mTOR signaling pathways was analyzed by Western 40 blotting. Wild-type and KRAS-mutant CRC cells were sensitive to OBP-301 and OBP-41 702, whereas BRAF-mutant CRC cells were sensitive to OBP-702 but resistant to OBP-42 301. Western blot analysis demonstrated that OBP-301 induced autophagy and that 43 OBP-702 induced autophagy and apoptosis in human CRC cells. In BRAF-mutant CRC 44 cells, OBP-301 and OBP-702 suppressed the expression of EGFR, MEK, ERK, and 45 AKT proteins, whereas mTOR expression was suppressed only by OBP-702. Our 46 results suggest that p53-armed oncolytic virotherapy is a viable therapeutic option for 47 treating KRAS/BRAF-mutant CRC cells via induction of autophagy and apoptosis. 48

#### 49 Introduction

Colorectal cancer (CRC) is the third leading cause of death worldwide [1]. CRCs 50 51 harboring KRAS and BRAF mutations are often refractory to chemotherapy, resulting in a poorer prognosis than cases involving wild-type KRAS and BRAF due to tumor 52 recurrence and metastasis [2]. KRAS/BRAF wild-type CRCs are sensitive to the 53 epidermal growth factor receptor (EGFR)-targeting agents cetuximab and panitumumab 54 [3]. However, KRAS/BRAF-mutant CRCs are highly resistant to EGFR-targeting 55 therapy due to constitutive activation of the EGFR-downstream RAS-RAF-MEK-ERK 56 signaling pathway [3]. Moreover, microsatellite stable (MSS) CRC reportedly exhibits 57 58 greater resistance to immunotherapy compared with microsatellite instable (MSI) CRC 59 [4]. Therefore, novel therapeutic strategies that will improve the clinical outcome in patients with KRAS/BRAF-mutant MSS CRC are needed. 60 KRAS-mutant CRCs harboring mutations at codon 12 or 13 are associated with 61 62 worse prognosis [5]. BRAF-mutant CRCs frequently exhibit V600E mutation, leading to poor prognosis [6]. Constitutive activation of the RAS-RAF-MEK-ERK pathway 63 plays a crucial role in the resistance to EGFR-targeting agents in KRAS/BRAF-mutant 64 CRC cells [7]. Targeting the RAS-RAF-MEK-ERK pathway using BRAF, MEK, and 65 ERK inhibitors improves the resistance to EGFR-targeting therapy in KRAS/BRAF-66 mutant CRC cells [8]. Dual inhibition of the RAS-RAF-MEK-ERK and EGFR-67 downstream PI3K-AKT-mTOR pathways using BRAF, MEK, ERK, and EGFR 68 inhibitors has been suggested to be effective for treating KRAS/BRAF-mutant CRC 69 cells [9]. Therefore, novel therapeutic strategies that suppress the RAS-RAF-MEK-ERK 70 and EGFR-PI3K-AKT-mTOR pathways is needed for treating KRAS/BRAF-mutant 71 CRCs. 72

73	Oncolytic virotherapy has recently emerged as a novel antitumor therapy against
74	CRCs [10]. Oncolytic viruses induce tumor-specific death of malignant tumor cells via
75	modulated viral replication [11]. Telomerase activity is higher in malignant tumor cells
76	than normal cells [12]. To target malignant tumor cells with telomerase activity, we
77	developed two types of telomerase-specific replication-competent oncolytic
78	adenoviruses, OBP-301 [13] and OBP-702 armed with the wild-type <i>p53</i> tumor
79	suppressor gene [14]. We previously demonstrated that OBP-301 induces autophagy-
80	related death in human lung cancer cells by suppressing EGFR expression [15].
81	Moreover, we recently demonstrated that OBP-301 and p53-armed OBP-702 exhibit
82	high antitumor efficacy against KRAS-mutant human pancreatic cancer cells via the
83	KRAS-MEK-ERK signaling pathway [16]. Therefore, we hypothesized that OBP-301
84	and OBP-702 would be effective for use in eliminating KRAS/BRAF-mutant CRC
85	cells.
86	In the present study, we investigated the therapeutic potential of the telomerase-
87	specific replication-competent oncolytic adenoviruses OBP-301 and p53-armed OBP-
88	702 for eliminating human CRC cells with differing KRAS/BRAF mutation status and
89	microsatellite stability. The ability of OBP-301 and OBP-702 to induce apoptosis and
90	autophagy and modulate the EGFR-MEK-ERK and AKT-mTOR signaling pathways
91	was analyzed by Western blotting.
92	
93	Materials and Methods
94	Cell lines

