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ON SPLITTING RINGS OF SEPARABLE SKEW POLYNOMIALS

Dedicated to Professor Hirosi Nagao on his 60th birthday

TAKASI NAGAHARA

1. Introduction. In [10], [11] and [12], the author studied some splitting rings of separable polynomials over a commutative ring which are generalizations of usual splitting fields of separable polynomials over fields. These studies are concerned with imbeddings of separable extensions into Galois extensions (cf. [1], [3], [7], [13] and [18]). The present paper is about splitting rings of some type of separable polynomials in a skew polynomial ring of automorphism type.

Let B be an arbitrary ring with identity element 1, and $R = B[X; \rho]$ a skew polynomial ring $\sum_{i=0}^{\infty} X^i B$ whose multiplication is given by $bX = X\rho(b)$ ($b \in B$) where ρ is an automorphism of B . A monic polynomial $f \in R$ is called to be *separable* if $Rf = fR$ and the factor ring R/fR is separable over B . When this is the case, there holds $X^{n-1}f = fX^{n-1}$ for $n = \deg f$, that is, the coefficients of f are ρ^{n-1} -invariant (see [15, Th. 1(b)] and [16, Lemma 2]). Moreover, $R_{(0)}^{\rho}$ denotes the set of monic polynomials f of R such that $Rf = fR$ and $Xf = fX$. By [5, Lemma 1.1] and [16, Lemma 1], we see that for a monic polynomial $f \in R$ of degree n , f is in $R_{(0)}^{\rho}$ if and only if $Xf = fX$ and $bf = f\rho^n(b)$ for all $b \in B$. Now, let $f = X^n - X^{n-1}a_{n-1} - \dots - Xa_1 - a_0 \in R_{(0)}^{\rho}$. Then $\rho(a_i) = a_i$ and $ba_i = a_i\rho^{n-i}(b)$ for all $b \in B$ ($i = 0, 1, \dots, n-1$). Hence $a_ia_j = a_ja_i$ for each i, j . By C_f , we denote the (commutative) subring of B generated by the coefficients of f . Then $f \in C_f[X] \subset R$, and the factor ring $C_f[X]/C_f[X]f$ is a free C_f -module with a basis $\{1, x, \dots, x^{n-1}\}$ where $x = X + C_f[X]f$. By t , we denote the trace map of $C_f[X]/C_f[X]f$ to C_f . As in [10], by $\delta(f)$, we denote the determinant of the matrix $\|t(x^i x^j)\|$ ($0 \leq i, j \leq n-1$), which will be called the discriminant of f . If $\delta(f)$ is invertible in B then f will be called to be *s-separable*. Clearly $X^n \in R_{(0)}^{\rho}$ ($n > 0$), and X is s-separable. Our s-separability coincides with the $\bar{\rho}$ -separability in S. Ikehata [5]. Moreover, any s-separable polynomial is separable (Cor. 5). The converse holds if $\rho = 1$ (cf. [5, Th. 2.2], [10, Th. 2.1]). As to case $\rho \neq 1$, note that for some R , $R_{(0)}^{\rho}$ contains separable polynomials which are not s-separable (cf. [17, Examples]).

In § 2, we shall present a splitting ring for any s-separable polynomial

f , which is universal with respect to the condition of splitting rings and is a Galois extension of B containing the separable extension R/fR of B . In § 3, we shall study splitting rings of s -separable polynomials in case that B is a (two-sided) simple ring, and we shall prove that any s -separable polynomial has a splitting ring which is simple and is unique up to isomorphism. Moreover, we shall study a decomposition of any s -separable polynomial into irreducible s -separable polynomials.

In what follows, we shall summarize the notations and definitions which will be used very often in the subsequent study.

First, we shall give a notion which is a generalization of $R = B[X; \rho]$. Let X_1, \dots, X_n be indeterminates which are independent. Then, for the semigroup $M = \{X_1^{s_1} \cdots X_n^{s_n}; s_i \geq 0 (i = 1, \dots, n)\}$ ($X_i X_j = X_j X_i$ for all i, j), the skew semigroup ring MB with $by = Y\rho^{deg_Y}(b)$ ($Y \in M, b \in B$) will be denoted by $R_n = B[X_1, \dots, X_n; \rho]$, which is called the skew polynomial ring of X_1, \dots, X_n with respect to ρ . Clearly, the mapping of R_n into itself defined by $Y_s b_s \rightarrow Y_s \rho(b_s)$ is an automorphism, which will be denoted by ρ . Moreover, for any two-sided ideal I of R_n with $\rho(I) = I$, the mapping of the factor ring R_n/I into itself defined by $Y_s b_s + I \rightarrow Y_s \rho(b_s) + I$ is an automorphism, which will be also denoted by ρ . For $g + I = g(X_1, \dots, X_n) + I \in R_n/I$, we write $\rho(g + I) = g^\rho(X_1, \dots, X_n) + I$.

Next, let A/B be any ring extension with the common identity 1, T a subring of A , and G a group of ring automorphisms of A . Then, we shall use the following conventions:

$$T(G) = T^G = \{t \in T; \sigma(t) = t \text{ for all } \sigma \in G\}.$$

$$G(T) = \{\sigma \in G; \sigma(t) = t \text{ for all } t \in T\}.$$

$$G|T = \text{the restriction of } G \text{ to } T.$$

$$\text{Aut}(A/T) = \text{the set of } T\text{-ring automorphisms of } A.$$

$$A \setminus T = \text{the complement of } T \text{ in } A.$$

$$V_A(T) = \text{the centralizer of } T \text{ in } A.$$

$$C(T) = V_T(T) = \text{the center of } T.$$

$$U(A) = \text{the set of invertible elements in } A.$$

If B is a direct summand of A_B (right B -module A) then $U(A) \cap B = U(B)$,

2. Splitting rings of polynomials in $R_{(0)}^\rho$. We shall begin the study with the following

Definition. If a ring extension of B is generated by a subset $E =$

$\{\alpha_1, \dots, \alpha_n\}$ such that $1\alpha_i = \alpha_i$, $\alpha_i\alpha_j = \alpha_j\alpha_i$ and $b\alpha_i = \alpha_i\rho(b)$ for all i, j and $b \in B$ then it will be denoted by $B[E; \rho]$ (or, abbr. $B[E]$). Let f be a polynomial in $R_{(0)}^\rho$ of degree n . If $S = B[E; \rho]$ and $\prod_{\alpha \in E} (X - \alpha) = f$ in $B^\rho[E][X]$ then S will be called a *splitting ring* of f (over B). Moreover, a splitting ring $A = B[x_1, \dots, x_n; \rho]$ of f is said to be *universal* if for any splitting ring $S = B[\alpha_1, \dots, \alpha_n; \rho]$ of f , there exists a B -ring homomorphism of $A \rightarrow S$ mapping x_i into α_i for $i = 1, \dots, n$.

