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## Some commutativity properties for rings

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## SOME COMMUTATIVITY PROPERTIES FOR RINGS

HISAO TOMINAGA and ADIL YAQUB

Let  $A$  be a non-empty subset of the ring  $R$  with center  $C$ ; let  $N$  denote the set of nilpotent elements of  $R$ , and  $V_R(A)$  the centralizer of  $A$  in  $R$ . Let  $q$  be a fixed integer greater than 1. We consider the following seven properties:

- (I-A) For each  $x \in R$ , there exists a polynomial  $f(\lambda)$  in  $\mathbf{Z}[\lambda]$  such that  $x - x^2 f(x) \in A$ .
- (I'-A) For each  $x \in R$ , either  $x \in C$  or there exists a polynomial  $f(\lambda)$  in  $\mathbf{Z}[\lambda]$  such that  $x - x^2 f(x) \in A$ .
- (II-A) $_q$  If  $x, y \in R$  and  $x - y \in A$ , then either  $x^q = y^q$  or  $x$  and  $y$  both belong to  $V_R(A)$ .
- (II'-A) $_q$  If  $x, y \in R$  and  $x - y \in A$ , then either  $x^q = y^q$  or  $[x, y] = 0$ .
- (II''-A) $_q$  If  $x, y \in R$  and  $x - y \in A$ , then either  $x^q = y^q$  or  $[xy, yx] = 0$ .
- (III-A) For every  $a \in A$  and  $x \in R$ ,  $[a, x], x] = 0$ .
- (IV-A) If  $a \in A$ ,  $x \in R$  and  $[a, x]^2 = 0$ , then  $[a, x] \in C$ .

Needless to say, (I-A) implies (I'-A), (II-A) $_q$  does (II'-A) $_q$ , and (II'-A) $_q$  does (II''-A) $_q$ . The major purpose of this paper is to prove the following

**Theorem 1.** *The following statements are equivalent:*

- 1)  $R$  is commutative.
- 2) There exists a (multiplicatively) commutative subset  $A$  for which  $R$  satisfies (I-A), (II-A) $_q$  and (III-A).
- 3) There exists a commutative subset  $A$  for which  $R$  satisfies (I-A), (II-A) $_q$  and (IV-A).
- 4) There exists a commutative subset  $A$  of  $N$  for which  $R$  satisfies (I'-A) and (II''-A) $_2$ .
- 5) There exists a commutative subset  $A$  of  $N$  for which  $R$  satisfies (I'-A) and (III-A).
- 6) There exists a commutative subset  $A$  of  $N$  such that  $R$  satisfies (I'-A) and (IV-A).

In preparation for proving Theorem 1, we establish the following two lemmas.

**Lemma 1.** (1) Let  $\phi$  be a ring homomorphism of  $R$  onto  $R^*$ . If  $R$  satisfies (I-A), (I'-A),  $(\Pi-A)_q$ ,  $(\Pi'-A)_q$ ,  $(\Pi''-A)_q$  or (III-A), then  $R^*$  satisfies (I- $\phi(A)$ ), (I'- $\phi(A)$ ),  $(\Pi-\phi(A))_q$ ,  $(\Pi'-\phi(A))_q$ ,  $(\Pi''-\phi(A))_q$  or (III- $\phi(A)$ ), respectively.

(2) If  $R$  satisfies (I'-A), then  $N$  is contained in  $A^+ + C$ , where  $A^+$  is the additive subsemigroup generated by  $A$ .

(3) If  $R$  satisfies  $(\Pi-A)_q$ , then  $[a, x^q] = 0$  for all  $a \in A$  and  $x \in R$ .

(4) If  $R$  satisfies (I'-A) and  $(\Pi''-A)_q$  (resp. (I'-A) and (III-A)), then  $R$  is normal, that is, every idempotent  $e$  of  $R$  is central.

(5) If  $A$  is commutative and  $R$  satisfies (I'-A), then  $N$  is a commutative nil ideal of  $R$  containing the commutator ideal of  $R$  and is contained in  $V_R(A)$ .

(6) If  $A$  is commutative and  $R$  satisfies (I'-A), then (IV-A) implies (III-A), and (III-A) does  $(\Pi''-A)_q$ .

*Proof.* (1) Straightforward.

(2) By a trivial induction on nilpotency index.