The human CRC cell line Colo320DM was obtained from the Japanese Collection of
Research Bioresources Cell Bank (Osaka, Japan). The human CRC cell lines SW48,

109	Recombinant adenoviruses
108	
107	37°C in a humidified atmosphere with 5% CO <sub>2</sub> .
106	FBS and 1% non-essential amino acids solution. The cells were routinely maintained at
105	$\mu$ g/ml streptomycin. Culture medium for CACO-2 cells were supplemented with 20%
104	were supplemented with 10% fetal bovine serum (FBS), 100 U/ml penicillin, and 100
103	Medium. HCT116 and HT-29 cells were maintained in McCoy's 5A medium. All media
102	medium. CACO-2 and RKO cells were maintained in Eagle's Minimal Essential
101	medium. SW48, SW620, DLD-1, and COLO205 cells were maintained in RPMI-1640
100	Ibaraki, Japan). Colo320DM cells were maintained in Dulbecco's modified Eagle's
99	COLO205 were obtained from the Riken BioResource Research Center (Tsukuba,
98	Culture Collection (Manassas, VA, USA). The human CRC cell lines CACO-2 and
97	SW620, DLD-1, HCT116, HT29, and RKO were obtained from the American Type

110 The telomerase-specific replication-competent adenovirus OBP-301 (suratadenoturev),

in which the promoter element of the human telomerase reverse transcriptase gene

- drives expression of the *E1A* and *E1B* genes, was previously constructed and
- 113 characterized [13, 17] (Fig 1A). OBP-702 was generated by modifying OBP-301 via
- insertion of a human wild-type *p53* gene expression cassette into the *E3* region of OBP-
- 115 301 [14, 18] (**Fig 1B**).

116

## 117 Cell viability assay

118 Cells were seeded in 96-well plates at a density of  $10^3$  cells/well. After 24 h, cells were

119 infected with OBP-301 or OBP-702 at a multiplicity of infection (MOI) of 0, 1, 5, 10,

120 50, or 100 plaque-forming units (PFU)/cell. Uninfected (mock-treated) cells were used

as virus-infected cells at an MOI of 0. Cell viability was determined on day 3 after virus

122 infection using a Cell Proliferation Kit II (Roche Molecular Biochemicals, Indianapolis,

123 IN, USA) according to the manufacturer's protocol.

124

## 125 Western blot analysis

126 Cells were seeded in a 100-mm dish at a density of  $10^5$  cells/dish 24 h before virus

127 infection. The cells were then infected for 72 h with OBP-301 or OBP-702 at the

128 indicated MOI. Uninfected (mock-treated) cells were used as virus-infected cells at an

MOI of 0. Whole-cell lysates were prepared in lysis buffer (50 mM Tris-HCl [pH 7.4],

130 150 mM NaCl, 1% Triton X-100) containing a protease inhibitor cocktail (Complete

131 Mini; Roche Applied Science, Mannheim, Germany). Proteins (20 µg per lane) were

electrophoresed on 6-10% SDS polyacrylamide gels and then transferred onto

133 polyvinylidene difluoride membranes (Hybond-P; GE Health Care, Buckinghamshire,

134 UK). Blots were blocked with Blocking One (Nacalai Tesque, Kyoto, Japan) at room

temperature for 30 min. The primary antibodies used were as follows: rabbit anti-poly

136 (ADP-ribose) polymerase (PARP) polyclonal antibody (pAb) (1:1000, 9542; Cell

137 Signaling Technology, Danvers, MA, USA), rabbit anti-p62 pAb (1:1000, 5114; Cell

138 Signaling Technology), mouse anti-E1A monoclonal antibody (mAb) (1:500, 554155;

BD Bioscience, Franklin Lakes, NJ, USA), mouse anti-p53 mAb (1:1000, 18032; Cell

140 Signaling Technology), rabbit anti-EGFR pAb (1:1000, 2232; Cell Signaling

141 Technology), mouse anti-MEK1/2 mAb (1:1000, 4694; Cell Signaling Technology),

rabbit anti-ERK1/2 mAb (1:1000, 4695; Cell Signaling Technology), rabbit anti-AKT