Lemma 1. *Let f be a polynomial in $R_{(0)}^\rho$ of degree n , and $S = B[\alpha_1, \dots, \alpha_n; \rho]$ any splitting ring of f . Then $\{\alpha_1^{m_1} \cdots \alpha_n^{m_n}; 0 \leq m_i \leq n-i (i = 0, 1, \dots, n-1)\}$ is a system of generators of S_B .*

Proof. In case $n = 1$, the assertion is trivial, and whence, let $n \geq 2$. As is easily seen, we have $f_2 = (X - \alpha_2) \cdots (X - \alpha_n) \in B^\rho[\alpha_1][X]$. By induction methods, we have $f_m = (X - \alpha_m) \cdots (X - \alpha_n) \in B^\rho[\alpha_1, \dots, \alpha_{m-1}][X]$ and $B[\alpha_1, \dots, \alpha_{m-1}][\alpha_m] = \sum_{i=0}^{n-m} B[\alpha_1, \dots, \alpha_{m-1}] \alpha_m^i$. From this, one will easily see the assertion.

Now, let $f = X^n - X^{n-1}a_{n-1} - \cdots - Xa_1 - a_0 \in R_{(0)}^\rho$ and $R_n = B[X_1, \dots, X_n; \rho]$. Moreover, for elementary symmetric polynomials s_i of X_1, \dots, X_n ($\deg s_i = i, i = 1, \dots, n$), we set $t_i = a_{n-i} - s_i$ and $N_f = \sum_{i=1}^n t_i R_n$. Then $bt_i = t_i\rho^i(b)$ ($b \in B$) and $t_i X_j = X_j t_i$ ($1 \leq i, j \leq n$). Hence N_f is an ideal of R_n and $\rho(N_f) = N_f$. By R_f , we denote the factor ring R_n/N_f . Under this situation, we shall prove the following

Theorem 2. *Let f be a polynomial in $R_{(0)}^\rho$ of degree n . Then R_f is a universal splitting ring of f . Moreover, for any universal splitting ring $A = B[x_1, \dots, x_n; \rho]$ of f , there holds that*

- (1) *A is B -ring isomorphic to R_f under the map $u(x_1, \dots, x_n) \rightarrow u(X_1, \dots, X_n) + N_f$.*
- (2) *$\{x_1^{m_1} \cdots x_n^{m_n}; 0 \leq m_i \leq n-i (i = 1, \dots, n)\}$ is a free B -basis of A_B .*

Proof. First, we shall show that f has a splitting ring which satisfies the condition (2). In case $\deg f = 1$, the assertion is obvious. Assume that $\deg f > 1$ and the assertion holds for every $g \in R_{(0)}^\rho$ with $\deg g < \deg f$. We set $B[x_1] = B[X_1; \rho]/f(X_1)B[X_1; \rho]$, and $x_1 = X_1 + f(X_1)B[X_1; \rho]$. Obviously

$$f(X) = (X - x_1)g(X) \text{ in } B[x_1][X; \rho].$$

Then, $g(X)$ is monic and $\deg g(X) = n-1$. Moreover, we have

$$(X-x_1)g^\rho(X) = f(X) = (X-x_1)g(X),$$

and for $x_1^m b \in B[x_1]$ ($0 \leq m \leq n-1$, $b \in B$),

$$\begin{aligned} (X-x_1)x_1^m b g(X) &= x_1^m \rho^{-1}(b)(X-x_1)g(X) = x_1^m \rho^{-1}(b)f(X) \\ &= f(X)x_1^m \rho^{n-1}(b) = (X-x_1)g(X)\rho^{n-1}(x_1^m b). \end{aligned}$$

Hence, it follows that $g^\rho(X) = g(X)$ and $ug(X) = g(X)\rho^{n-1}(u)$ ($u \in B[x_1]$). This implies

$$g(X) \in B[x_1][X; \rho]_{\rho}^\rho.$$

Therefore, by our assumption, $g(X)$ has a splitting ring $B[x_1][x_2, \dots, x_n; \rho]$ which is a free $B[x_1]$ -module with a basis

$$\{x_2^{m_2} \cdots x_n^{m_n}; 0 \leq m_i \leq n-i \ (i = 2, \dots, n)\}.$$

Since $u(x_1)x_i = x_i u^\rho(x_1)$ ($i = 2, \dots, n$, $u(x_1) \in B[x_1]$), we have $x_1 x_i = x_i x_1$ and $b x_i = x_i \rho(b)$ ($i = 2, \dots, n$, $b \in B$). Moreover, we have

$$f(X) = (X-x_1)g(X) = (X-x_1)(X-x_2)\cdots(X-x_n).$$

in $B^\rho[V][X]$ where $V = \{x_1, \dots, x_n\}$. Hence $B[V]$ is a splitting ring of $f(X)$. Since $\{x_1^{m_1}; 0 \leq m_1 \leq n-1\}$ is a free B -basis of $B[x_1]_B$,

$$\{x_1^{m_1} \cdots x_n^{m_n}; 0 \leq m_i \leq n-i \ (i = 1, \dots, n)\}$$

is a free B -basis of $B[V]_B$. Now, as is easily seen, the map $\phi: R_n \rightarrow B[V]$ defined by

$$\sum (X_1^{r_1} \cdots X_n^{r_n}) b_r \rightarrow \sum (x_1^{r_1} \cdots x_n^{r_n}) b_r$$

is a B -ring homomorphism. Since $\ker \phi \supset N_f$, ϕ induces a ring homomorphism $\bar{\phi}: R_f \rightarrow B[V]$, and $N_f \cap B = \{0\}$. Moreover, we see that R_f is a splitting ring of $f(X)$. By Lemma 1,

$$\{X_1^{m_1} \cdots X_n^{m_n} + N_f; 0 \leq m_i \leq n-i \ (i = 1, \dots, n)\}$$

is a system of generators of $(R_f)_B$. This implies that $\bar{\phi}$ is an isomorphism. Next, let $A_1 = B[y_1, \dots, y_n; \rho]$ be any universal splitting ring of f . Then, there is a B -ring homomorphism $\psi: A_1 \rightarrow R_f$ mapping y_i into $X_i + N_f$ for $i = 1, \dots, n$. By Lemma 1, one will easily see that ψ is an isomorphism. This completes the proof.