(3) Suppose that  $[a, x] \neq 0$  for some  $a \in A$  and  $x \in R$ . Since  $(x+a)^q = x^q$  by  $(\Pi-A)_q$ , we have  $[a, x^q] = [x+a, x^q] = [x+a, (x+a)^q] = 0$ .

(4) Given  $x \in R$ , we set  $a = xe - exe$ . Since  $a^2 = 0$ , (I'-A) shows that  $a \in C \cup A$ . Then, by  $(\Pi''-A)_q$  (resp. (III-A)),  $e+a = (e+a)^q = e^q = e$  or  $a = [(e+a)e, e(e+a)] = 0$  (resp.  $a = [[a, e], e] = 0$ ). Thus in any case  $a = 0$ , and hence  $xe = exe$ . Similarly, we can show that  $ex = exe$ , and therefore  $xe = ex$ .

(5) By (2) and a theorem of Chacron (see, e.g. [5, Theorem 1]).

(6) By (5),  $N$  is a commutative ideal containing the commutator ideal of  $R$  and is contained in  $V_R(A)$ . Hence, in case (IV-A) is satisfied, for any  $a \in A$  and  $x \in R$  we have  $[a, x]^2 = [a, x[a, x]] - x[a, [a, x]] = 0$ . Then,  $[a, x]$  is central by (IV-A), and therefore  $R$  satisfies (III-A). On the other hand, if (III-A) is satisfied then  $[x(x-a), (x-a)x] = [[a, x], x^2] = 0$  by (5) and (III-A). This means that if  $x-y \in A$  then  $[xy, yx] = 0$ , and  $R$  satisfies  $(\Pi''-A)_q$ .

**Lemma 2.** Let  $R$  be a normal, subdirectly irreducible ring. If  $A$  is a commutative subset of  $N$  not contained in  $C$  for which  $R$  satisfies (I'-A), then  $R$  is of characteristic  $p^\alpha$ , where  $p$  is a prime and  $\alpha > 0$ .

*Proof.* Choose  $a \in A$  and  $b \in R$  with  $[a, b] \neq 0$ . By (I'-A),  $b - b^2 f(b) \in A$  for some  $f(\lambda) \in \mathbb{Z}[\lambda]$ , and hence  $b^m = b^{2m} b g^m$  with some  $m > 0$  and some  $b_0$  in the subring  $\langle b \rangle$  generated by  $b$ . Since  $b \notin N$  by Lemma 1 (5),

$e = (bb_0)^m$  is a non-zero central idempotent, and therefore  $e$  is the identity element 1 of the subdirectly irreducible ring  $R$  and  $b$  is invertible. Moreover,  $b^{-1}$  is integral over  $\mathbb{Z} \cdot 1$ . Since  $a$  cannot commute with both  $2b^{-1}$  and  $3b^{-1}$ , there exists an integer  $k > 1$  such that  $[a, kb^{-1}] \neq 0$ . Then, by the above argument,  $(kb^{-1})^{-1}$  is integral over  $\mathbb{Z} \cdot 1$ , and hence  $k^{-1} \cdot 1 = (kb^{-1})^{-1}b^{-1}$  also is integral over  $\mathbb{Z} \cdot 1$ . Obviously, this implies that the additive order of 1 is non-zero, and therefore the subdirectly irreducible ring  $R$  is of characteristic  $p^\alpha$ , where  $p$  is a prime and  $\alpha > 0$ .

We are now in a position to complete the proof of Theorem 1.

*Proof of Theorem 1.* Obviously,  $1) \Rightarrow 3)$  and  $6)$ . By Lemma 1 (6),  $3) \Rightarrow 2)$  and  $6) \Rightarrow 5) \Rightarrow 4)$ .