143 mAb (1:1000, 4691; Cell Signaling Technology), rabbit anti-mTOR mAb (1:1000,

144 2983; Cell Signaling Technology), and mouse anti–β-Actin mAb (1:5000, A5441;

145	Sigma-Aldrich, St. Louis, MO, USA). The secondary antibodies used were horseradish
146	peroxidase-conjugated antibodies against mouse IgG (1:2500, NA931; GE Healthcare)
147	or rabbit IgG (1:5000, NA934; GE Healthcare). Immunoreactive bands on the blots
148	were visualized using enhanced chemiluminescence substrate (ECL Prime; GE
149	Healthcare).
150	
151	Statistical analysis
152	Data are expressed as means $\pm$ SD. The significance of differences was assessed using
153	the Student's <i>t</i> -test. Statistical significance was defined as $P < 0.05$ .
154	
155	Results
156	In vitro cytopathic effect of OBP-301 against human CRC cells with different
157	KRAS/BRAF mutation status
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157 158 159 160	KRAS/BRAF mutation status To investigate the therapeutic potential of oncolytic adenoviruses against human CRC cells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSS type), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRC
157 158 159 160 161	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and three
157 158 159 160 161 162	KRAS/BRAF mutation status To investigate the therapeutic potential of oncolytic adenoviruses against human CRC cells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSS type), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRC cell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and three BRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), and
<ol> <li>157</li> <li>158</li> <li>159</li> <li>160</li> <li>161</li> <li>162</li> <li>163</li> </ol>	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and threeBRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), andCOLO205 (MSS type). The viability of CRC cells after infection with OBP-301 for 72
<ol> <li>157</li> <li>158</li> <li>159</li> <li>160</li> <li>161</li> <li>162</li> <li>163</li> <li>164</li> </ol>	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and threeBRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), andCOLO205 (MSS type). The viability of CRC cells after infection with OBP-301 for 72h was assessed using an XTT assay. OBP-301 treatment significantly suppressed the
<ol> <li>157</li> <li>158</li> <li>159</li> <li>160</li> <li>161</li> <li>162</li> <li>163</li> <li>164</li> <li>165</li> </ol>	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and threeBRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), andCOLO205 (MSS type). The viability of CRC cells after infection with OBP-301 for 72h was assessed using an XTT assay. OBP-301 treatment significantly suppressed theviability of KRAS/BRAF wild-type and KRAS-mutant CRC cells, independent of
<ol> <li>157</li> <li>158</li> <li>159</li> <li>160</li> <li>161</li> <li>162</li> <li>163</li> <li>164</li> <li>165</li> <li>166</li> </ol>	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and threeBRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), andCOL0205 (MSS type). The viability of CRC cells after infection with OBP-301 for 72h was assessed using an XTT assay. OBP-301 treatment significantly suppressed theviability of KRAS/BRAF wild-type and KRAS-mutant CRC cells, independent ofmicrosatellite status (Fig 2A and B). BRAF-mutant CRC cells were relatively less
<ol> <li>157</li> <li>158</li> <li>159</li> <li>160</li> <li>161</li> <li>162</li> <li>163</li> <li>164</li> <li>165</li> <li>166</li> <li>167</li> </ol>	KRAS/BRAF mutation statusTo investigate the therapeutic potential of oncolytic adenoviruses against human CRCcells, we used three KRAS/BRAS wild-type human CRC cell lines, Colo320DM (MSStype), SW48 (MSI type), and CACO-2 (MSS type); three KRAS-mutant human CRCcell lines, SW620 (MSS type), DLD-1 (MSI type), and HCT116 (MSI type); and threeBRAF-mutant human CRC cell lines, HT29 (MSS type), RKO (MSI type), andCOLO205 (MSS type). The viability of CRC cells after infection with OBP-301 for 72h was assessed using an XTT assay. OBP-301 treatment significantly suppressed theviability of KRAS/BRAF wild-type and KRAS-mutant CRC cells, independent ofmicrosatellite status (Fig 2A and B). BRAF-mutant CRC cells were relatively lesssensitive to OBP-301 compared with KRAS/BRAF wild-type or KRAS-mutant CRC

human CRC cells with wild-type KRAS/BRAF or mutant KRAS, but not mutant BRAF.