Now, let $f \in R_{\rho}^\rho$, and $B[E; \rho]$ a splitting ring of f . Then $f \in C_f[X]$ and $C_f[E]$ is a splitting ring of f over C_f where C_f is a (commutative)

subring of B generated by the coefficients of f (cf. § 1). Hence, by virtue of [10, Th. 1.2], we obtain the following

Theorem 3. *Let f be a polynomial in $R_{(0)}^o$ of degree n , and $B[\alpha_1, \dots, \alpha_n; \rho]$ any splitting ring of f . Then $\delta(f) = \prod_{i < j} (\alpha_i - \alpha_j)^2$.*

Now, for $f \in R_{(0)}^o$, we consider a universal splitting ring $A = B[x_1, \dots, x_n; \rho]$. Let S_n be the symmetric group of the set $\{1, \dots, n\}$. Then, for every $\pi \in S_n$, we have a B -ring automorphism π^* of A mapping x_i into $x_{\pi(i)}$ for $i = 1, \dots, n$. Obviously, the mapping $(*) : \pi \rightarrow \pi^*$ is a group homomorphism of S_n into the group of B -ring automorphisms of A . In the remaining of this paper, the image of $(*)$ will be denoted by S_V where $V = \{x_1, \dots, x_n\}$. In case $n > 2$, we see that $(*)$ is a monomorphism, that is, $S_n \simeq S_V$ (cf. [10, Remark 1.1]).

Next, let $f \in R_{(0)}^o$, and $T = R/Rf$. Then, f will be called to be *Galois* if T is Galois over B . Moreover, f will be said a *polynomial of Galois type* if T is imbedded in a G -Galois extension N of B with $N(G(T)) = T$. When this is the case, if B is a direct summand of N_B then f is separable by the results of [4, Prop. 3.4] and [8, p.118]. In [14] and [17], we proved that in case $\deg f = 2$, f is s -separable if and only if it is Galois, which is equivalent to that f is of Galois type. Further, in [17] we presented some examples of separable polynomials which are not of Galois type and not s -separable.

Now, we shall prove the following

Theorem 4. *Let f be a polynomial in $R_{(0)}^o$ of degree n , and $A = B[V; \rho]$ ($V = \{x_1, \dots, x_n\}$) be a universal splitting ring of f . Then, the following conditions are equivalent.*

- (a) f is s -separable.
- (b) $A/B[V \setminus W]$ is S_W -Galois for every subset W of V .
- (c) $A/B[V \setminus \{x_1, x_2\}]$ is Galois.
- (d) $x_1 - x_2 \in U(A)$.

Proof. In case $n = 1$, the theorem is trivial, and whence, let $n \geq 2$. First, we shall show that (a) implies (b). If $n = 2$, the assertion follows immediately from the result of [14, Th. 2.5]. Hence, we assume that $n > 2$ and the assertion holds for every $g \in R_{(0)}^o$ with $2 \leq \deg g < n$. Clearly $A = B[x_1][x_2, \dots, x_n]$ is a universal splitting ring of $g = \prod_{i=2}^n (X - x_i) \in B[x_1][X; \rho]$. Since $\delta(f) = \prod_{i < j} (x_i - x_j)^2 \in u(B)$ (Th. 3), we have $\delta(g) =$

$\prod_{1 \leq i < j} (x_i - x_j)^2 \in U(B[x_1])$. This implies that g is s -separable over $B[x_1]$. Hence, by the induction assumption, we see that $A/B[W]$ is $S_{V \setminus W}$ -Galois for every subset W of V containing x_1 , and whence $A(S_V) \subset B[x_1]$. Let $a = \sum_{k=0}^{n-1} x_1^k b_k$ ($b_k \in B$) be an element of $A(S_V)$. Then

$$\sum_{k=0}^{n-1} x_i^k b_k + (b_0 - a) = 0 \text{ for } i = 1, \dots, n.$$

For the adjoint M of the matrix $\|x_i^k\|$ ($0 < i \leq n$, $0 \leq k < n$), we have $M \|x_i^k\| = (\det \|x_i^k\|)I = (\pm \prod_{i < j} (x_i - x_j))I$ where I is the identity matrix of degree n . Then, it follows that $(\prod_{i < j} (x_i - x_j))(b_0 - a) = 0$, and whence $b_0 - a = 0$. This shows $A(S_V) = B$. Clearly, we have

$$\delta(f)^{-1} \prod_{i < j} (x_i - \sigma(x_j))^2 = \delta_{1, \sigma} \quad (\sigma \in S_V)$$

which can be written as $\sum_i u_i \sigma(v_i) (\{u_i\}, \{v_i\} \subset A)$. This gives a S_V -Galois coordinate system for A/B . Hence A/B is S_V -Galois (cf. [8, p.116]). Thus, we obtain (b). The implication (b) \Leftrightarrow (c) is obvious. Assume (c), and set $A_1 = B[V \setminus \{x_1, x_2\}]$. Then, we have $g = (X - x_1)(X - x_2) \in A_1[X; \rho]$ and $A \simeq A_1[X; \rho] / gA_1[X; \rho]$ (A_1 -ring isomorphism with $x_1 \rightarrow X + gA_1[X; \rho]$). Since A is Galois over A_1 , it follows from [14, Th. 2.5] that $\delta(g) = (x_1 - x_2)^2 \in U(A_1)$, which implies (d). Lastly, we assume (d). For any $1 \leq i \leq j \leq n$, we have $x_i - x_j = \pi^*(x_1 - x_2) \in U(A)$ for some $\pi^* \in S_V$. From this and Th. 3, it follows that $\delta(f) = \prod_{i < j} (x_i - x_j)^2 \in B \cap U(A) = U(B)$, and so, f is s -separable. This completes the proof.

