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## A certain type of commutative Hopf Galois extensions and their groups

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## A CERTAIN TYPE OF COMMUTATIVE HOPF GALOIS EXTENSIONS AND THEIR GROUPS

ATSUSHI NAKAJIMA

Let  $R$  be a commutative ring with identity,  $H$  a finite Hopf algebra over  $R$ , and  $H^* = \text{Hom}_R(H, R)$ . In [1], Chase and Sweedler introduced the notion of a Galois  $H$ -object of  $R$  as a generalization of a commutative Galois extension of  $R$ . A Galois  $H$ -object is nothing but an  $H^*$ -Hopf Galois extension of  $R$  in the sense of [8]. In this paper we consider a certain type of Hopf algebra  $H$ . We shall characterize commutative  $H$ -Hopf Galois extensions of  $R$  and determine the group of isomorphism classes of such extensions for some special cases.

Now, let  $R$  be a commutative algebra over the prime field  $GF(p)$  ( $p \neq 0$ ),  $u$  an element in  $R$ , and  $m$  a positive integer. We denote by  $H(u, p^m)$ , the free Hopf algebra over  $R$  with basis  $\{1, \delta, \dots, \delta^{p^m-1}\}$  whose Hopf algebra structure is defined by

$$\begin{aligned} \delta^{p^m} &= 0, \quad \Delta(\delta) = \delta \otimes 1 + 1 \otimes \delta + u(\delta \otimes \delta), \quad \varepsilon(\delta) = 0 \quad \text{and} \\ \lambda(\delta) &= \sum_{i=1}^{p^m-1} (-1)^i u^{i-1} \delta^i, \end{aligned}$$

where  $\Delta$ ,  $\varepsilon$  and  $\lambda$  are the comultiplication, counit and antipode of  $H(u, p^m)$ , respectively. In §1, we characterize commutative  $H(u, p^m)$ -Hopf Galois extensions of  $R$ . Using this characterization, we show that a commutative  $H(u, p^m)$ -Hopf Galois extension is a cyclic  $p^m$ -extension [2], a purely inseparable extension [6], or a strongly radical extension [7] according as  $u$  is invertible, or  $u=0$ , or  $u$  is nilpotent. In §2, for  $H(u, p^2)$ -Hopf Galois extensions  $A$  and  $B$  of  $R$ , we determine  $H(u, p^2)$ -module algebra isomorphisms from  $A$  to  $B$  and give a system of generators of the  $H(u, p^2)$ -Hopf Galois extension  $A \cdot B$  of  $R$ . In §3, using results in §1 and §2, we determine the group of  $H(u, p^m)$ -Hopf Galois extensions in the following two cases: (1)  $p$  is an arbitrary prime and  $m=1$ . (2)  $p=2$  and  $m=2$ .

Throughout the following,  $R$  is a commutative algebra over  $GF(p)$  ( $p \neq 0$ ), each  $\otimes$ ,  $\text{Hom}$ , etc. is taken over  $R$  and each map is  $R$ -linear unless otherwise stated. By an  $R$ -algebra  $A$  we always assume that  $A$  is a ring extension of  $R$  with the same identity. All  $R$ -algebra homomorphisms are unitary. We freely use the notations, terminologies and the results of Hopf algebras and Galois  $H$ -objects in Sweedler [5] and Chase-Sweedler [1].

**0. Preliminaries.** Let  $H$  be a finite cocommutative Hopf algebra over  $R$ . Let  $A$  be an  $H$ -module. Then  $A \otimes A$  and  $R$  are  $H$ -modules via, respectively,

$$(0.1) \quad h(a \otimes b) = \sum_{(h)} h_{(1)} a \otimes h_{(2)} b, \quad \text{where } \Delta(h) = \sum_{(h)} h_{(1)} \otimes h_{(2)}$$

and

$$(0.2) \quad h(r) = \varepsilon(h)r.$$

An  $R$ -algebra  $A$  is called an  $H$ -module algebra if  $A$  is an  $H$ -module such that the multiplication map and the unit map of  $A$  are  $H$ -module homomorphisms. These conditions say that

$$(0.3) \quad h(ab) = \sum_{(h)} (h_{(1)} a)(h_{(2)} b) \quad \text{and} \quad h(1) = \varepsilon(h)1.$$

If  $A$  and  $B$  are  $H$ -module algebras and  $f \in \text{Hom}(A, B)$ , then  $f$  is called an  $H$ -module algebra homomorphism if it is an  $H$ -module homomorphism and an  $R$ -algebra homomorphism. For an  $H$ -module algebra  $A$ , the smash product  $A \# H$  is equal to  $A \otimes H$  as an  $R$ -module with multiplication

$$(0.4) \quad (a \# h)(b \# k) = \sum_{(h)} a(h_{(1)} b) \# h_{(2)} k \quad (a, b \in A, h, k \in H).$$

A commutative  $H$ -module algebra  $A$  is called an  $H$ -Hopf Galois extension of  $R$  if  $A$  is a finitely generated projective  $R$ -module and the map  $\phi: A \# H \rightarrow \text{Hom}(A, A)$  defined by  $\phi(a \# h)(x) = ah(x)$  is an isomorphism. Then by [1, Th.9.3],  $A$  is an  $H$ -Hopf Galois extension if and only if  $A$  is a Galois  $H^*$ -object. When this is the case  $A^H = \{a \in A \mid ha = \varepsilon(h)a \text{ for all } h \in H\}$  is equal to  $R$ . Moreover if  $H$  has a constant rank  $n$ , then  $A$  has the same rank  $n$ .

Let  $A$  be a commutative  $H(u, p^m)$ -module algebra. Then by (0.3), we have

$$(0.5) \quad \delta(ab) = \delta(a)b + a\delta(b) + u\delta(a)\delta(b) \quad \text{and} \quad \delta(1) = 0.$$

Note that the formula (0.5) depends only on the coalgebra structure of  $\delta$ . Moreover by (0.4) and the coalgebra structure of  $\delta$ , we have

$$(0.6) \quad (1 \# \delta)(a \# 1) = \delta(a) \# 1 + a \# \delta + u\delta(a) \# \delta.$$

In the rest of this section, assume that  $H = H(u, p^m)$  and  $A$  is a commutative  $H$ -module algebra. First, we formulate the operation of  $\delta$  on  $A$ . By inductions, the following two lemmas are proved by (0.5).

**Lemma 0.1.** For any  $a \in A$ , we have the following:

$$(1) \quad \delta(a^n) = \sum_{i=1}^n \binom{n}{i} u^{i-1} a^{n-i} \delta(a)^i \quad (1 \leq n \leq p-1).$$

$$(2) \quad \delta(a^p) = u^{p-1} \delta(a)^p.$$

(3) If  $\delta(a)=1$ , then  $\delta^n(a^n)=n!$ .

**Lemma 0.2.** Suppose that there exist  $\delta_1, \dots, \delta_s$  in  $H$  and  $u_1, \dots, u_s$  in  $R$  such that

$$(0.7) \quad \Delta(\delta_i) = \delta_i \otimes 1 + 1 \otimes \delta_i + u_i(\delta_i \otimes \delta_i) \quad \text{and} \quad \varepsilon(\delta_i) = 0 \quad (1 \leq i \leq s).$$

If there exist  $x_1, \dots, x_s$  in  $A$  such that  $\delta_i(x_j) = 0$  and  $\delta_i(x_i) = 1$  ( $1 \leq j < i \leq s$ ), then the followings hold for any non-negative integer  $j_1, \dots, j_k \leq p-1$ :

- (1)  $\delta_k(x_1^{j_1} \cdots x_n^{j_n}) = 0$  for  $1 \leq n < k$ .
- (2)  $\delta_k^l(x_1^{j_1} \cdots x_k^{j_k}) = x_1^{j_1} \cdots x_{k-1}^{j_{k-1}} \delta_k^l(x_k^{j_k})$  for  $1 \leq l < j_k$ .
- (3)  $\delta_k^l(x_1^{j_1} \cdots x_k^{j_k}) = 0$  for  $l > j_k$ .

