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PRIMITIVE ELEMENTS OF CYCLIC EXTENSIONS OF COMMUTATIVE RINGS

Dedicated to Professor Hisao Tominaga on his 60th birthday

ISAO KIKUMASA and TAKASI NAGAHARA

Throughout this paper, A will mean a commutative ring with identity element 1 which is an algebra over a finite prime field $GF(p)$, and all ring extensions of A will be assumed with identity element 1, the identity element of A . Moreover, B will mean a Galois extension of A with a cyclic Galois group $G = \langle \sigma \rangle$ generated by σ of order p^n , which will be called a cyclic p^n -extension of A (with a Galois group G). If B is generated by a single element z over A then we say that B/A has a primitive element and z is a primitive element for B/A .

This paper is about the existness of primitive elements for cyclic p^n -extensions. In [2], K. Kishimoto made a study on primitive elements for cyclic 2^2 -extensions. In §1, we shall present a sharpening of [2] and some generalizations. In §2, we shall give some applications and generalizations of the results of §1 to cyclic p^n -extensions with $p \geq 2$ and $n \geq 1$.

In what follows, given a Galois extension S/R with a Galois group G , we shall use the following conventions: For any subring T of S and any subgroup H of G ,

- 1) $\mathfrak{M}(T) = \{M; M \text{ is a maximal ideal of } T\}$,
- 2) $G(T) = \{\sigma \in G; \sigma(a) = a \text{ for all } a \in T\}$,
- 3) $S(H) = \{a \in S; \sigma(a) = a \text{ for all } \sigma \in H\}$,
- 4) $t_H(a) = \sum_{\sigma \in H} \sigma(a)$ for each $a \in T$, which will be called the H -trace of a . Moreover, for any set V and its subset W ,
- 5) $|V| =$ the cardinal number of V ,
- 6) $V \setminus W =$ the complement of W in V .

Now, we shall here consider a cyclic p^n -extension B/A with a Galois group $G = \langle \sigma \rangle$. Then, there exists an element a in B whose G -trace is 1 ([1, Lemma 1.6]). If, in particular, $|G| = p$ then there exists an element b in B such that $\sigma(b) = b + 1$. When this is the case, there holds that $B = A[b]$ and $t_c(b) = 0$ if $p > 2$ ([7, Theorem 1.2]). Such an element b will be called a σ -generator of B/A (cf. [2]). In case $|G| = 2$, an element c in B is a σ -generator of B/A if and only if $t_c(c) = 1$.

1. On primitive elements of cyclic 2^2 -extensions. In this section, we shall discuss the case $p = 2$ and $n = 2$, i. e., $|\langle \sigma \rangle| = 4$. Throughout this section, H will mean a subgroup of G generated by σ^2 , i. e., $H = \langle \sigma^2 \rangle$. Moreover we put $T = B(H)$ and $\sigma|_T = \bar{\sigma}$.

First, we shall prove the following theorem which contains the result of K. Kishimoto [2, Lemma 1].

Theorem 1. *The following conditions are equivalent.*

- (a) *There exists a primitive element for B/A whose G -trace is zero.*
- (b) *There exists an invertible element of T whose $\langle \bar{\sigma} \rangle$ -trace is 1.*

Proof. (a) \Leftrightarrow (b). Let $B = A[z]$ and $t_G(z) = 0$, and set $b = z + \sigma(z)$. Then, we have $\sigma^2(b) = b$. This implies that $b \in T$ and $b + \sigma(b) \in A$. By [4, Theorem 3.3], b and $b + \sigma(b) = z + \sigma^2(z)$ are invertible in B . Hence $x = b(b + \sigma(b))^{-1}$ is an invertible element of T and $t_{\langle \bar{\sigma} \rangle}(x) = 1$.

(b) \Leftrightarrow (a). Let x be an invertible element of T whose $\langle \bar{\sigma} \rangle$ -trace is 1. Then, $\sigma(x) = x + 1$. Hence we have $T = A[x]$ by [7, Theorem 1.2]. Since B is a Galois extension of A , there exists an element y in B such that $t_G(y) = 1$. Put

$$b = x^2 + x \text{ and } z = xy + x\sigma(y) + \sigma(xy + x\sigma(y)).$$

Then, since x is invertible, $\sigma(x) = x + 1$ is also invertible and so is $b = x\sigma(x)$. Moreover, since $t_G(y) = 1$, we have $\sigma^2(z) = z + 1$. Hence $B = T[z]$. Further,

$$\begin{aligned} z + \sigma(z) &= xy + x\sigma(y) + \sigma^2(xy + x\sigma(y)) \\ &= xt_G(y) = x. \end{aligned}$$

Hence we have $\sigma(z) = z + x$. Then we obtain $\sigma(z^2 + z + xb) = z^2 + z + xb$. Therefore, it follows that $c = z^2 + z + xb \in A$, and $x = (z^2 + z + c)b^{-1} \in A[z]$. This implies that $A[z] = A[z, x] = T[z] = B$. Moreover, noting $\sigma(z) = z + x$ and $\sigma(x) = x + 1$, we have $t_G(z) = 0$.