As a direct consequence of Th. 4, we obtain the following

Corollary 5. *Any s -separable polynomial in $R_{(0)}^o$ is a separable polynomial of Galois type.*

Next, we shall prove the following theorem which is useful in the subsequent consideration.

Theorem 6. *Let f be an s -separable polynomial in $R_{(0)}^o$ of degree $n \geq 2$, and $A = B[V; \rho]$ a universal splitting ring of f . Then, there exists a 1-1 correspondence between the set of (two-sided) ideals I of A with $\sigma(I) = I$ for all $\sigma \in S_V$ and the set of ideals J of B with $\rho(J) = J$ such that*

$$I = AJ \longleftrightarrow I \cap B = J.$$

Proof. Let $V = \{x_1, \dots, x_n\}$. Then, we have $bx_i = x_i \rho(b)$ for all $b \in B$ ($i = 1, \dots, n$). Now, let J be an ideal of B with $\rho(J) = J$. Clearly $Jx_i =$

$x_i J (i = 1, \dots, n)$. Hence by Th. 2, we have $JA = AJ$ and $\sigma(AJ) = AJ$ for all $\sigma \in S_v$. Moreover, since B is a direct summand of A_B , we have $AJ \cap B = J$. Next, let I be an ideal of A with $\sigma(I) = I$ for all $\sigma \in S_v$, and set $J = I \cap B$. Since $x_1 - x_2 \in U(A)$, it follows that $(x_1 - x_2)^{-1} J (x_1 - x_2) = \rho(J) \subset B \cap I$ and $(x_1 - x_2) J (x_1 - x_2)^{-1} = \rho^{-1}(J) \subset B \cap I$. This implies $\rho(J) = J$. Hence, it suffices to prove that $AJ = I$. Firstly, we consider the case $n = 2$, that is, $A = x_1 B + B$. Let $a = x_1 b_1 + b_0 \in I (b_1, b_0 \in B)$, and $\sigma \neq 1 \in S_v$. Then, we have $\sigma(x_1) = x_2$ and $\sigma(a) - a = (x_2 - x_1)b_1$. Since $x_2 - x_1 \in U(A)$, it follows that $(x_2 - x_1)^{-1}(\sigma(a) - a) = b_1 \in I \cap B = J$, and so, $b_0 \in J$. Thus, we obtain $I = AJ$. Now, we assume that $n > 2$ and the assertion holds for any s -separable polynomial g in $R_{(0)}^s$ with $2 \leq \deg g < n$. We set here $B_1 = B[x_1]$, and $g = (X - x_2) \cdots (X - x_n)$. Then $g \in B_1[X; \rho]$. Moreover, g is s -separable and A is a universal splitting ring of g over B_1 . Hence $A(I \cap B_1) = I$ by our assumption. Next, we shall show $B_1(I \cap B) = I \cap B_1$. Clearly $B_1(I \cap B) \subset I \cap B_1$. Let $a = \sum_{k=0}^{n-1} x_1^k b_k \in I \cap B_1 (b_k \in B)$. Then, for any i , there exists an element $\sigma_i \in S_v$ such that $\sigma_i(x_1) = x_i$. Hence we obtain

$$\sigma_i(a) = \sum_k x_i^k b_k \quad (i = 1, \dots, n)$$

Since the matrix $\|x_i^k\| (i = 1, \dots, n, k = 0, 1, \dots, n-1)$ is invertible in A , it follows that $b_0, \dots, b_{n-1} \in I \cap B$. Hence $B_1(I \cap B) \supseteq a$, and so, $B_1(I \cap B) = I \cap B_1$. Thus, we obtain

$$A(I \cap B) = AB_1(I \cap B) = A(I \cap B_1) = I.$$

This completes the proof.

Corollary 7. *Let f be an s -separable polynomial in $R_{(0)}^s$, and A a universal splitting ring of f .*

- (i) *If B is semisimple then so is A .*
- (ii) *If B is semiprime then so is A .*

Proof. (i). Let $I = \text{Rad}(A)$, the Jacobson radical of A . Then $\sigma(I) = I$ for all $\sigma \in S_v$, and whence $A(I \cap B) = I$ by Th. 6. Since A is Galois over B (Th. 4), there holds that $I \cap B \subset \text{Rad}(B)$. Hence, if B is semisimple (that is, $\text{Rad}(B) = \{0\}$) then $I = \{0\}$, and so, A is semisimple. (ii). Let N be a nilpotent ideal of A . Then $I = \sum_{\sigma \in S_v} \sigma(N)$ is a nilpotent ideal such that $\sigma(I) = I$ for all $\sigma \in S_v$, and $I \cap B$ is a nilpotent ideal of B . Hence, if B is semiprime then $I = A(I \cap B) = \{0\}$ (Th. 6), and whence, A

is semiprime.

3. On splitting rings of s -separable polynomials in $R_{(0)}^o$ over a simple ring. In this section, a simple ring means a two-sided simple ring which is not necessarily Artin. Moreover, B will always mean a simple ring. For $f \in R_{(0)}^o$, a splitting ring of f which is a simple ring will be called a *simple splitting ring* of f . Further, for any splitting ring $B[E; \rho]$ of f , the notation $B[E; \rho]$ will be abbreviated to $B[E]$.