Note that if we set  $\delta_i = \delta^{p^{i-1}}$  and  $u_i = u^{p^{i-1}}$ , then  $\delta_1, \dots, \delta_m$  in  $H$  and  $u_1, \dots, u_m$  in  $R$  satisfies the assumption (0.7).

**Lemma 0.3.** Let  $\delta_j \in H$  and  $u_j \in R$  be as in Lemma 0.2, and  $R_j = \{a \in A \mid \delta_j(a) = 0\}$ . If there exists  $a \in A$  such that  $\delta_j(a) = 1$ , then  $1, a, \dots, a^{p-1}$  are linearly independent over  $R_j$ , and  $a^p - u_j^{p-1}a \in R_j$ .

*Proof.* Suppose that  $\sum_{i=0}^{p-1} r_i a^i = 0$  ( $r_i \in R_j$ ). By  $\delta_j(r_i) = 0$  ( $0 \leq i \leq p-1$ ) and Lemma 0.1 (3),

$$0 = \delta_j^{p-1}(\sum_{i=0}^{p-1} r_i a^i) = r_{p-1} \delta_j^{p-1}(a^{p-1}) = r_{p-1}(p-1)!.$$

Since  $0 \neq (p-1)! \in GF(p)$ , we have  $r_{p-1} = 0$ , and by induction,  $r_{p-2} = \dots = r_0 = 0$ . Moreover by Lemma 0.1 (2), we have  $\delta_j(a^p - u_j^{p-1}a) = 0$ . This proves the lemma.

**Lemma 0.4.** Suppose that there exists  $\delta_1 \in H$  and  $u_1 \in R$  such that

$$\Delta(\delta_1) = \delta_1 \otimes 1 + 1 \otimes \delta_1 + u_1(\delta_1 \otimes \delta_1) \quad \text{and} \quad \varepsilon(\delta_1) = 0.$$

If there exists  $x \in A$  such that  $\delta_1(x) = 1$ , then for any  $n$  ( $1 \leq n \leq p-1$ ), there exist a polynomial  $f_n(X) \in R_1[X]$  with  $\deg f_n(X) = n$  such that  $\delta_1(f_n(x)) = x^{n-1}$ , where  $R_1 = \{a \in A \mid \delta_1(a) = 0\}$ . Moreover  $f_n(X)$  is uniquely determined by  $x^{n-1}$  except the constant term.

*Proof.* For  $n=1$ , the lemma is clear by  $\delta_1(x) = 1$ . Assume that there holds the assertion for any  $k \leq n-1$ . By Lemma 0.1 (1), we have

$$\begin{aligned} x^n &= \{1/(n+1)\} \{ \delta_1(x^{n+1}) - \sum_{i=2}^{n+1} \binom{n+1}{i} u^{i-1} x^{n+1-i} \} \\ &= \{1/(n+1)\} \{ \delta_1(x^{n+1}) - \sum_{i=2}^{n+1} \binom{n+1}{i} u^{i-1} \delta_1(f_{n+2-i}(x)) \} \\ &= \delta_1 \{ \{1/(n+1)\} (x^{n+1} - \sum_{i=2}^{n+1} \binom{n+1}{i} u^{i-1} f_{n+2-i}(x)) \}. \end{aligned}$$

If  $\delta_1(f_n(x)) = \delta_1(g_n(x)) = x^{n-1}$ , then  $\delta_1(f_n(x) - g_n(x)) = 0$  and so  $f_n(x) - g_n(x) \in R_1$ . Therefore  $f_n(X)$  is uniquely determined by  $x^{n-1}$  except the constant term.

By the above lemma, the following is easily seen.

**Corollary 0.5.** *Under the same assumptions of Lemma 0.4, for any  $g(X) \in R_1[X]$  with  $\deg g(X) \leq p-2$ , there exists  $h(X) \in R_1[X]$  such that  $\deg h(X) = \deg g(X) + 1$  and  $\delta_1(h(x)) = g(x)$ . Moreover  $h(X)$  is uniquely determined by  $g(X)$  except the constant term.*

Note that if  $g(X)$  is a monic polynomial, then the highest coefficient of  $h(X)$  is invertible in  $R$ .

**1.  $H(u, p^m)$ -Hopf Galois extensions.** In this section we characterize  $H(u, p^m)$ -Hopf Galois extensions of  $R$ . The following lemma is a special case of [8, Prop.2.1], but it is useful in our studies.

**Lemma 1.1.** *Let  $H = H(u, p^m)$ ,  $A$  an  $H$ -Hopf Galois extension of  $R$ , and  $\phi : A \# H \rightarrow \text{Hom}(A, A)$  an isomorphism defined by  $\phi(a \# h)(x) = ah(x)$ . Then  $\phi$  induces an  $R$ -module isomorphism  $(1 \# \delta^{p^m-1})(A \# R) = A^* = \text{Hom}(A, R)$ . In particular, there exists  $a \in A$  such that  $\delta^{p^m-1}(a) = 1$ .*

*Proof.* We set  $H^H = \{h \in H \mid gh = \varepsilon(g)h \text{ for all } g \in H\}$ . Since  $A \# H$  is an  $H$ -progenerator by [8, Cor.1.4], we can apply [8, Prop.2.1] to our case. By  $H^H = R\delta^{p^m-1}$ ,  $\phi$  induces a requested isomorphism. Moreover by [1, Th.9.3]  $R$  is an  $R$ -direct summand of  $A$ , there exists a projection  $\rho$  with  $\rho(1) = 1$ . Thus there exists  $a \in A$  such that  $\phi\{(1 \# \delta^{p^m-1})(a \# 1)\} = \rho$ , and therefore  $1 = \rho(1) = \delta^{p^m-1}(a)$ .

Now, for an  $H(u, p^m)$ -Hopf Galois extension  $A$  of  $R$ , we set

$$\delta_i = \delta^{p^{i-1}} \text{ and } x_i = \delta^{q_i}(a),$$

where  $q_i = p^m - p^{i-1} - 1$  ( $1 \leq i \leq m$ ) and  $a$  is an element in  $A$  such that  $\delta^{p^m-1}(a) = 1$ . Then under the above notations, we have the following

**Lemma 1.2.** *Let  $A$  be an  $H(u, p^m)$ -Hopf Galois extension of  $R$  and  $R_i = \{a \in A \mid \delta_i(a) = 0\}$ . Then  $R_1 = R$ ,  $R_i = R[x_1, \dots, x_{i-1}]$  and  $\delta(x_i) \in R[x_1, \dots, x_{i-1}]$  ( $2 \leq i \leq m$ ).*

*Proof.* By definitions of  $\delta_i$  and  $x_i$ , it suffices to prove that  $R_i$  is contained in  $R[x_1, \dots, x_{i-1}]$ . We prove this fact by induction on  $i$ . Since