# OBP-301 induces autophagy in human CRC cells with different KRAS/BRAF mutation status

173 Oncolytic adenoviruses have been shown to induce autophagy-related cell death in tumor cells [19-21]. We previously demonstrated that OBP-301 and OBP-702 exhibit 174 cytopathic activity in association with autophagy and apoptosis in a variety of human 175 cancer cells [15, 18]. To investigate whether OBP-301 induces autophagy and apoptosis 176 177 in human CRC cells, human CRC cells with different KRAS/BRAF mutation status 178 were infected with OBP-301 for 72 h, and cell lysates were then prepared and subjected to Western blotting. OBP-301 treatment induced apoptosis with upregulation of C-PARP 179 (but not autophagy due to a lack of p62 expression) in KRAS/BRAF wild-type 180 Colo320DM cells (Fig 3A and Fig S1). Following OBP-301 treatment, KRAS/BRAF 181 wild-type SW48 cells exhibited autophagy with downregulation of p62 (Fig 3A and Fig 182 S1). OBP-301 treatment further induced autophagy (but not apoptosis) in KRAS-mutant 183 and BRAF-mutant CRC cells (Fig 3B and C and Figs S2 and S3). The expression of 184 p53 protein was decreased by OBP-301 treatment in both p53-intact (SW48, RKO) and 185 p53-mutant (Colo320DM, SW620, HT29, DLD-1) CRC cells (Fig 3). These results 186 suggest that OBP-301 has therapeutic potential to induce autophagy in human CRC 187 cells with wild-type KRAS/BRAF or mutant KRAS. However, OBP-301-induced 188 autophagy was not effective to treat BRAF-mutant CRC cells. 189 190

*In vitro* cytopathic effect of OBP-702 against human CRC cells with different
 KRAS/BRAF mutation status

- 193 To investigate the therapeutic potential of p53-armed OBP-702 against human CRC
- 194 cells, human CRC cells with different KRAS/BRAF mutation status were treated with
- OBP-702 for 72 h, and the viability of the cells was then assessed by XTT assay. OBP-
- 196 702 treatment significantly decreased the viability of KRAS/BRAF wild-type, KRAS-
- 197 mutant, and BRAF-mutant CRC cells, independent of microsatellite status (Fig 4).
- 198 These results suggest that OBP-702 has therapeutic potential against human CRC cells
- 199 independent of KRAS/BRAF mutation and microsatellite status.
- 200

## 201 OBP-702 induces apoptosis and autophagy in human CRC cells with different

## 202 KRAS/BRAF mutation status

- 203 To investigate whether OBP-702 induces autophagy and apoptosis in human CRC cells,
- 204 human CRC cells with different KRAS/BRAF mutation status were infected with OBP-
- 205 702 for 72 h, after which cell lysates were prepared and subjected to Western blotting.
- 206 OBP-702 treatment induced apoptosis in all CRC cells with different KRAS/BRAF
- 207 mutation status (Fig 5 and Figs S4-6). Moreover, OBP-702 treatment induced
- autophagy in all CRC cells except KRAS/BRAF wild-type Colo320DM cells, which
- lack p62 expression (Fig 5 and Figs S4-6). The expression of p53 protein was increased
- by OBP-702 treatment in both p53-intact (SW48, RKO) and p53-mutant (Colo320DM,
- SW620, HT29, DLD-1) CRC cells (Fig 5 and Figs S4-6). These results suggest that
- 212 OBP-702 has therapeutic potential to induce apoptosis and autophagy in human CRC
- 213 cells independent of KRAS/BRAF mutation status.