First, we shall prove the following

Lemma 8. *Let f be an s -separable polynomial in $R_{(0)}^o$, and $A = B[V]$ a universal splitting ring of f . Then A is a direct sum of finite number of simple subrings which are ideals of A .*

Proof. Let $\deg f = n$, and $V = \{x_1, \dots, x_n\}$. If $n = 1$ then the assertion is trivial. Hence, we may assume $n \geq 2$. Noting $1 \in A$, by Zorn's lemma, there exists a maximal ideal M of A . If $M = \{0\}$ then our assertion is obvious. Hence, we shall prove the assertion for the case $M \neq \{0\}$. Now, we set $I = \bigcap_{\sigma \in S_V} \sigma(M)$. Then $\sigma(I) = I$ for all $\sigma \in S_V$. Hence we have $I = \{0\}$ by Th. 6. Let $\{M_1, \dots, M_s\}$ be a minimal subset of $\{\sigma(M); \sigma \in S_V\}$ such that $M_1 \cap \dots \cap M_s = \{0\}$. Then, for all $1 \leq i \leq s$, we have $M_i \supset \bigcap_{j \neq i} M_j$, that is, $M_i + \bigcap_{j \neq i} M_j = A$, and whence, there exist elements $u_i \in M_i$ and $v_i \in \bigcap_{j \neq i} M_j$ such that $u_i + v_i = 1$. Then, for any elements $a_1, \dots, a_s \in A$, we have

$$a_1 v_1 + \dots + a_s v_s = a_i \pmod{M_i}$$

Therefore, it follows that A is isomorphic to the (ring) direct sum $A/M_1 \oplus \dots \oplus A/M_s$ by the mapping $a \rightarrow (a + M_1, \dots, a + M_s)$. This shows the assertion.

Corollary 9. *Let f be an s -separable polynomial in $R_{(0)}^o$, and $A = B[V]$ a universal splitting ring of f . Let E be the set of primitive idempotents of $C(A)$. Then $E \neq \emptyset$ and $E = \{\sigma(e); \sigma \in S_V\}$ for each $e \in E$. Moreover $\sum_{e \in E} e = 1$.*

Proof. By Lemma 8, one will easily see that $E \neq \emptyset$. Now, for $e \in E$, let $F = \{\sigma(e); \sigma \in S_V\} = \{e_1, \dots, e_t\}$ where $e_i \neq e_j$ if $i \neq j$. Then, $d = e_1 + \dots + e_t$ is an idempotent of $C(A)$, and $\sigma(d) = d$ for all $\sigma \in S_V$. Since A/B is S_V -Galois and B is simple, it follows that $d = 1$, the identity

element of B and A . Hence $e' = de' \in F$ for all $e' \in E$. This implies $E = F$.

Lemma 10. *Let f be an s -separable polynomial in $R_{(0)}^s$, and $A = B[V]$ a universal splitting ring of f . Let e be a primitive idempotent of $C(A)$, and $H = S_V(e)$. Moreover, let $S_V = \sigma_1 H \cup \cdots \cup \sigma_s H$ ($\sigma_1 = 1$) be the decomposition into right cosets relative to the subgroup H . Then, there holds the following*

- (i) eA is a simple ring, $eB \simeq B$, and A is a direct sum of simple rings $\sigma_i(eA)$, $i = 1, \dots, s$.
- (ii) eA is a $(H|eA)$ -Galois extension of eB .
- (iii) $eA = eB[eV]$ is a splitting ring of the s -separable polynomial ef in $eB[X; \rho|eB]$.

Proof. By Lemma 8, eA is a simple ring. Clearly $eB \simeq B$. We set $\sigma_i(e) = e_i$, $i = 1, \dots, s$. Then, $e_i \neq e_j$ if $i \neq j$. Since $\{e_1 = e, e_2, \dots, e_s\} = \{\sigma(e); \sigma \in S_V\}$, it follows from Cor. 9 that

$$A = e_1 A \oplus \cdots \oplus e_s A.$$

This shows (i). Next, we shall prove (ii). For any $a_1 \in A(H) \cap e_1 A (\supset e_1 B)$, we set $a_i = \sigma_i(a_1)$ ($i = 1, \dots, s$), and $a = a_1 + \cdots + a_s$. Let τ be an arbitrary element of S_V . Then $\{\tau(e_1), \dots, \tau(e_s)\} = \{e_1, \dots, e_s\}$. If $\tau(e_i) = e_1$ then $\tau\sigma_i \in H$, and so $\tau = \eta\sigma_i^{-1}$ for some $\eta \in H$, which implies $\tau(a_i) = \eta\sigma_i^{-1}(a_i) = \eta(a_1) = a_1$. Moreover, if $\tau(e_j) = e_k$ then $\sigma_k^{-1}\tau(e_j) = e_1$, and whence $\sigma_k^{-1}\tau(a_j) = a_1$, which shows $\tau(a_j) = \sigma_k(a_1) = a_k$. Hence we have $\tau(a) = a$. Thus we obtain $a \in A(S_V) = B$, and so, $a_1 = e_1 a \in e_1 B$. Therefore, it follows that $A(H) \cap e_1 A = e_1 B$, that is, $e_1 B = eB$ is the fixing of $H|eA$ in eA . Let $\{u_i, v_i; i = 1, \dots, m\}$ be an S_V -Galois coordinate system for A/B . Then $\sum_i u_i \sigma(v_i) = \delta_{1,\sigma}$ ($\sigma \in S_V$). Hence $\sum_i e u_i \eta(e v_i) = e \delta_{1,\eta}$ for $\eta \in H$. Therefore, eA/eB is a $(H|eA)$ -Galois extension. As to (iii), let C be the center of $A = B[V]$, $V = \{x_1, \dots, x_n\}$, and c an element of C . Then $(x_1 - x_2)c = c(x_1 - x_2) = (x_1 - x_2)\rho(c)$ where this ρ means the extension of ρ to A which has been defined in §1. Since $x_1 - x_2 \in U(A)$, we have $c = \rho(c)$. Hence, it follows that $\rho|C$ is identity, and so, $\rho(e) = e$. This implies that $\rho|eB$ is an automorphism of eB . Since $e b e x_i = e x_i e \rho(b)$ ($i = 1, \dots, n$, $b \in B$), $eA = eB[eV]$ is a splitting ring of ef ($\in eB[X; \rho|eB]$). Clearly $\delta(ef) = \prod_{i < j} (e x_i - e x_j)^2 = e \prod_{i < j} (x_i - x_j)^2 \in U(eA)$. Hence ef is an s -separable polynomial in $eB[X; \rho|eB]$, completing

the proof.