$A$  is an  $H(u, p^m)$ -Hopf Galois extension,  $R_1 = R$  is clear. Assume that it is true for any  $n \leq i$ . If  $\delta_{i+1}(a) = 0 (a \in A)$ , then  $0 = \delta_{i+1}(a) = \delta_i^p(a) = \delta_i \delta_i^{p-1}(a)$  and so  $\delta_i^{p-1}(a) \in R[x_1, \dots, x_{i-1}]$  by our induction assumption. Thus we have

$$\delta_i(\delta_i^{p-2}(a) - f_1 x_i) = 0 \text{ for some } f_1 \in R[x_1, \dots, x_{i-1}],$$

and so

$$\delta_i^{p-2}(a) = f_1 x_i + f_0 \text{ for some } f_0 \in R[x_1, \dots, x_{i-1}].$$

Since  $\mathcal{L}(\delta_i) = \delta_i \otimes 1 + 1 \otimes \delta_i + u^{p^{i-1}} \delta_i \otimes \delta_i$ ,  $\varepsilon(\delta_i) = 0$  and  $\delta_i(x_i) = 1$ , we can apply Lemma 0.4 to  $f_1 x_i + f_0$  and thus there exists  $g_2(X) \in R[x_1, \dots, x_{i-1}][X]$  such that  $\deg g_2(X) = 2$  and  $\delta_i(g_2(x_i)) = f_1 x_i + f_0$ . Hence we have  $\delta_i(\delta_i^{p-3}(a) - g_2(x_i)) = 0$ . Repeating these processes, we consequently have  $a = g_{p-1}(x_i) \in R[x_1, \dots, x_i]$ . This shows that  $R_{i+1}$  is contained in  $R[x_1, \dots, x_i]$ . Since  $\delta_i \delta(x_i) = \delta^{p^m}(a) = 0$ ,  $\delta(x_i) \in R[x_1, \dots, x_{i-1}]$ , so that Lemma 1.2 is proved.

Now, we prove the main theorem in this section.

**Theorem 1.3.** *Let  $H = H(u, p^m)$ , and  $A$  an  $H$ -Hopf Galois extension of  $R$ . Let  $\delta_i = \delta^{p^{i-1}}$ ,  $R_i = \{a \in A \mid \delta_i(a) = 0\}$ , and  $H_i$  an  $R$ -Hopf subalgebra generated by  $\delta_i$  ( $1 \leq i \leq m$ ). Then there exist  $x_1, \dots, x_m$  in  $A$  which satisfy the following conditions:*

- (1)  $\delta_i(x_i) = 1, \delta_i(x_j) = 0$  and  $(\delta_k)^{p-1}(x_{k+1}) = x_k$  ( $1 \leq j < i \leq m, 1 \leq k \leq m-1$ ).
- (2)  $\{x_1^{j_1} \cdots x_m^{j_m} \mid 0 \leq j_i \leq p-1\}$  is a free basis of  $A$ .
- (3)  $R_i$  is generated by  $x_1, \dots, x_{i-1}$  as an  $R$ -algebra and  $A$  is an  $H_i$ -Hopf Galois extension of  $R_i$ .
- (4)  $x_i^p - u^{p^{i-1}} x_i \in R$  and  $x_i^p = (u^{p^{i-1}})^{p-1} x_i + f_{i-1}(x_{i-1})$  for some  $f_{i-1}(X) \in R_{i-1}[X]$  with  $\deg f_{i-1}(X) \leq p-1$  ( $2 \leq i \leq m$ ).

*Proof.* By Lemma 1.1, there exists  $a \in A$  such that  $\delta^{p^m-1}(a) = 1$ . We set  $q_i = p^m - p^{i-1} - 1$  and  $x_i = \delta^{q_i}(a)$  ( $1 \leq i \leq m$ ). Then (1) is trivial, and by Lemma 1.2 and [8, Prop.1.6], (3) is clear. Now we prove (2). By Lemmas 0.3 and 1.2,  $x_m, \dots, x_m^{p-1}$  are linearly independent over  $R_m = R[x_1, \dots, x_{m-1}]$  and so  $\{x_1^{j_1} \cdots x_m^{j_m}\}$  is linearly independent over  $R$ . Thus the  $R$ -subalgebra  $B = R[x_1, \dots, x_m]$  of  $R$  is a free  $R$ -module of rank  $p^m$ . We show that the inclusion map  $i: B \rightarrow A$  is an epimorphism. To this purpose, we may assume that  $R$  is a local ring with maximal ideal  $M$ . Then we can easily see that  $i \otimes 1: B \otimes R/M \rightarrow A \otimes R/M$  is an epimorphism. Thus  $i$  is an epimorphism, because  $A$  is a free  $R$ -module of rank  $p^m$ . This proves (2). By Lemmas 0.3 and 1.2, (4) is easily seen. This completes the proof.

If  $u=0$ , then  $\delta$  is an  $R$ -derivation on  $A$  and so  $\delta(x_i^p)=0$ . Hence  $x_i^p \in A^H=R$ . Thus we have the following

**Corollary 1.4.** *Let  $A$  be an  $H(0,p^m)$ -Hopf Galois extension of  $R$ . Then there exist  $x_1, \dots, x_m$  in  $A$  such that  $\delta_i(x_i)=1$ . Further we have  $x_i^p \in R$  and  $R[x_1, \dots, x_m]=A$ ; and there exists an  $R$ -algebra isomorphism*

$$A \cong R[X_1]/(X_1^p - x_1^p) \otimes \dots \otimes R[X_m]/(X_m^p - x_m^p).$$

**Proposition 1.5.** *If  $u$  is invertible, then an  $H(u,p^m)$ -Hopf Galois extension  $A$  of  $R$  is a cyclic  $p^m$ -extension in the sense of [2].*

*Proof.* We set  $\sigma=u\delta+1$ . Then  $\Delta(\sigma)=\sigma \otimes \sigma$ ,  $\varepsilon(\sigma)=1$  and  $\delta^{p^m}=1$ . Thus  $\sigma$  is an  $R$ -algebra automorphism of  $A$  of order  $p^m$ , because  $u$  is invertible. Moreover  $H(u,p^m)=R\langle \sigma \rangle$ ,  $\langle \sigma \rangle$  the cyclic group generated by  $\sigma$ .

The following is a generalization of [2, Ths.1.1 and 1.2].

**Corollary 1.6.** *Let  $A$  be an  $H(u,p)$ -Hopf Galois extension of  $R$ . Then there exists  $x \in A$  such that  $\delta(x)=1$ . When this is the case, there holds that  $x^p - u^{p-1}x \in R$  and  $A=R[x]$ ; and there exists an isomorphism  $A \cong R[X]/(X^p - u^{p-1}X - x^p - u^{p-1}x)$  of  $H(u,p)$ -Hopf Galois extensions of  $R$ . Conversely, let  $f(X)=X^p - u^{p-1}X - r \in R[X]$ . Then  $R[X]/(f(X))$  is an  $H(u,p)$ -Hopf Galois extension of  $R$  with a suitable action of  $\delta$ .*