214

#### 215 **OBP-702** suppresses the EGFR-MEK-ERK and AKT-mTOR signaling pathways in

216 BRAF-mutant human CRC cells more strongly than OBP-301

217	BRAF-mutant CRC cells have been shown to exhibit activation of the EGFR-MEK-
218	ERK and AKT-mTOR signaling pathways, resulting in malignant progression [22]. To
219	explore the underlying mechanism of differing sensitivity to OBP-301 and OBP-702 in
220	BRAF-mutant CRC cells, we investigated whether OBP-301 and OBP-702 suppress the
221	EGFR-MEK-ERK and AKT-mTOR signaling pathways in BRAF-mutant CRC cells.
222	BRAF-mutant HT29 cells were treated with OBP-301 or OBP-702 for 72 h, after which
223	cell lysates were prepared and subjected to Western blotting. OBP-301 and OBP-702
224	efficiently suppressed the expression of EGFR, MEK, ERK, and AKT proteins (Fig 6A
225	and Figs S7 and S8). However, mTOR expression was suppressed by OBP-702 but not
226	OBP-301 (Fig 6A and Figs S7 and S8). These results suggest that OBP-702 is superior
227	to OBP-301 in suppressing the AKT-mTOR signaling pathway, although the EGFR-
228	MEK-ERK signaling pathway was similarly suppressed by OBP-301 and OBP-702.
229	To evaluate whether oncolytic virus-mediated p53 activation suppresses the
230	expression of mTOR in BRAF-mutant CRC cells, BRAF-mutant HT29 cells were
231	treated with OBP-301 and p53-expressing Ad-p53 or non-expressing control DL312 for
232	72 h. OBP-301 monotherapy induced autophagy with downregulation of p62, but it did
233	not induce apoptosis, whereas treatment with Ad-p53 or DL312 did not induce either
234	apoptosis or autophagy (Fig 6B and Fig S9). Combination therapy with OBP-301 and
235	Ad-p53 induced apoptosis with upregulation of C-PARP and p53, in addition to
236	autophagy, more strongly than combination treatment with OBP-301 and DL312 (Fig
237	6B and Fig S9). The expression of mTOR protein was suppressed by combination
238	treatment with OBP-301 and Ad-p53 but not combination treatment with OBP-301 and
239	DL312, although the expression of EGFR, MEK, ERK, and AKT proteins was similarly
240	suppressed by combination treatment with OBP-301 and Ad-p53 or DL312 (Fig 6B and

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Fig S9). These results suggest that oncolytic virus-mediated p53 activation plays a
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crucial role in suppression of the AKT-mTOR signaling pathway and apoptosis

243 induction in BRAF-mutant CRC cells.

244

245 **Discussion** 

KRAS/BRAF-mutant CRC cells are thought to exhibit greater malignant potential in 246 association with a greater likelihood of progression, metastasis, and resistance to EGFR-247 targeted therapies compared with KRAS/BRAF wild-type CRC cells [2, 3]. Therefore, 248 novel therapeutic strategies to treat KRAS/BRAF-mutant CRC are needed. In this study, 249 250 we investigated the therapeutic potential of OBP-301 and OBP-702 against human CRC cells with different KRAS/BRAF mutation and microsatellite status. KRAS wild-type 251 and KRAS-mutant CRC cells were sensitive to both OBP-301 and OBP-702 252 independent of microsatellite status. BRAF-mutant CRC cells were less sensitive to 253 OBP-301 compared with OBP-702. OBP-301 induced autophagy in association with 254 downregulation of p62 and p53 protein expression in CRC cells, whereas OBP-702 255 induced apoptosis and autophagy in association with upregulation of C-PARP and p53 256 protein expression in CRC cells. OBP-301 and OBP-702 efficiently suppressed the 257 expression of EGFR, MEK, ERK, and AKT proteins in BRAF-mutant CRC cells (Fig 258 6C). However, mTOR expression was suppressed by OBP-702 but not OBP-301 (Fig 259 **6C**). Thus, p53-armed oncolvtic virotherapy appears to be a promising antitumor 260 strategy that strongly induces apoptosis and autophagy in KRAS/BRAF-mutant CRC 261 cells by suppressing the EGFR-MEK-ERK and AKT-mTOR signaling pathways. 262 Oncolytic virotherapy with various viruses has been shown to induce the antitumor 263 effect against KRAS/BRAF-mutant cancers, including CRC [23-26]. Jiffry et al. 264