$2) \Rightarrow 1)$ . In view of Lemma 1 (1), we may (and shall) assume that  $R$  is subdirectly irreducible. According to [3, Theorem 19] and (I-A), it suffices to show that  $A \subseteq C$ . Suppose, to the contrary, that there exist  $a \in A$  and  $b \in R$  such that  $[a, b] \neq 0$ . By (I-A) and (II-A)<sub>q</sub>,  $b^q = (b^2 f(b))^q$  with some  $f(\lambda) \in \mathbb{Z}[\lambda]$ . Since  $b \notin N$  by Lemma 1 (2), Lemma 1 (4) shows that  $e = (bf(b))^q$  is a non-zero central idempotent, and hence  $e$  is the identity element 1 of the subdirectly irreducible ring  $R$ . By (I-A),  $2 - 2^2 g(2) \in A$  with some  $g(\lambda) \in \mathbb{Z}[\lambda]$ . Thus, we can find a non-zero integer  $k$  such that  $k = k \cdot 1 \in A$ . Obviously,  $[a, b + ik] \neq 0$  for all  $i \in \mathbb{Z}$ . Hence, by (II-A)<sub>q</sub>, every  $b + ik$  is a zero of the polynomial  $(\lambda + k)^q - \lambda^q$ . Note here that  $R/N$  is a subdirect sum of commutative integral domains (Lemma 1 (5)). Then, since  $b + ik$  ( $i = 0, 1, \dots, q$ ) are zeros of  $(\lambda + k)^q - \lambda^q$ , we can easily see that  $q! k^q \in N$ , and so  $h \cdot 1 = 0$  for some positive integer  $h$ . This means that the characteristic of the subdirectly irreducible ring  $R$  is  $p^\alpha$ , where  $p$  is a prime and  $\alpha > 0$ . We set  $q = p^\alpha t$ , where  $(p, t) = 1$ . Noting here that every non-zero idempotent of  $\bar{R} = R/N$  coincides with  $\bar{1}$  (Lemma 1 (4)), we can easily see that  $\langle \bar{b} \rangle = \text{GF}(p^\gamma)$  with some  $\gamma > 0$ , and therefore  $b^{q^\gamma} - b^{t^\gamma} \in N \subseteq V_R(A)$  (Lemma 1 (5)). Combining this with  $[a, b^{q^\gamma}] = 0$  (Lemma 1 (3)), we have  $[a, b^{t^\gamma}] = [a, b^{q^\gamma}] - [a, b^{q^\gamma} - b^{t^\gamma}] = 0$ . Now, by (III-A),  $t^\gamma b^{t^\gamma - 1} [a, b] = [a, b^{t^\gamma}] = 0$ . Then the usual argument of replacing  $b$  by  $b + 1$ , etc. shows that  $t^\gamma [a, b] = 0$ . Since  $p^\alpha [a, b] = 0$  and  $(p, t) = 1$ , it forces a contradiction  $[a, b] = 0$ .

$4) \Rightarrow 1)$ . First, we claim that  $[x^2, [x, a]] = 0$  for all  $a \in A$  and  $x \in R$ . In fact, by (II'-A)<sub>2</sub> and Lemma 1 (5), either

$$[x^2, [x, a]] = [(x+a)x, x(x+a)] = 0,$$

or  $(x+a)^2 = x^2$  and hence

$$[x^2, [x, a]] = [x, [x^2, a]] = [x, [x^2, x + a]] = [x, [(x + a)^2, x + a]] = 0.$$

In view of Lemma 1 (1), we may (and shall) assume that  $R$  is subdirectly irreducible. According to [3, Theorem 19] and (I'-A), it suffices to show that  $A \subseteq C$ . Suppose, to the contrary, that there exist  $a \in A$  and  $b \in R$  such that  $[a, b] \neq 0$ . Then, by Lemma 1 (4) and Lemma 2 (and its proof),  $R$  is of characteristic  $p^\alpha$  ( $p$  a prime and  $\alpha > 0$ ), and  $\bar{b} = b + N$  is algebraic over  $\text{GF}(p)$  (Lemma 1 (5)). Furthermore, noting that every non-zero idempotent of  $R/N$  coincides with  $\bar{1}$  (Lemma 1 (4)), we can easily see that  $\langle \bar{b} \rangle = \text{GF}(p^\beta)$  with some  $\beta > 0$ , and therefore  $b^{p^\gamma} - b \in N$  for some  $\gamma \geq \alpha$ . Now, by the opening claim,

$$2[b, [b, a]] = [(b+1)^2, [b+1, a]] - [b^2, [b, a]] = 0.$$

We claim further that  $[b, [b, a]] = 0$ . Since  $R$  is of characteristic  $p^\alpha$ , it suffices to consider the case  $p = 2$ . Then  $[b^{2^\gamma} - b, [b, a]] = 0$  by Lemma 1 (5). On the other hand,  $[b^2, [b, a]] = 0$  implies  $[b^{2^\gamma}, [b, a]] = 0$ , and therefore  $[b, [b, a]] = 0$  holds always. Combining the last claim with  $[b^{p^\gamma} - b, a] = 0$  (Lemma 1 (5)), we obtain

$$[b, a] = [b^{p^\gamma}, a] - [b^{p^\gamma} - b, a] = p^\gamma b^{p^\gamma-1} [b, a] = 0$$

This contradiction proves that  $R$  is commutative.