*Proof.* It suffices to prove the converse part. Define  $\delta(r)=0$  ( $r \in R$ ),  $\delta(x)=1$  and inductively  $\delta(x^{i+1})=x^i + \delta(x^i)x + u\delta(x^i)$  ( $1 \leq i \leq p-1$ ), where  $x=X+(f(X))$ . Then it is easy to see that  $R[x]$  is an  $H(u,p)$ -module algebra. We have to show that the map  $\phi: R[x] \# H(u,p) \rightarrow \text{Hom}(R[x], R[x])$  defined by  $\phi(a \# h)(b)=ah(b)$  is an isomorphism. Since  $R[x]$  and  $H(u,p)$  are free  $R$ -modules of rank  $p$ , it suffices to show that  $\phi$  is an epimorphism. Passing to residue class field, this is proved easily by Cor.1.4 and Prop.1.5. But here we show that  $\phi$  is indeed an epimorphism. Let  $\rho_k: R[x] \rightarrow R[x]$  be the map defined by  $\rho_k(x^k)=1$  and  $\rho_k(x^j)=0$  ( $j \neq k$ ). We note that  $\delta^k(x^l)=0$  ( $l < k$ ),  $\delta^k(x^k)=k!$  and  $\delta^k(x^l) \in R[x]$  ( $l > k$ ) by Lemma 0.1. We put

$$\begin{aligned} a_0 &= \dots = a_{k-1} = 0, \quad a_k = 1/k!, \quad a_{k+1} = -(1/(k+1)!)a_k \delta^k(x^{k+1}), \\ &\dots\dots \\ a_{p-1} &= -(1/(p-1)!) \{ a_{p-2} \delta^{p-2}(x^{p-1}) + \dots + a_k \delta^k(x^{p-1}) \}. \end{aligned}$$

Then  $a_0, \dots, a_{p-1}$  are contained in  $R[x]$  and  $\phi(\sum_{i=0}^{p-1} a_i \# \delta^i) = \rho_k$ . Since

$\text{Hom}(R[x], R[x])$  is generated by  $\rho_1, \dots, \rho_{p-1}$  as an  $R[x]$ -algebra and  $\phi$  is an  $R[x]$ -algebra homomorphism,  $\phi$  is an epimorphism, completing the proof.

**Corollary 1.7.** *Let  $R[X_1, \dots, X_m]$  be a polynomial ring, and  $f_i(X_i) = X_i^p - r_i X_i - s_i \in R[X_i]$ . If there exist  $v_1, \dots, v_m$  in  $R$  such that  $v_i^{p-1} = r_i$ , then  $B = R[X_1, \dots, X_m]/(f_1(X_1), \dots, f_m(X_m))$  is an  $H(v_1, p) \otimes \dots \otimes H(v_m, p)$ -Hopf Galois extension of  $R$ .*

*Proof.* Let  $x_i = X_i + (f_i(X_i)) \in R[X_i]/(f_i(X_i))$ . Then  $B$  is isomorphic to  $R[X_1]/(f_1(X_1)) \otimes \dots \otimes R[X_m]/(f_m(X_m))$  as  $R$ -algebras. Thus the assertion is clear by Cor.1.6 and [1, Prop.3.2.].

**Theorem 1.8.** *Let  $H = H(u_1, p) \otimes \dots \otimes H(u_m, p)$ ,  $\{1, \delta_i, \dots, \delta_i^{p-1}\}$  a free basis of  $H(u_i, p)$ , and  $A$  an  $H$ -module algebra. Then  $A$  is an  $H$ -Hopf Galois extension of  $R$  if and only if there exist  $x_1, \dots, x_m$  in  $A$  such that  $\delta_i(x_i) = 1$ ,  $\delta_i(x_j) = 0$  ( $i \neq j$ ) and  $A$  is generated by  $x_1, \dots, x_m$  as an  $R$ -algebra. When this is the case, if we set  $f_i(X_i) = X_i^p - u_i^{p-1} X_i - s_i$  ( $s_i \in R$ ), then  $A \cong R[X_1]/(f_1(X_1)) \otimes \dots \otimes R[X_m]/(f_m(X_m))$  as  $H$ -module algebras.*

*Proof.* Let  $A$  be an  $H$ -Hopf Galois extension of  $R$ . Since  $H^H = R(\delta_1^{p-1} \otimes \dots \otimes \delta_m^{p-1})$ , we have by [8, Prop.1.2] and the proof of Lemma 1.1, there exists  $a \in A$  such that  $(\delta_1^{p-1} \otimes \dots \otimes \delta_m^{p-1})(a) = 1$ . We set  $x_i = (\delta_1^{p-1} \otimes \dots \otimes \delta_{i-1}^{p-1} \otimes \delta_i^{p-2} \otimes \delta_{i+1}^{p-1} \otimes \dots \otimes \delta_m^{p-1})(a)$ . Then  $\delta_i(x_i) = 1$ ,  $\delta_j(x_i) = 0$ ,  $\delta_i(x_i^p - u_i^{p-1} x_i) = 0$  and  $\delta_j(x_i^p - u_i^{p-1} x_i) = 0$  ( $i \neq j$ ). Moreover by Cor.1.6,  $R[x_i]$  is an  $H(u_i, p)$ -Hopf Galois extension of  $R$ . Since  $R[x_1] \otimes \dots \otimes R[x_m]$  is an  $H$ -Hopf Galois extension of  $R$  and  $R[x_1] \otimes \dots \otimes R[x_m]$  is contained in  $A$ , then by [1, Th.1.12],  $A = R[x_1] \otimes \dots \otimes R[x_m]$ . The converse part is clear by Cor.1.7.

Let  $A$  be a commutative  $R$ -algebra, and  $\mu: A \otimes A \rightarrow A$  a map defined by  $\mu(a \otimes b) = ab$ .  $A$  is called a *purely inseparable algebra* over  $R$  if  $\text{Ker}(\mu)$  is contained in the Jacobson radical  $J(A \otimes A)$  of  $A \otimes A$  (cf. [6, Def.1 and Lemma 1 (a)]).  $A$  is called a *strongly radical* over  $R$  if  $A$  is a finitely generated projective  $R$ -module and  $\text{Ker}(\mu)$  is a nil ideal (cf. [7]).

**Theorem 1.9.** *Let  $A$  be an  $H(u, p^m)$ -Hopf Galois extension of  $R$ .*

(1) *If  $u$  is contained in the Jacobson radical  $J(R)$  of  $R$ , then  $A$  is a purely inseparable algebra.*

(2)  *$A$  is strongly radical if and only if  $u$  is nilpotent.*

*Proof.* Let  $x_1, \dots, x_m$  be an  $R$ -algebra generator which is obtained in

Th.1.3. Note that  $\text{Ker}(\mu)$  is generated by

$$\begin{aligned} & x_1^{j_1} \cdots x_m^{j_m} \otimes 1 - 1 \otimes x_1^{j_1} \cdots x_m^{j_m} \\ & = a_m(x_m \otimes 1 - 1 \otimes x_m) + \cdots + a_1(x_1 \otimes 1 - 1 \otimes x_1) \quad (a_i \in A \otimes A) \end{aligned}$$