265	demonstrated that oncolytic reovirus-induced autophagy is effective to treat KRAS-
266	mutant CRC cells [24]. Oncolytic adenovirus-induced autophagy has also been
267	suggested to contribute to antitumor effect via mediating oncolysis and autophagy-
268	related cell death [27]. We previously reported that OBP-301 induces autophagy-related
269	cell death in human lung cancer cells by suppressing the EGFR expression [15]. OBP-
270	301 and OBP-702 efficiently induced autophagy in KRAS/BRAF wild-type and KRAS-
271	mutant CRC cells, although p53 expression was differentially modulated by OBP-301
272	and OBP-702. These findings suggest that autophagy induction may be involved in the
273	therapeutic effect of OBP-301 and OBP-702 independent of p53 modulation.
274	Autophagy plays both pro-survival and antitumoral roles in CRC cells [28]. The EGFR
275	signaling pathway modulates autophagy in association with cell survival and cell death
276	[29]. Li et al. demonstrated that the anti-EGFR antibody cetuximab induces autophagy
277	in association with cell death in human CRC cells by activating the Beclin 1/hVps34
278	complex [30]. Giannopoulou et al. showed that the anti-EGFR antibody pantitumumab
279	induces autophagy-related death in KRAS-mutant CRC cells by increasing the Beclin 1
280	protein level [31]. We also demonstrated that OBP-301 and OBP-702 induce autophagy-
281	related death in KRAS-mutant human pancreatic cancer cells by suppressing the KRAS
282	signaling pathway [16]. Thus, oncolytic virotherapy appears to be a promising
283	antitumor strategy for inducing autophagy-related death in KRAS/BRAF wild-type and
284	KRAS-mutant CRC cells by suppressing the EGFR-KRAS signaling pathway.
285	p53-armed OBP-702 induced apoptosis in BRAF-mutant CRC cells, whereas non-
286	armed OBP-301 or p53-expressing Ad-p53 did not induce apoptosis, suggesting that
287	p53-activating oncolytic virotherapy would be effective for treating BRAF-mutant CRC
288	via apoptosis induction. With regard to the molecular mechanism of the OBP-702-

289	mediated cytopathic effect against BRAF-mutant CRC cells, our data showed that OBP-
290	702 suppresses the expression of mTOR protein in BRAF-mutant CRC cells.
291	Accumulating evidence indicates that p53 activation inhibits the mTOR pathway at
292	transcriptional and non-transcriptional levels [32]. With regard to the role of mTOR
293	inhibition in BRAF-mutant CRC cells, Mao et al. demonstrated that suppression of the
294	AKT-mTOR signaling pathway enhances the sensitivity to BRAF inhibition in BRAF-
295	mutant CRC cells [33]. Garcia-Garcia et al. showed that dual blockade of the MEK-
296	ERK and AKT-mTOR signaling pathways results in the induction of apoptosis in
297	BRAF-mutant RKO cells (p53 wild-type) but not BRAF-mutant HT-29 cells (p53
298	mutant) [34], suggesting that p53 activation plays an important role in suppression of
299	the MEK-ERK and AKT-mTOR signaling pathways, followed by apoptosis induction.
300	Although whether suppression of mTOR is associated with OBP-702-induced apoptosis
301	remains unclear, He et al. demonstrated that mTOR inhibitors induce apoptosis in
302	KRAS/BRAF-mutant CRC cells via the extrinsic apoptotic pathway [35]. Thus, OBP-
303	702 treatment may contribute to the induction of apoptosis in BRAF-mutant CRC cells
304	by suppressing the AKT-mTOR and EGFR-MEK-ERK signaling pathways and
305	activating the p53 signaling pathway.
306	Combination of oncolytic virotherapy with small molecules targeting the MEK-
307	ERK pathway has also been shown to induce more profound antitumor efficacy than
308	monotherapy in <i>in vivo</i> tumor models with KRAS/BRAF mutations [36]. Lee et al.
309	showed that combination of MEK inhibitor promotes the antitumor efficacy of oncolytic
310	vaccinia virus against chemo-resistant human ovarian cancer cells via enhancement of

- virus replication [37]. Bommareddy et al. demonstrated that combination of MEK
- 312 inhibitor enhances antitumor effect of oncolytic herpes simplex virus against KRAS-

mutant murine CRC tumors via enhancement of cytopathic activity and antitumor
immunity [38]. Thus, *in vivo* experiments using immune-deficient and immunecompetent mice are warranted to evaluate the therapeutic potential of OBP-301 and
OBP-702 against KRAS/BRAF-mutant CRC tumors in monotherapy and combination
therapy with small molecules targeting the MEK-ERK pathway.