**Corollary 1.** *The following statements are equivalent :*

- 1)  $R$  is commutative.
- 2) There exists a (multiplicatively) commutative additive subsemigroup  $A$  for which  $R$  satisfies (I-A),  $(\Pi' \cdot A)_q$  and (III-A).
- 3) There exists a commutative additive subsemigroup  $A$  for which  $R$  satisfies (I-A),  $(\Pi' \cdot A)_q$  and (IV-A).

*Proof.* It suffices to show that, in case  $R$  satisfies  $(\Pi' \cdot A)_q$  for a commutative additive subsemigroup  $A$ ,  $x - y \in A$  and  $x^q \neq y^q$  imply  $x \in V_R(A)$ . Suppose, to the contrary, that there exists  $a \in A$  such that  $[a, x] \neq 0$ . Then  $(x + a) - x \in A$ ,  $(x + a) - y \in A$  and  $[x, y] = 0$ . Since  $[x + a, x] \neq 0$  and  $[x + a, y] \neq 0$ , we get  $x^q = (x + a)^q = y^q$ , a contradiction.

**Corollary 2** (cf. [7, Theorem 1] and [4, Corollary 1]). (1) *If there exists a commutative subset  $A$  for which  $R$  satisfies (I-A) and  $(\Pi \cdot A)_2$ , then  $R$  is commutative.*

(2) *If there exists a commutative additive subsemigroup  $A$  for which  $R$  satisfies (I-A) and  $(\Pi' \cdot A)_2$ , then  $R$  is commutative.*

*Proof.* (1) In view of Lemma 1 (1), we may assume that  $R$  is subdirectly irreducible. Suppose that  $[a, b] \neq 0$  for some  $a \in A$  and  $b \in R$ . Then, as was shown in the proof of Theorem 1,  $R$  has 1. Since  $(b+a)^2 = b^2$  and  $(b+1+a)^2 = (b+1)^2$  by  $(\Pi \cdot A)_2$ , we have

$$2a = \{b^2 + 2(b+a) + 1\} - (b+1)^2 = (b+1+a)^2 - (b+1)^2 = 0,$$

and therefore  $[[a, b], b] = [a, b^2] + 2b^2a - 2bab = 0$  by Lemma 1 (3). Thus, we have seen that  $R$  satisfies (III-A), and  $R$  is commutative by Theorem 1.

(2) This is immediate by (1) and the proof of Corollary 1.

**Corollary 3** (cf. [2, Theorem 2]). *Suppose that there exists a commutative subset  $A$  of  $N$  for which  $R$  satisfies (I-A) and (III-A). Then  $R$  is commutative.*

**Remark 1.** Theorem 1 is no longer valid if we remove the hypothesis (III-A) in 2) (resp. (IV-A) in 3)). A counterexample is given in [6, Remark, p. 18].

**Remark 2.** In [1, Theorem 1], the second author and Abu-Khuzam considered the following property:

(I\*-N) for each  $x \in R$ , either  $x \in C$  or there exists an integer  $n > 1$  such that  $x - x^n \in N$ .

and proved that if  $N$  is commutative and  $R$  satisfies (I\*-N) and (III-N), then  $R$  is commutative. Also, in [8, Theorem 1], the second author considered the following property:

(II''-A) if  $x, y \in R$  and  $x - y \in A$ , then either  $[xy, yx] = 0$  or  $x$  and  $y$  both belong to  $V_R(A)$ ,

and proved that if there exists a commutative subset  $A$  of  $N$  for which  $R$  satisfies (I-A) and (II''-A), then  $R$  is commutative. We claim here that (II''-A) may be restated as follows: if  $x - y \in A$  then  $[xy, yx] = 0$ . In fact, if  $x - y \in A$  and  $y \in V_R(A)$ , then  $[x, y] = [x - y, y] = 0$ , and hence  $[xy, yx] = 0$ . Needless to say, Theorem 1 includes both [1, Theorem 1] and [8, Theorem 1].

In conclusion, we would like to express our indebtedness and gratitude to the referee for his helpful suggestions and valuable comments.

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