( $0 \leq j_1, \dots, j_m \leq p-1$ ) as an  $A \otimes A$ -module, and  $J(R) \subset J(A \otimes A)$  because  $A \otimes A$  is integral over  $R$ . First, we prove (1). If  $u \in J(R)$ , then

$$(*) \quad (x_1 \otimes 1 - 1 \otimes x_1)^p = u^{p-1}(x_1 \otimes 1 - 1 \otimes x_1)$$

is contained in  $J(A \otimes A)$  and so  $x_1 \otimes 1 - 1 \otimes x_1 \in J(A \otimes A)$ . Assume that  $x_1^{j_1} \cdots x_{m-1}^{j_{m-1}} \otimes 1 - 1 \otimes x_1^{j_1} \cdots x_{m-1}^{j_{m-1}} \in J(A \otimes A)$  ( $0 \leq j_1, \dots, j_{m-1} \leq p-1$ ). By Th. 1.3 (4),

$$(**) \quad \begin{aligned} (x_m \otimes 1 - 1 \otimes x_m)^p &= (u^{p^{m-1}})^{p-1} (x_m \otimes 1 - 1 \otimes x_m) \\ &\quad + \sum r_{i_1, \dots, i_{m-1}} (x_1^{i_1} \cdots x_{m-1}^{i_{m-1}} \otimes 1 - 1 \otimes x_1^{i_1} \cdots x_{m-1}^{i_{m-1}}) \end{aligned}$$

is contained in  $J(A \otimes A)$  and so  $x_m \otimes 1 - 1 \otimes x_m \in J(A \otimes A)$ . This proves (1). Next we prove (2). If  $A$  is strongly radical, then  $x_1 \otimes 1 - 1 \otimes x_1$  is nilpotent and so  $u$  is nilpotent by (\*). Conversely if  $u$  is nilpotent, then  $x_1 \otimes 1 - 1 \otimes x_1$  is nilpotent by (\*). If  $x_1^{i_1} \cdots x_{m-1}^{i_{m-1}} \otimes 1 - 1 \otimes x_1^{i_1} \cdots x_{m-1}^{i_{m-1}}$  are nilpotent, then  $x_m \otimes 1 - 1 \otimes x_m$  is nilpotent by (\*\*). This proves (2).

**Remark 1.10.** Let  $u$  be an idempotent,  $H = H(u, p^m)$ , and  $A$  an  $H$ -Hopf Galois extension of  $R$ . Then it is easy to see that  $Au$  is a cyclic  $p^m$ -extension of  $Ru$  (cf. Prop.1.5). On the other hand  $A(1-u)$  is an  $H(0, p^m)$ -extension of  $R(1-u)$  (cf. Cor.1.4).

**2. Isomorphisms of  $H(u, p^2)$ -Hopf Galois extensions.** In this section, for  $H(u, p^2)$ -Hopf Galois extensions  $A$  and  $B$ , we determine  $H(u, p^2)$ -module algebra isomorphisms from  $A$  to  $B$ .

Let  $A$  be an  $H(u, p^2)$ -Hopf Galois extension of  $R$ . Then by Th.1.3, there exist  $x, y \in A$  such that the following conditions hold:

- (2.1)  $\delta(x) = 1$  and  $\delta^{p-1}(y) = x$ .
- (2.2)  $\{x^j y^k\}_{0 \leq j, k \leq p-1}$  is a free basis of  $A$ .
- (2.3)  $x^p = u^{p-1}x + r$  and  $y^p = (u^p)^{p-1}y + f(x)$ , where  $f(x) = \sum_{i=0}^{p-1} s_i x^i$  ( $r, s_i \in R$ ).

Under the above notations, we have the following lemma which is easily obtained by Lemma 0.4.

**Lemma 2.1.** For any  $n$  ( $1 \leq n \leq p-1$ ), there exists a polynomial  $f_n(X) \in GF(p)[u][X]$  with  $\deg f_n(X) = n$  such that

$$\delta(f_n(x))=x^{n-1} \text{ and } \delta^p(f_n(y))=y^{n-1}.$$

**Lemma 2.2.** *Under the above notations, for any  $n$  ( $0 \leq n \leq p-1$ ), there exists a polynomial  $g_n(X) \in R[X]$  with  $\deg g_n(X) = n$  such that  $\delta^{p-n}(y) = g_n(x)$ .*

*Proof.* For  $n=0$ , the result is clear. Assume that there exists a polynomials  $g_k(X) \in R[X]$  with  $\deg g_k(X) = k$  such that  $\delta^{p-k}(y) = g_k(x)$  ( $1 \leq k \leq n$ ). By Cor.0.5, there exists a polynomial  $h(X) \in R[X]$  with  $\deg h(X) = n+1$  such that  $\delta(h(x)) = g_n(x) = \delta^{p-n}(y)$ , and thus  $\delta(\delta^{p-(n+1)}(y) - h(x)) = 0$ . Since  $R = \{a \in A | \delta(a) = 0\}$ ,  $\delta^{p-(n+1)}(y) = h(x) + s$  for some  $s \in R$ , completing the proof.

**Theorem 2.3.** *Let  $R[x_i]$  be  $H(u,p)$ -Hopf Galois extensions of  $R$ , where  $\delta(x_i) = 1$ .  $\{1, x_i, \dots, x_i^{p-1}\}$  is a free basis of  $R[x_i]$ , and  $x_i^p = u^{p-1}x_i + r_i$  ( $i=1, 2$ ). Then there exists an  $H(u,p)$ -module algebra homomorphism  $\psi : R[x_1] \rightarrow R[x_2]$  (such map is necessarily an isomorphism by [1, Th.1.12]) if and only if there exists  $r \in R$  such that*

$$r^p = u^{p-1}r + (r_1 - r_2).$$

When this is the case,  $\psi$  is given by  $\psi(x_1) = x_2 + r$ .

*Proof.* Let  $\psi : R[x_1] \rightarrow R[x_2]$  be an  $H(u,p)$ -module algebra homomorphism. We set  $\psi(x_1) = \sum_{i=0}^{p-1} t_i x_2^i$  ( $t_i \in R$ ). Since  $\psi$  is an  $H(u,p)$ -module algebra homomorphism, and by Lemma 0.1,

$$\delta^{p-1}(\psi(x_1)) = \delta^{p-1}(\sum_{i=0}^{p-1} t_i x_2^i) = t_{p-1}(p-1)! = \psi(\delta^{p-1}(x_1)) = 0.$$

Thus  $t_{p-1} = 0$ . Repeating the above computations, we have  $\psi(x_1) = t_1 x_2 + t_0$ , and  $\delta(\psi(x_1)) = t_1 = \psi(\delta(x_1)) = 1$ . Moreover, by  $\psi(x_1)^p = \psi(x_1^p)$ , we have  $t_0^p = u^{p-1}t_0 + r_1 - r_2$ .

Conversely, assume that there exists  $r \in R$  such that  $r^p = u^{p-1}r + (r_1 - r_2)$ . We define an  $R$ -linear map  $\psi : R[x_1] \rightarrow R[x_2]$  by  $\psi(x_1^i) = (x_2 + r)^i$  ( $0 \leq i \leq p-1$ ). Then it is easy to see that  $\psi$  is an  $H(u,p)$ -module algebra homomorphism. This proves the theorem.

**Theorem 2.4.** *Let  $A_i = R[x_i, y_i]$  be  $H(u,p^2)$ -Hopf Galois extensions of  $R$ , where  $\{x_i, y_i\}$  satisfies the conditions (2.1)–(2.3) ( $i=1, 2$ ). Then there exists an  $H(u,p^2)$ -module algebra homomorphism  $\psi : A_1 \rightarrow A_2$  if and only if there exist  $r \in R$  and  $g(X) \in R[X]$  with  $\deg g(X) \leq p-1$  such that the following conditions hold :*

- (1)  $r^p = u^{p-1}r + (r_1 - r_2)$ .
- (2)  $g(x_2)^p = (u^p)^{p-1}g(x_2) + f_1(x_2 + r) - f_2(x_2)$ , where  $f_i(x_i) = y_i^p - (u^p)^{p-1}y_i$  (cf. (2.3)).
- (3)  $\delta(g(x_2)) = g_{p-1}(x_2 + r) - g_{p-1}(x_2)$ , where  $g_{p-1}(x_i) = \delta(y_i)$  (cf. Lemma 2.2).

When this is the case,  $\psi$  is given by

$$\psi(x_1) = x_2 + r \text{ and } \psi(y_1) = y_2 + g(x_2).$$

Moreover the coefficients of  $g(X)$  is determined by Lemmas 0.1 and 0.2, explicitly.