Now, by virtue of Lemma 10, we shall prove the following

Theorem 11. *Let f be an s -separable polynomial in $R_{(0)}^0$. Then, f has a simple splitting ring. If $S = B[E]$ and $T = B[F]$ are simple splitting rings of f then there exists a B -ring isomorphism $\Phi: S \rightarrow T$ with $\Phi(E) = F$, and moreover, S is a G -Galois extension of B for $G = \{\sigma \in \text{Aut}(S/B) : \sigma(E) = E\}$.*

Proof. The first assertion is a direct consequence of Lemma 10(i, iii). Now, let $E = \{\alpha_1, \dots, \alpha_n\}$, $F = \{\beta_1, \dots, \beta_n\}$, and $A = B[V]$ ($V = \{x_1, \dots, x_n\}$) a universal splitting ring of f . Moreover, let e be a primitive idempotent of $C(A)$. Then, by Lemma 10(i), we have

$$A = eA \oplus \sigma_2(e)A \oplus \dots \oplus \sigma_s(e)A$$

for some $\sigma_2, \dots, \sigma_s \in S_v$. Further, we have B -ring homomorphisms

$$\phi: A \rightarrow S \text{ and } \psi: A \rightarrow T$$

where $\phi(x_i) = \alpha_i$ and $\psi(x_i) = \beta_i$ ($i = 1, \dots, n$). Hence, since the $\sigma_i(e)A$ are simple, there exist some σ_h, σ_k ($\sigma_1 = 1$) and ring isomorphisms

$$\mu: \sigma_h(e)A \rightarrow S \text{ and } \nu: \sigma_k(e)A \rightarrow T$$

such that $\mu(\sigma_h(e)b) = b$, $\mu(\sigma_h(e)x_i) = \alpha_i$, $\nu(\sigma_k(e)b) = b$, and $\nu(\sigma_k(e)x_i) = \beta_i$. Then, for $\tau = \sigma_k \sigma_h^{-1}$, we have $\tau(\sigma_h(e)x_i) = \sigma_k(e)\tau(x_i)$ with $\tau(x_i) \in V$ ($i = 1, \dots, n$). Hence $\Phi = \nu \tau \mu^{-1}$ is a B -ring isomorphism of S onto T with $\Phi(E) = F$. Moreover, by Lemma 10(ii), S/B is a Galois extension with a Galois group K whose restriction to E is a permutation group on E . Now, let $G = \{\sigma \in \text{Aut}(S/B) : \sigma(E) = E\}$. Then $K \subset G$, and whence $S(G) = B$. Noting $\prod_{i < j} (\alpha_i - \alpha_j)^2 = \delta(f) \in U(B)$, we see that $\delta(f)^{-1} \prod_{i < j} (\alpha_i - \sigma(\alpha_j))^2 = \delta_{1,\sigma}$ for all $\sigma \in G$. This gives a G -Galois coordinate system for A/B (cf. [8, p.116]). Thus S/B is G -Galois, and $G = K$ by [8, Prop. 2.2].

Corollary 12. *Let f be an s -separable polynomial in $R_{(0)}^0$. Then, any splitting ring of f is isomorphic to a direct sum (of finite number) of simple splitting rings of f , which is a Galois extension of B .*

Proof. Let A be a universal splitting ring of f , and T any splitting ring of f . Then, there exists a B -ring homomorphism of A onto T . Hence, it

follows from Lemma 10 and Th. 11 that T is B -ring isomorphic to a direct sum T^* of simple rings A_i 's such that $A_i = A_t (i = 1, \dots, t)$, and A_1 is a G -Galois extension of B . Now, let G^* be a group of automorphisms σ^* of T^* such that

$$\sigma^* : (a_1, \dots, a_t) \rightarrow (\sigma(a_1), \dots, \sigma(a_t)) \quad (\sigma \in G)$$

and C a cyclic group generated by the automorphism

$$\gamma : (a_1, \dots, a_t) \rightarrow (a_2, a_3, \dots, a_t, a_1).$$

Then $\gamma\sigma^* = \sigma^*\gamma$ for all $\sigma^* \in G^*$. Hence $CG^* = G^*C$, which is a group. Moreover $T^*(CG^*) = B (= \{(b, \dots, b) ; b \in B\})$. Let $\{(u_i, v_i) ; i = 1, \dots, r\}$ be a G -Galois coordinate system for A_1/B , and $e_1 = (1, 0, \dots, 0), \dots, e_t = (0, \dots, 0, 1)$. Then $\sum_{j=1}^t \sum_{i=1}^r (u_i e_j) \tau(v_i e_j) = \delta_{1,\tau}$ for all $\tau \in CG^*$. This implies that T^* is a CG^* -Galois extension of B .

Lemma 13. *Let f be an s -separable polynomial in $R_{(0)}^o$, and $S = B[E]$ a simple splitting ring of f . Then, for any $\alpha \in E$, there holds that $S(G(B[\alpha])) = B[\alpha]$, where $G = \{\sigma \in \text{Aut}(S/B) ; \sigma(E) = E\}$.*

Proof. Let $A = B[V] (V = \{x_1, \dots, x_n\})$ be a universal splitting ring, e a primitive idempotent of $C(A)$, and $H = S_V(e)$. Then, by Lemma 10, eA is a $(H|eA)$ -Galois extension of eB , and $eA = eB[eV]$ is a simple splitting ring of ef . Moreover, $H|eA = \{\tau \in \text{Aut}(eA/eB) ; \tau(eV) = eV\}$. Hence, by Th. 11, there is a B -ring isomorphism ϕ of eA to $B[E]$ such that $\phi(eV) = E$. Without loss of generality, we may assume that $\phi(ex_1) = \alpha \neq 0$. Let $W = V \setminus \{x_1\}$, and $\{\sigma(e) ; \sigma \in S_W\} = \{e_1 = \sigma_1(e) = e, e_2 = \sigma_2(e), \dots, e_t = \sigma_t(e)\}$ where $\sigma_i \in S_W$, and $e_i \neq e_j$ if $i \neq j (i, j = 1, \dots, t)$. Moreover, we set $\varepsilon = e_1 + \dots + e_t$, $\varepsilon' = 1 - \varepsilon$, and $B_1 = B[x_1]$. Clearly

$$\begin{aligned} \sigma(\varepsilon) &= \varepsilon \text{ and } \sigma(\varepsilon') = \varepsilon' \text{ for all } \sigma \in S_W \\ A &= \varepsilon A \oplus \varepsilon' A, \quad \varepsilon A = e_1 A \oplus \dots \oplus e_t A \\ B_1 &= \varepsilon B_1 \oplus \varepsilon' B_1. \end{aligned}$$