Clinical application of OBP-301 and OBP-702 is expected as treatment modalities 318 for CRC. Administration route of OBP-301 and OBP-702 is limited to intratumoral 319 injection to avoid the antiviral immune response mediated by circulating neutralizing 320 antibody. Therefore, rectal cancer may be suitable in treating accessible CRC tumors. 321 322 We previously reported that intratumoral injection of OBP-301 suppresses lymph node metastasis in an orthotopic rectal tumor model with KRAS-mutant HCT116 cells [39]. It 323 has also been shown that intratumoral injection of OBP-702 exhibits antitumor effect in 324 subcutaneous and orthotopic tumor models with human pancreatic cancer cells 325 harboring in-frame BRAF deletion [16]. More recently, we demonstrated that 326 endoscopic intratumoral injection of OBP-301 with radiotherapy was feasible and well 327 tolerated in esophageal cancer patients [40]. Although whether the feasibility and safety 328 329 of intratumoral injection of OBP-702 in CRC patients remains to be elucidated, rectal cancers with KRAS/BRAF mutations may be potent candidate for treating with OBP-330 301 and OBP-702. 331

In conclusion, we demonstrated that the telomerase-specific oncolytic adenoviruses OBP-301 and OBP-702 have therapeutic potential for inducing autophagy-related death in KRAS wild-type and KRAS-mutant CRC cells. Moreover, OBP-702–mediated p53 activation may provide a novel therapeutic option for inducing apoptosis in BRAFmutant CRC cells. *In vivo* experiments are needed to evaluate the therapeutic potential

of OBP-301 and OBP-702 against KRAS/BRAF-mutant CRC tumors.

338

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#### 342 Authors' contributions

- 343 Conception and design: H.T., S.Ka., T.F.
- 344 Development of methodology: S.T., N.H., Y.L., M.Y.
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- 350

## 351 **Conflict of interest**

- 352 Y.U. is the President & CEO of Oncolys BioPharma, Inc. H.T. and T.F. are consultants
- 353 for Oncolys BioPharma, Inc. The other authors have no potential conflicts of interest to
- disclose. This does not alter our adherence to PLOS ONE policies on sharing data and
- 355 materials.

356

#### 357 Abbreviations

- 358 CRC, colorectal cancer; EGFR, epidermal growth factor receptor; mAb, monoclonal
- antibody; MOI, multiplicity of infection; MSI, microsatellite instable; MSS,
- 360 microsatellite stable; pAb, polyclonal antibody; PARP, poly (ADP-ribose) polymerase;

361 PFU, plaque-forming units.

362

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544		

546	Figure	legends

547	Fig 1. Structures of telomerase-specific replication-competent oncolytic
548	adenoviruses. A OBP-301 is a telomerase-specific replication-competent oncolytic
549	adenovirus in which the <i>hTERT</i> promoter drives expression of the <i>E1A</i> and <i>E1B</i> genes.
550	<b>B</b> OBP-702 is a p53-armed OBP-301 variant in which the <i>Egr1</i> promoter drives
551	expression of the human wild-type <i>p53</i> gene. Ad5, adenovirus serotype 5; hTERT,
552	human telomerase reverse transcriptase; IRES, internal ribosome entry site; ITR,
553	inverted terminal repeat.
554	
555	Fig 2. OBP-301 reduces the viability of human CRC cells with wild-type and
556	mutant KRAS. A, B, C Human CRC cells with different KRAS/BRAF mutation status,
557	KRAS/BRAF wild type (A), mutant KRAS (B), and mutant BRAF (C), were treated
558	with OBP-301 at the indicated multiplicity of infection (MOI) for 72 h. Cell viability
559	was quantified using the XTT assay. Uninfected (mock-treated) cells were shown as
560	virus-infected cells at an MOI of 0. Cell viability was calculated relative to that of the
561	mock-treated group, which was set at 100%. Cell viability data are expressed as mean $\pm$
562	SD (n = 5). The Student's <i>t</i> -test was used to evaluate the significance of differences.
563	* <i>P</i> <0.05; ** <i>P</i> <0.01 (versus an MOI of 0).
564	
565	Fig 3. OBP-301 induces autophagy in human CRC cells. A, B, C Human CRC cells
566	with different KRAS/BRAF mutation status, KRAS/BRAF wild type (A), mutant