*Proof.* Assume that there exists an  $H(u, p^2)$ -module algebra homomorphism  $\psi : A_1 \rightarrow A_2$ .

- (1) We set  $\psi(x_1) = \sum_{j=0}^{p-1} (\sum_{i=0}^{p-1} r_{ij} x_2^i) y_2^j$ . By Lemma 0.1,

$$(\delta^p)^{p-1}(\psi(x_1)) = (\sum_{i=0}^{p-1} r_{i,p-1} x_2^i) (p-1)! = \psi((\delta^p)^{p-1} x_1) = 0,$$

and so  $r_{i,p-1} = 0$  for any  $0 \leq i \leq p-1$ . Repeating the above computations, we obtain  $\psi(x_1) = \sum_{i=0}^{p-1} r_i x_2^i$  and so (1) is easily seen by Th.2.3.

- (2) We set  $\psi(y_1) = \sum_{j=0}^{p-1} (\sum_{i=0}^{p-1} s_{ij} x_2^i) y_2^j$ . Since  $\delta^p(y_2) = 1$ ,  $(\delta^p)^k(y_2) = 0$ , and  $(\delta^p)^k(\sum_{i=0}^{p-1} s_{ij} x_2^i) = 0$  ( $2 \leq k \leq p-1$ ), we have  $\psi(y_1) = y_2 + g(x_2)$ , where  $g(X) \in R[X]$  with  $\deg g(X) \leq p-1$ . Moreover by (2.3) and  $\psi(x_1) = x_2 + r$ , we have

$$\psi(y_1^p) = (u^p)^{p-1}(y_2 + g(x_2))^p + f_1(x_2 + r) = \psi(y_1)^p = y_2^p + g(x_2)^p.$$

- (3) Since  $\psi$  is an  $H(u, p^2)$ -module algebra homomorphism, we have

$$\begin{aligned} \delta(\psi(y_1)) &= \delta(y_2 + g(x_2)) = g_{p-1}(x_2) + \delta(g(x_2)) \\ &= \psi(\delta(y_1)) = \psi(g_{p-1}(x_1)) = g_{p-1}(x_2 + r). \end{aligned}$$

Conversely we assume that there exist  $r \in R$  and  $g(X) \in R[X]$  which satisfy the conditions (1)–(3). Define a map  $\psi : A_1 \rightarrow A_2$  by

$$\psi(\sum_{i=0}^{p-1} \sum_{j=0}^{p-1} r_{ij} x_1^i y_1^j) = \sum_{i=0}^{p-1} \sum_{j=0}^{p-1} r_{ij} (x_2 + r)^i (y_2 + g(x_2))^j.$$

Then by (1) and (2),  $\psi$  is an  $R$ -algebra homomorphism. Since  $\delta(y_i) = g_{p-1}(x_i)$  and  $\delta(x_i) = \delta(x_i + r) = 1$  ( $i=1, 2$ ), we have

$$\begin{aligned} &\psi(\delta(x_1^t y_1^n)) \\ &= \psi\left\{ \sum_{i=1}^t \binom{t}{i} u^{i-1} x_1^{t-i} y_1^n + \sum_{i=1}^n \binom{n}{i} u^{i-1} x_1 y_1^{n-i} \delta(y_1)^i \right. \\ &\quad \left. + \sum_{i=1}^t \sum_{j=1}^n \binom{t}{i} \binom{n}{j} u^{i+j-2} x_1^{t-i} y_1^{n-j} \delta(y_1)^j \right\} \\ &= \sum_{i=1}^t \binom{t}{i} u^{i-1} (x_2 + r)^{t-i} (y_2 + g(x_2))^n \\ &\quad + \sum_{i=1}^n \binom{n}{i} u^{i-1} (x_2 + r)^i (y_2 + g(x_2))^{n-i} g_{p-1}(x_2 + r)^i \end{aligned}$$

$$\begin{aligned}
 & + \sum_{i=1}^l \sum_{j=1}^n \binom{l}{i} \binom{n}{j} u^{i+j-2} (x_2+r)^{l-i} (y_2+r)^{n-j} g_{p-1}(x_2+r)^j \\
 = & \sum_{i=1}^l \binom{l}{i} u^{i-1} (x_2+r)^{l-i} (y_2+g(x_2))^n \\
 & + \sum_{i=1}^n \binom{n}{i} u^{i-1} (x_2+r)^i (y_2+g(x_2))^{n-i} \delta(y_2+g(x_2))^i \\
 & + \sum_{i=1}^l \sum_{j=1}^n \binom{l}{i} \binom{n}{j} u^{i+j-2} (x_2+r)^{l-i} (y_2+g(x_2))^{n-j} \delta(y_2+g(x_2))^j \\
 = & \delta(\phi(x_1^l y_1^n)),
 \end{aligned}$$

because  $g_{p-1}(x_2+r) = \delta(g(x_2)) + g_{p-1}(x_2) = \delta(y_2+g(x_2))$ . Thus  $\phi$  is an  $H(u, p^2)$ -module homomorphism. Finally let  $g(X) = \sum_{i=0}^{p-1} t_i X^i \in R[X]$ . By Lemmas 0.1 and 0.2,

$$\begin{aligned}
 \delta^{p-1}(\phi(y_1)) &= \delta^{p-1}(\sum_{i=0}^{p-1} t_i x_2^i + y_2) = (p-1)! t_{p-1} + x_2 \\
 &= \phi(\delta^{p-1}(y_1)) = x_2 + r,
 \end{aligned}$$

and so  $t_{p-1} = ((p-1)!)^{-1} r$ . Moreover by Lemma 2.2, there exists  $f_k(X) = \sum_{i=0}^k r_{ki} X^i \in R[X]$  such that  $\delta^{p-k}(y_j) = f_k(x_j)$  ( $j=1, 2$ ). Then

$$\delta^{p-k}(\phi(y_1)) = \sum_{i=0}^{p-1} t_i \delta^{p-k}(x_2^i) + f_k(x_2) = \phi(\delta^{p-k}(y_1)) = f_k(x_2+r).$$

Since  $\delta^{p-k}(x_2^i) = 0$  for  $i < p-k$ ,  $\delta^{p-k}(x_2^{p-k}) = (p-k)!$  and  $\delta^{p-k}(x_2^i) = \sum_{j=0}^{i+k-p} s_j x_2^j \in R[x_2]$  for  $p-k < i < p-1$ , the constant term of the above equation is

$$\begin{aligned}
 (p-k)! t_{p-k} + \text{constant term of } \sum_{i=p-k+1}^{p-1} t_i \delta^{p-k}(x_2^i) + r_{k0} \\
 = r_{k0} + r_{k1} r + \dots + r_{kk} r^k.
 \end{aligned}$$

Therefore by induction,  $t_{p-2}, \dots, t_0$  are determined, completing the proof.