Since $A(S_W) = B_1$ (Th. 4), we have $\varepsilon A(S_W) = \varepsilon B_1$. Here, we set

$$H_1 = S_W(e_1), \text{ and } B_0 = e_1 A(H_1).$$

Clearly $B_0 \supset e_1 B_1$. Let $a_1 \in B_0$, and $a = \sum_{i=1}^t \sigma_i(a_1)$. Then by making use of the same methods as in the proof of Lemma 10(ii), we have $a \in \varepsilon A(S_W) = \varepsilon B_1$, which implies $a_1 = e_1 a = e_1 \varepsilon a \in e_1 \varepsilon B_1 = e_1 B_1$. Thus we

obtain $B_0 = e_1 B_1$. Since $H_1 \subset S_V(e_1) = H$ and $H_1 \subset H(e_1 B_1)$, $e_1 B_1 = eB[x_1]$ is the fixring of $H(eB[x_1])$ in eA . Therefore, combining this with the above isomorphism $\phi: eA \rightarrow B[E]$ with $\phi(ex_1) = \alpha$, we obtain $B[E](G(B[\alpha])) = B[\alpha]$.

Next, we shall prove the following

Theorem 14. *Let f be an s -separable polynomial in $R_{(0)}^\rho$, $S = B[E]$ a simple splitting ring of f , and $G = \{\sigma \in \text{Aut}(S/B) ; \sigma(E) = E\}$. Then, for any subset F of E , $B[F]$ is a simple ring, $S(G(B[F])) = B[F]$, and if $F \neq \emptyset$ then $S = B[F] \otimes_K C(S)$ where $K = B[F] \cap C(S)$.*

Proof. Let $E = \{\alpha_1, \dots, \alpha_n\}$ and $C = C(S)$. Since S is simple and $a\alpha_i = \alpha_i\rho(a)$ for all $a \in S$ ($i = 1, \dots, n$), we have $E \subset U(S) \cup \{0\}$, and C is a field. Now, $\alpha \neq 0$ will be an element in E . Then $E \subset \alpha C$ and so $S = B[\alpha]C$. Since $S(G(B[\alpha])) = B[\alpha]$ (Lemma 13), it follows that $P = C \cap B[\alpha]$ is a subfield of C , and C is a $(G(B[\alpha])|C)$ -Galois extension of P . This enables us to see $S = B[\alpha] \otimes_P C$. Hence, if J is a proper ideal of $B[\alpha]$ then JC is also a proper ideal of S . Therefore, it follows that $B[\alpha]$ is a simple ring. Next, let F be a subset of E containing α . Then, noting $F \subset \alpha C$, we have $B[F] = B[\alpha] \otimes_P (B[F] \cap C)$, which is a simple ring. Moreover $S = B[F] \otimes_K C$ ($K = B[F] \cap C$). From this, one will easily see that $S(G(B[F])) = B[F]$.

Lemma 15. *Let $f \in R_{(0)}^\rho$, and $f = gh$ in R . If $g \in R_{(0)}^\rho$ then $h \in R_{(0)}^\rho$. Moreover, $g \in R_{(0)}^\rho$ and f is s -separable if and only if g and h are s -separable and $gR + hR = R$.*

Proof. Let $\deg f = n$, $\deg g = s$, and $g \in R_{(0)}^\rho$. Then $gXh = Xf = fX = ghX$ and $g\rho^s(b)h = bf = f\rho^n(b) = gh\rho^n(b)$ for all $b \in B$. Since g is monic, this enables us to see that $h \in R_{(0)}^\rho$. By Th. 2, g has a universal splitting ring $B[V_1]$. Moreover, h ($\in B[V_1][X; \rho]$) has also a universal splitting ring $B[V_1][V_2]$ over $B[V_1]$. Then $B[V_1 \cup V_2]$ is a splitting ring of f over B . Hence, by Th. 3, $\delta(g)$ is a divisor of $\delta(f)$. Now, we assume that f is s -separable. Since $\delta(f) \in U(B)$, we have $\delta(g) \in U(B)$. Hence g is s -separable. Similarly, h is s -separable. Next, let $\alpha \in V_1$. Then $g(\alpha) = 0$, and $h(\alpha) \in U(B[\alpha])$ by Th. 3. Since R/gR is B -ring isomorphic to $B[\alpha]$ under the map $u(X) + gR \rightarrow u(\alpha)$, it follows that $gR + hR = R$. As to the converse, we assume that g and h are s -separable and $gR + hR = R$.

Then $\delta(g)$ and $\delta(h)$ are in $U(B)$. Moreover, we see that $h(\alpha) \in U(B[\alpha])$ for every $\alpha \in V_1$ and $g(\beta) \in U(B[\beta])$ for every $\beta \in V_2$. Hence we have $\delta(f) \in U(B)$ by Th. 3. Thus f is s -separable. (Cf. Y. Miyashita [9, Th. 1.10].)

Now, a polynomial $f \in R_{(0)}^\rho$ will be called to be *irreducible* in $R_{(0)}^\rho$ if $f = gh$ and $g \in R_{(0)}^\rho$ then there holds always that either $g = 1$ or $h = 1$.