Corollary 2. *Let x be an invertible element of T with $t_{\langle \bar{\sigma} \rangle}(x) = 1$ and y an element of B with $t_G(y) = 1$. Then*

$$z = xy + x\sigma^2(y) + \sigma(y) + \sigma^2(y)$$

is a primitive element for B/A whose G -trace is zero and so is $z + a$ for any $a \in A$. Moreover

$$z_1 = xy + x\sigma^2(y) + \sigma(y) + \sigma^2(y^2) + y + y^2$$

is also an element which has this property.

Proof. The first part is shown in the proof of Theorem 1. Moreover, it is clear that $A[z+a] = A[z] = B$ and $t_c(z+a) = t_c(z) = 0$ for any $a \in A$. Since $t_c(y) = 1$ and

$$\begin{aligned} z + z_1 &= y + \sigma^2(y) + y^2 + \sigma^2(y^2), \\ \sigma(z + z_1) &= (\sigma(y) + \sigma^3(y)) + (\sigma(y^2) + \sigma^3(y^2)) \\ &= (y + \sigma^2(y) + 1) + (y^2 + \sigma^2(y^2) + 1) \\ &= z + z_1. \end{aligned}$$

Hence, $z + z_1$ is in A and $z_1 = z + b$ for some $b \in A$. This shows the last part.

Remark 1. Assume that there is an invertible element x in T whose $\langle \bar{\sigma} \rangle$ -trace is 1. Then, for any element y of B whose G -trace is 1, we set

$$\begin{aligned} b &= x^2 + x, \quad z = xy + x\sigma(y) + \sigma(xy + x\sigma(y)), \quad c = z^2 + z + xb \quad \text{and} \\ f &= (X - z)(X - \sigma(z))(X - \sigma^2(z))(X - \sigma^3(z)). \end{aligned}$$

Then, noting $\sigma(z) = z + x$, we have

$$f = X^4 + (b+1)X^2 + bX + (b^3 + bc + c^2)$$

and $B = A[z] \cong A[X]/(f)$ by [4, Theorems 3.3 and 3.4]. Clearly $\{1, z, z^2, z^3\}$ is a linearly independent A -basis for B .

Next, for the z_1 in Corollary 2, we set $a = z_1 + z (\in A)$, and

$$f_1 = (X - z_1)(X - \sigma(z_1))(X - \sigma^2(z_1))(X - \sigma^3(z_1)).$$

Then

$$f_1 = X^4 + (b+1)X^2 + bX + (b^3 + b(c + a^2 + a) + (c + a^2 + a)^2)$$

and $B = A[z_1] \cong A[X]/(f_1)$. This primitive element z_1 for B/A and the polynomial f_1 are of K. Kishimoto's type in [2, Lemma 1].

Next, we shall present an alternative proof of [2, Lemma 2] which is simple.

Lemma 3 ([K. Kishimoto]). *Assume that B/A has a primitive element. Then, given $M \in \mathfrak{M}(A)$, if $A/M = GF(2)$ then $T/TM = GF(4)$.*

Proof. Let $M \in \mathfrak{M}(A)$ and $A/M = GF(2)$. Moreover, let x and z be primitive elements for T/A and B/A , respectively. Then B/BM is a cyclic 2^2 -extension of A/M with a Galois group $\langle \rho \rangle$ where ρ is the automorphism of B/BM induced by σ . We set $r = x + BM$ and $s = z + BM$ in B/BM . Then $B/BM = GF(2)[s]$ and $(B/BM)\langle \rho^2 \rangle = T/TM = GF(2)[r]$. We shall here assume that $r^2 - r = 0$, i. e., $r^2 = r$. Then, noting $[GF(2)[r] : GF(2)] = 2$, we have $T/TM = GF(2)r \oplus GF(2)(1-r)$. Hence the units of T/TM are only 1. Clearly $s + \rho^2(s) \in T/TM$. By [4, Theorem 3.3], $s + \rho^2(s)$ is a unit in B/BM , and so is in T/TM . Hence $s + \rho^2(s) = 1$, which implies that $t_{\rho^2}(s) = 0$. Thus, by Theorem 1, there exists a unit t in T/TM such that $t + \rho(t) = 1$. For $t = 1$, we have $t + \rho(t) = 0$, and this is a contradiction. Hence $r^2 - r \neq 0$, and so, $r^2 - r = 1$. Since $f = X^2 + X + 1$ is irreducible over $GF(2)$, $GF(4) = GF(2)[X]/(f) \cong GF(2)[r]$.

Now, we define here three sets \mathfrak{M}_0 , \mathfrak{M}_1 and \mathfrak{M}'_1 as follows:

$$\begin{aligned} \mathfrak{M}_0 &= \{M \in \mathfrak{M}(A); TM \in \mathfrak{M}(T)\}, \\ \mathfrak{M}_1 &= \{N \in \mathfrak{M}(T); BN \in \mathfrak{M}(B)\} \quad \text{and} \\ \mathfrak{M}'_1 &= \{N \in \mathfrak{M}(T); N \cap A \in \mathfrak{M}_0\}. \end{aligned}$$

We will often use the sets in the rest of this section.