Let  $A_i = R[x_i, y_i]$  be  $H(u, p^2)$ -Hopf Galois extensions of  $R$ , where  $\{x_i, y_i\}$  satisfies the conditions (2.1)–(2.3) ( $i=1, 2$ ). Then the product of  $H(u, p^2)$ -Hopf Galois extensions of  $R$  is defined by

$$(2.5) \quad A_1 \cdot A_2 = \{ \sum a_{1i} \otimes a_{2i} \in A_1 \otimes A_2 \mid \sum \delta(a_{1i}) \otimes a_{2i} = \sum a_{1i} \otimes \delta(a_{2i}) \},$$

where  $\delta$  acts on  $A_1 \cdot A_2$  by  $\delta(a \otimes b) = \delta(a) \otimes b (= a \otimes \delta(b))$ . We set

$$\begin{aligned}
 x &= x_1 \otimes 1 + 1 \otimes x_2 \text{ and} \\
 y &= y_1 \otimes \delta^p(y_2) + \delta(y_1) \otimes \delta^{p-1}(y_2) + \dots + \delta^{p-1}(y_1) \otimes \delta(y_2) + \delta^p(y_1) \otimes y_2.
 \end{aligned}$$

Then by the proof of Th.1.3, we have the following

**Theorem 2.5.** *Under the above notations, we have*

- (1)  $\delta(x) = 1$  and  $\delta^{p-1}(y) = x$ .
- (2)  $\{x^j y^k\}_{0 \leq j, k \leq p-1}$  is a free basis of  $A_1 \cdot A_2$ .

**3. The group of  $H(u, p^m)$ -Hopf Galois extensions.** Let  $\text{Gal}(H(u, p^m))$  be the group of  $H(u, p^m)$ -isomorphism classes of commutative  $H(u, p^m)$ -Hopf Galois extensions of  $R$  with product defined by (2.5). In this section, using results of §2, we compute  $\text{Gal}(H(u, p^m))$  in case of

- (1)  $p$  is an arbitrary prime and  $m=1$ ,
- (2)  $p=2$  and  $m=2$ .

Let  $H$  be a finite cocommutative Hopf algebra over  $R$ . Then  $H^* = \text{Hom}(H, R)$  has an  $H$ -module structure defined by

$$(3.0.1) \quad h(h^*) = \sum_{(h^*)} \langle h_{(1)}^*, h \rangle h_{(2)}^*, \text{ where } \Delta_{H^*}(h^*) = \sum_{(h^*)} h_{(1)}^* \otimes h_{(2)}^*$$

and  $\langle, \rangle : H^* \otimes H \rightarrow R$  is evaluation.

We say that an  $H$ -Hopf Galois extension  $A$  of  $R$  has a *normal basis*, or a *dual normal basis* according as  $A$  is isomorphic to  $H$ , or  $H^*$  as  $H$ -modules. We show that an  $H(u, p)$ -Hopf Galois extension has a normal basis, or equivalently, a dual normal basis.

**Lemma 3.0.1.**  $H(u, p^m)^* \cong H(u, p^m)$  as  $H(u, p^m)$ -modules.

*Proof.* Let  $\{\delta_0 = \varepsilon, \delta, \dots, \delta_{q-1}\}$  be the dual basis of  $\{1, \delta, \dots, \delta^{q-1}\}$ , where  $q = p^m$ . By (3.0.1),

$$\delta^k(\delta_{q-1}) = \sum_{i=0}^{q-1} \langle \delta_i, \delta^k \rangle \delta_{q-1-i} = \delta_{q-k-1},$$

and so  $H(u, p^m)^*$  is generated by  $\delta_{q-1}$  as an  $H(u, p^m)$ -module, and by  $(\sum_{i=0}^{q-1} r_i \delta^i)(\delta_{q-1}) = \sum_{i=0}^{q-1} r_i \delta_{q-1-i}$ ,  $\{\delta_{q-1}\}$  is a free basis of  $H(u, p^m)^*$  as an  $H(u, p^m)$ -module, completing the proof.

**Theorem 3.0.2.** An  $H(u, p)$ -Hopf Galois extension  $A$  of  $R$  has a normal basis, or equivalently, a dual normal basis.

*Proof.* By Cor.1.6, there exists  $x \in A$  such that  $A = R[x]$  and  $\delta(x) = 1$ . Then

$$\begin{aligned} \delta(x^{p-1}) &= \binom{p-1}{1} x^{p-2} + r x^{p-3} + \dots, \\ \delta^2(x^{p-1}) &= \binom{p-1}{1} \binom{p-2}{1} x^{p-3} + s x^{p-4} + \dots, \\ &\dots\dots \\ \delta^{p-2}(x^{p-1}) &= (p-1)! x + t \\ \delta^{p-1}(x^{p-1}) &= (p-1)!. \end{aligned}$$

Thus it is easy to see that  $\{\delta(x^{p-1}), \dots, \delta^{p-1}(x^{p-1})\}$  is an  $R$ -free basis of  $A$ . This proves the theorem.

**3.1. Gal( $H(u, p)$ ).** Let  $H=H(u, p)$ , and  $A$  an  $H$ -Hopf Galois extension of  $R$ . By Th.1.3, there exists  $x \in A$  such that the following conditions hold:

- (3.1.1)  $\delta(x)=1$ .
- (3.1.2)  $\{1, x, \dots, x^{p-1}\}$  is a free basis of  $A$ .
- (3.1.3)  $x^p= u^{p-1}x + r$  for some  $r \in R$ .

Thus we may write  $A=R[x; r]$ . Let  $B=R[y; s]$  be another  $H$ -Hopf Galois extension of  $R$ , and  $z=x \otimes 1 + 1 \otimes y$ . By Th.2.5,  $A \cdot B$  has a free basis  $\{1, z, \dots, z^{p-1}\}$ ,  $\delta(z)=1$  and  $z^p= u^{p-1}z + (r + s)$ . Therefore  $A \cdot B = R[z; r + s]$ . Let  $R^+$  be the additive group of  $R$ . Define a map  $\psi: R^+ \rightarrow \text{Gal}(H)$  by  $\psi(r)=(R[x; r])$ , where  $(R[x; r])$  is the isomorphism classes of  $R[x; r]$ . Then by Cor.1.6,  $\psi$  is a group epimorphism.

We shall determine the kernel of  $\psi$ . To this purpose we have to determine the structure of  $H^*$ . Let  $\{\delta_0 = \varepsilon, \delta_1, \dots, \delta_{p-1}\}$  be the dual basis of  $H^*$ . Then we easily see that  $\delta(\delta_1) = 1_{H^*}$ , and by the proof of Th.1.3,  $\{\varepsilon, \delta_1, \dots, \delta_1^{p-1}\}$  is a free basis of  $H^*$  and

$$\delta_1^p = u^{p-1} \delta_1 + t \text{ for some } t \in R.$$

But by  $\langle \delta_1^p, 1 \rangle = \langle u^{p-1} \delta_1 + t, 1 \rangle = 0$ , we have  $t = 0$ . Thus we have the following

**Theorem 3.1.1.** *Under the above notations,  $H^*$  has the following structure:*

- (1)  $\{\varepsilon, \delta_1, \dots, \delta_1^{p-1}\}$  is a free basis of  $H^*$ .
- (2)  $\delta_1^p = u^{p-1} \delta_1$ .

Now we have the following theorem which is a generalization of [1, Cor.17.14 or 3, Th. 2.4].

**Theorem 3.1.2.** *There exists a group isomorphism*

$$\bar{\psi}: R^+ / \{t^p - u^{p-1}t \mid t \in R\} \longrightarrow \text{Gal}(H(u, p))$$

*defined by  $\bar{\psi}(\bar{r}) = (R[x; r])$ .*

*Proof.* By Cor.1.6, it suffices to show that  $\text{Ker}(\bar{\psi}) = \{t^p - u^{p-1}t \mid r \in R\}$ . By Th.3.1.1, the identity element of  $\text{Gal}(H(u, p))$  is  $(R[\delta_1; 0])$ . Let  $r$  be in  $R$  such that  $(R[x; r]) = (R[\delta_1; 0])$ . Since  $R[x; 0]$  is isomorphic to  $R[\delta_1; 0]$  as  $H(u, p)$ -module algebras, there exists  $t \in T$  such that  $t^p = u^{p-1}t + r$  by Th.2.3, completing the proof.