Next, we shall prove the following

Lemma 16. *Let f be an s -separable polynomial in $R_{(0)}^\rho$, $B[E]$ a simple splitting ring of f , and $G = \{\sigma \in \text{Aut}(B[E]/B) ; \sigma(E) = E\}$. Let g be a factor of f in $R_{(0)}^\rho$. Then, g is irreducible in $R_{(0)}^\rho$ if and only if R/Rg is a simple ring. When this is the case, there exists an element α in E such that for $\{\sigma(\alpha) ; \sigma \in G\} = \{\alpha_1 = \alpha, \alpha_2, \dots, \alpha_s\} (\alpha_i \neq \alpha_j \text{ if } i \neq j)$, $\prod_{i=1}^s (X - \alpha_i)$ coincides with g , and $B[\alpha]$ is B -ring isomorphic to R/Rg under the map $u(\alpha) \rightarrow u(X) + Rg$.*

Proof. Let $f = gh$. By Lemma 15, g and h are s -separable. If R/Rg is simple then, one will easily see that g is irreducible in $R_{(0)}^\rho$. To see the converse, we assume that g is irreducible in $R_{(0)}^\rho$. Now, let $B[E_1]$ be a simple splitting ring of g , and $B[E_1][E_2]$ a simple splitting ring of h ($\in B[E_1][X; \rho]$) over $B[E_1]$. Then, $B[E_1 \cup E_2]$ is a splitting ring of f which is a simple ring. By Th. 11, we may assume that $E_1 \cup E_2 = E$. For an element $\alpha \in E_1$, we set $\{\sigma(\alpha) ; \sigma \in G\} = \{\alpha_1 = \alpha, \alpha_2, \dots, \alpha_s\} (\alpha_i \neq \alpha_j \text{ if } i \neq j)$, and $g_1 = \prod_{i=1}^s (X - \alpha_i)$. Then by Th. 11, we have $g_1 \in R$. Moreover, it is easily seen that g_1 is an s -separable polynomial in $R_{(0)}^\rho$, and the set $\{1, \alpha, \dots, \alpha^{s-1}\}$ is B -free. Hence $R/Rg_1 \simeq B[\alpha]$ which is a simple ring by Th. 14. Noting $g_1(\alpha) = 0$ and $g(\alpha) = 0$, it follows that g_1 is a divisor of g . Since g is irreducible in $R_{(0)}^\rho$, we obtain $g = g_1$.

Now, in virtue of Lemma 16, we obtain the following

Theorem 17. *Let f be an s -separable polynomial in $R_{(0)}^\rho$ which is irreducible in $R_{(0)}^\rho$. Then, R/Rf is a simple ring, which is imbedded in a G -Galois extension N of B such that N is a simple ring and $N(G(R/Rf)) = R/Rf$.*

Lemma 18. *Let f be an s -separable polynomial in $R_{(0)}^\rho$, $B[E]$ a simple splitting ring of f , and $G = \{\sigma \in \text{Aut}(B[E]/B) ; \sigma(E) = E\}$. Let $E = E_1 \cup \dots \cup E_s$ be the decomposition of E into non-overlapping transitivity sets*

relative to G , and set $g_i = \prod_{\alpha \in E_i} (X - \alpha)$ ($1 \leq i \leq s$). Then, for any decomposition $f = f_1 \cdots f_t$ into irreducible polynomials in $R_{(0)}^\rho$, there holds that $t = s$, $\{f_1, \dots, f_t\} = \{g_1, \dots, g_s\}$, and $Rf_i + Rf_j = R$ for all $i \neq j$.

Proof. Since $f = \prod_{\alpha \in E} (X - \alpha)$, we have $f = g_1 \cdots g_s$. By Lemma 16, we have that for each $1 \leq i \leq t$, $f_i = g_j$ for some j . From this fact and Lemma 15, our assertion follows immediately.

In virtue of Lemma 15, Th. 17 and Lemma 18, we can prove the following

Theorem 19. *Let f be an s -separable polynomial in $R_{(0)}^\rho$, and $f = f_1 \cdots f_s$ a decomposition of f such that each f_i is irreducible in $R_{(0)}^\rho$. Then, such a decomposition of f is unique, and*

$$R/Rf \simeq R/Rf_1 \oplus \cdots \oplus R/Rf_s$$

where each R/Rf_i is a simple ring extension of B .

Proof. Let $f = f_1 \cdots f_s$ where each f_i is irreducible in $R_{(0)}^\rho$. Then, by the results of Lemma 17 and Lemma 18, it suffices to prove that $R/Rf \simeq R/Rf_1 \oplus \cdots \oplus R/Rf_s$. By Lemma 15, we have $f_i R + f_j R = R$ for all $i \neq j$. Note $f_i f_j = f_j f_i$ and $f_i R = Rf_i$. Then $f_i f_j R \subset f_i R \cap f_j R$, where $i \neq j$. Conversely, for any $g \in f_i R \cap f_j R$, we have $g \in gR = g(f_i R + f_j R) = gf_i R + gf_j R \subset f_i f_j R$. Hence, it follows that $f_i f_j R = f_i R \cap f_j R$. Moreover, for $k \neq i, j$, we have also $f_i f_j R + f_k R = R$ and $f_i f_j f_k R = f_i f_j R \cap f_k R = f_i R \cap f_j R \cap f_k R$. Repeating the same procedures as in the above, we obtain that $\bigcap_{i \neq r} f_i R + f_r R = R$ ($1 \leq r \leq s$) and $\bigcap_{i=1}^s f_i R = fR$. Therefore, by making use of the same methods as in the proof of Lemma 8 (i.e., by the Chinese remainder theorem), we obtain a B -ring isomorphism

$$R/Rf \rightarrow R/Rf_1 \oplus \cdots \oplus R/Rf_s$$

mapping $h + fR$ into $(h + f_1 R, \dots, h + f_s R)$.

Remark 20. As in the theory of fields, we can define an s -separable closure of (s -separable polynomials in) $R_{(0)}^\rho$, and we can prove that there exists an s -separable closure of $R_{(0)}^\rho$ which is a simple ring, and such closures are unique up to isomorphism. Moreover, this closure is an infinite Galois

extension of B , in which we can construct a Galois theory of Krull's type. Further, we can characterize the s-separable polynomials in $R_{(0)}^s$ and the s-separable closure of $R_{(0)}^s$. These results will be detailed in "On splitting rings of separable skew polynomials II" to appear.

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