- 567 KRAS (B), and mutant BRAF (C), were treated with OBP-301 at the indicated MOI for
- 568 72 h. Cell lysates were prepared and subjected to Western blot analysis of PARP,
- 569 cleaved PARP (C-PARP), p62, E1A, and p53 expression.  $\beta$ -Actin was assayed as a

570 loading control. Uninfected (mock-infected) cells were shown as virus-infected cells at571 an MOI of 0.

572

#### 573 Fig 4. OBP-702 reduces the viability of human CRC cells independent of

574 KRAS/BRAF mutation status. A, B, C Human CRC cells with different KRAS/BRAF

575 mutation status, KRAS/BRAF wild type (A), mutant KRAS (B), and mutant BRAF (C),

were treated with OBP-702 at the indicated MOI for 72 h. Cell viability was quantified

using the XTT assay. Uninfected (mock-treated) cells were shown as virus-infected cells

at an MOI of 0. Cell viability was calculated relative to that of the mock-treated group,

579 which was set at 100%. Cell viability data are expressed as mean  $\pm$  SD (n = 5). The

580 Student's *t*-test was used to evaluate the significance of differences. \*P < 0.05; \*\*P < 0.01

581 (versus an MOI of 0).

582

## 583 Fig 5. OBP-702 induces apoptosis and autophagy in human CRC cells. A, B, C

584 Human CRC cells with different KRAS/BRAF mutation status, KRAS/BRAF wild type

585 (A), mutant KRAS (B), and mutant BRAF (C), were treated with OBP-702 at the

indicated MOI for 72 h. Cell lysates were prepared and subjected to Western blot

587 analysis of PARP, cleaved PARP (C-PARP), p62, E1A, and p53 expression. β-Actin was

- assayed as a loading control. Uninfected (mock-infected) cells were shown as virus-
- 589 infected cells at an MOI of 0.
- 590

#### 591 Fig 6. OBP-702 suppresses the EGFR-MEK-ERK and AKT-mTOR signaling

592 pathways in BRAF-mutant CRC cells. A BRAF-mutant HT29 cells were treated with

593 OBP-301 or OBP-702 at the indicated MOI for 72 h. Cell lysates were prepared and

594	subjected to Western blot analysis of EGFR, MEK, ERK, AKT, and mTOR expression.
595	<b>B</b> BRAF-mutant HT29 cells were treated with OBP-301, DL312, or Ad-p53 at the
596	indicated MOI for 72 h. Cell lysates were prepared and subjected to Western blot
597	analysis of PARP, C-PARP, p62, E1A, p53, EGFR, MEK, ERK, AKT, and mTOR
598	expression. $\beta$ -Actin was assayed as a loading control. Uninfected (mock-infected) cells
599	were shown as virus-infected cells at an MOI of 0. C Outline of the EGFR-MEK-ERK
600	and AKT-mTOR signaling pathways in BRAF-mutant CRC cells infected with OBP-
601	301 or OBP-702.
602	
603	Supporting information
604	S1 Fig. Full image of Figure 3A
605	S2 Fig. Full image of Figure 3B
606	S3 Fig. Full image of Figure 3C
607	S4 Fig. Full image of Figure 5A

- 608 S5 Fig. Full image of Figure 5B
- 609 S6 Fig. Full image of Figure 5C
- 610 S7 Fig. Full image of Figure 6A (OBP-301)
- 611 S8 Fig. Full image of Figure 6A (OBP-702)
- 612 S9 Fig. Full image of Figure 6B