**3.2. Gal( $H(u, 2^2)$ ).** Let  $H = H(u, 2^2)$ , and  $A$  an  $H$ -Hopf Galois extension of  $R$ . By Th.1.3, there exist  $x, y \in A$  and  $f(X) \in R[X]$  with  $\deg f(X) = 1$  such that the following conditions hold :

- (3.2.1)  $\delta(x) = 1$  and  $\delta(y) = x$ .
- (3.2.2)  $\{1, x, y, xy\}$  is a free basis of  $A$ .
- (3.2.3)  $x^2 = ux + r$  for some  $r \in R$  and  $y^2 = u^2y + f(x)$ .

Under the above notations, the following lemma is easily seen.

**Lemma 3.2.1.**  $f(X) = uX + s$  for some  $s \in R$ .

By Lemma 3.2.1, we may write  $A = R[x, y; r, s]$ . Then by (3.2.1)–(3.2.3), we have the following

**Theorem 3.2.2.** Let  $A_i = R[x_i, y_i; r_i, s_i]$  be  $H$ -Hopf Galois extensions of  $R$  ( $i = 1, 2$ ). If we set

$$x = x_1 \otimes 1 + 1 \otimes x_2 \text{ and } y = y_1 \otimes 1 + x_1 \otimes x_2 + 1 \otimes y_2,$$

then the product  $A_1 \cdot A_2$  has the following structure :

- (1)  $\delta(x) = 1$  and  $\delta(y) = x$ .
- (2)  $\{1, x, y, xy\}$  is a free basis of  $A_1 \cdot A_2$ .
- (3)  $x^2 = ux + r_1 + r_2$  and  $y^2 = u^2y + u(r_1 + r_2)x + r_1r_2 + s_1 + s_2$ .

Thus by Th.3.2.2, we have  $A_1 \cdot A_2 = R[x, y; r_1 + r_2, r_1r_2 + s_1 + s_2]$ .

Let  $\{\delta_0 = \varepsilon, \delta_1, \delta_2, \delta_3\}$  be the dual basis of  $H^*$  with respect to  $\{1, \delta, \delta^2, \delta^3\}$ . By (3.0.1) we have

$$H^* = R[\delta_1, \delta_2; 0, 0].$$

**Proposition 3.2.3.** Let  $r, s$  be elements in  $R$ . Then

$$R[X, Y]/(X^2 + uX + r, Y^2 + u^2Y + uX + s)$$

is an  $H$ -Hopf Galois extension of  $R$  with a suitable action of  $\delta$ .

*Proof.* We define an  $H$ -action on  $R[X, Y]$  by  $\delta(r) = 0$  ( $r \in R$ ),  $\delta(X) = 1$ ,  $\delta(Y) = X$  and  $\delta(fg) = f\delta(g) + \delta(f)g + u\delta(f)\delta(g)$  ( $f, g \in R[X, Y]$ ). Let  $I$  be the ideal generated by  $X^2 + uX + r$  and  $Y^2 + u^2Y + uX + s$ . Then one can easily check that  $R[X, Y]/I$  is an  $H$ -module algebra with  $\delta(x) = 1$  and  $\delta(y) = x$ , where  $x = X + I$  and  $y = Y + I$ . Moreover  $R[X, Y]/I = R[x, y]$  is a free  $R$ -module with basis  $\{1, x, y, xy\}$  and  $\{x, y\}$  satisfies the condition (3.2.3). Since  $\delta^3(xy) = 1$ ,  $R[x, y]$  is an  $H$ -Hopf Galois extension of  $R$ .

Now we set  $R_2^+ = R \times R$ . Define an addition on  $R_2^+$  by

$$(r_1, s_1) + (r_2, s_2) = (r_1 + r_2, r_1 r_2 + s_1 + s_2).$$

Then  $R_2^+$  is an abelian group with zero element  $(0, 0)$ . Under the above notations, we have the following

**Theorem 3.2.4.** *There exists a group isomorphism*

$$\bar{\psi} : R_2^+ / M \longrightarrow \text{Gal}(H(u, 2^2))$$

defined by  $\bar{\psi}(\overline{(r, s)}) = (R[x, y; r, s])$ , where  $\psi : R_2^+ \longrightarrow \text{Gal}(H(u, 2^2))$  is defined by  $\psi((r, s)) = (R[x, y; r, s])$ ,  $M = \{(r_0^2 + ur_0, ur_0(r_0^2 + ur_0) + s_0(u^2 + s_0)) \mid r_0, s_0 \in R\}$  and  $\overline{(r, s)} = (r, s) + M$ .

*Proof.* By Prop.3.2.3, it is easy to see that  $\psi$  is a group epimorphism. Let  $A = R[x, y; r, s]$  be an  $H(u, 2^2)$ -Hopf Galois extension of  $R$ , and let  $\alpha : A \rightarrow H(u, 2^2)^*$  be an isomorphism of  $H(u, 2^2)$ -Hopf Galois extensions of  $R$ . By Th.2.4, we may set

$$\alpha(x) = \delta_1 + r_0 \text{ and } \alpha(y) = \delta_2 + s_1 \delta_1 + s_0 \text{ (} r_0, s_i \in R \text{),}$$

where  $r_0^2 = ur_0 + r$ . Since  $\alpha$  is an  $H(u, 2^2)$ -module algebra homomorphism, we have  $s_1 = r_0$  and  $s = ur_0^3 + u^2 r_0^2 + s_0^2 + u^2 s$ . Thus  $(r, s) \in M$ . Conversely, let  $(r_1, s_1) = (r_0^2 + ur_0, ur_0^3 + u^2 r_0^2 + s_0^2 + u^2 s_0) \in M$ , and  $\bar{\psi}(\overline{(r, s_1)}) = (R[x_1, y_1; r_1, s_1])$ . Define a map  $\alpha_1 : R[x_1, y_1; r_1, s_1] \rightarrow R[\delta_1, \delta_2; 0, 0]$  by

$$\alpha_1(1) = \varepsilon, \alpha_1(x_1) = \delta_1 + r_0 \text{ and } \alpha_1(y_1) = \delta_2 + r_0 \delta_1 + s_0.$$

Then it is easy to see that  $\alpha_1$  is an  $H(u, 2^2)$ -module algebra isomorphism. Therefore  $\text{Ker}(\psi) = M$ , completing the proof.

In general, to calculate the group  $\text{Gal}(H(u, p^m))$  is a complicated work. For example,  $\text{Gal}(H(u, 3^2))$  has a following isomorphism.

**Theorem 3.2.5.** *Let  $R_2^+ = R \times R$  be an abelian group with addition*

$$(r_1, s_1) + (r_2, s_2) = (r_1 + r_2, s_1 + s_2 + 2r_1 r_2(r_1 + r_2 + u^3)).$$

*Then there exists a group isomorphism*

$$\psi : R_2^+ / N \longrightarrow \text{Gal}(H(u, 3^2))$$

defined by  $\psi(\overline{(r, s)}) = (R[x, y; r, s])$ , where  $N = \{(r_0^3 - u^2 r_0, s_0^3 - u^6 s_0 - 2u^4(r_0^3 - u^2 r_0)r_0^2 - 2u^2(r_0^3 - u^2 r_0)((r_0^3 - u^2 r_0) + u^3)r_0) \mid r_0, s_0 \in R\}$  and  $\overline{(r, s)} = (r, s) + N$ .

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