Lemma 4. (i) *If $N \in \mathfrak{M}(T)$ then $N \cap \sigma(N) = T(N \cap A)$ and*

$$\{N' \in \mathfrak{M}(T); N \cap A \subset N'\} = \{N, \sigma(N)\}.$$

(ii) *For $N \in \mathfrak{M}(T)$, there holds $N \in \mathfrak{M}'_1$ if and only if $\sigma(N) = N$; and hence $N \notin \mathfrak{M}'_1$ if and only if $\sigma(N) \neq N$.*

(iii) $\mathfrak{M}'_1 = \{TM; M \in \mathfrak{M}_0\} \subset \mathfrak{M}_1$.

Proof. (i) Set $N_0 = N \cap \sigma(N)$ and $M_0 = N \cap A$. Then, since

$$\sigma(N_0) = N_0 \text{ and } \sigma(TM_0) = TM_0,$$

T/N_0 and T/TM_0 are Galois extensions over the field A/M_0 of order 2. Hence,

$$[T/N_0 : A/M_0] = [T/TM_0 : A/M_0] = 2.$$

Moreover, since $TM_0 \subset N_0$, we have a natural A/M_0 -homomorphism of T/TM_0 to T/N_0 . Therefore, $T/TM_0 = T/N_0$ and $TM_0 = N_0$. This shows the first equality.

For any $N' \in \{N' \in \mathfrak{M}(T); N \cap A \subset N'\}$,

$$N\sigma(N) \subset N \cap \sigma(N) = T(N \cap A) \subset N'.$$

Thus $N \subset N'$ or $\sigma(N) \subset N'$ because N' is a prime ideal of T . Hence we have $N = N'$ or $\sigma(N) = N'$ by maximality. This implies that

$$\{N' \in \mathfrak{M}(T) : N \cap A \subset N'\} \subset \{N, \sigma(N)\}.$$

The converse inclusion is trivial.

(ii) Let N be an element of $\mathfrak{M}(T)$. Assume that $N \in \mathfrak{M}'_1$. Then, by (i), $N \cap \sigma(N) = T(N \cap A) \in \mathfrak{M}(T)$. Hence, by maximality,

$$N \cap \sigma(N) = N \text{ and } N \cap \sigma(N) = \sigma(N).$$

It follows therefore that $\sigma(N) = N$.

Conversely, assume that $\sigma(N) = N$. Then, by (i),

$$T(N \cap A) = N \cap \sigma(N) = N \in \mathfrak{M}(T).$$

Thus we obtain $N \in \mathfrak{M}'_1$.

(iii) By (i) and (ii), we can easily see that $\mathfrak{M}'_1 = \{TM; M \in \mathfrak{M}_0\}$. Let N be any element of \mathfrak{M}'_1 . Then, $N = TM$ for some $M \in \mathfrak{M}_0$. Since $TM \in \mathfrak{M}(T)$, T/TM is a field. Thus, by [7, Theorem 1.8], B/BM is also a field. Hence

$$BN = B \cdot TM = BM \in \mathfrak{M}(B).$$

This implies that $N \in \mathfrak{M}_1$ and so $\mathfrak{M}'_1 \subset \mathfrak{M}_1$.

Theorem 5. *Assume that $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$ is finite and $T/TM = GF(4)$ for any $M \in \mathfrak{M}(A)$ such that $A/M = GF(2)$. Then, there exists an invertible element y in T with $t_{i\bar{\sigma}}(y) = 1$. Therefore B/A has a primitive element.*

Proof. First, we shall show that there exists an element y in T such that $y + \sigma(y) = 1$ and $y \notin N$ for all $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$. Since $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$ is finite, so is $|\mathfrak{M}(T) \setminus \mathfrak{M}'_1|$. Hence by Lemma 4, we can put

$$\mathfrak{M}(T) \setminus \mathfrak{M}'_1 = \{N_{11}, N_{12}, N_{21}, N_{22}, \dots, N_{t1}, N_{t2}\}$$

where $\sigma(N_{i1}) = N_{i2}$ ($i = 1, 2, \dots, t$) and $N_{j1} \neq N_{i1}, N_{i2}$ for $j \neq i$. Moreover, set

$$M_i = A \cap N_{i1} \quad (i = 1, 2, \dots, t).$$

Then, if $i \neq j$ then $M_i \neq M_j$. Indeed, if $M_i = M_j$ for some $i \neq j$ then

$$N_{i1} \cap A = N_{j1} \cap A \subset N_{j1} \text{ and } \subset N_{i1}.$$

Since $N_{j1} \neq \sigma(N_{i1})$, this is a contradiction by Lemma 4(i). Therefore, for $I = \bigcap_{i=1}^t M_i$, $A/I = A/M_1 \oplus A/M_2 \oplus \dots \oplus A/M_t$. Since all A/M_i are fields, there exists a set of orthogonal idempotents $\{\bar{e}_1, \bar{e}_2, \dots, \bar{e}_t\}$ in A/I such that

$$\bar{1} = \bar{e}_1 + \bar{e}_2 + \dots + \bar{e}_t,$$

$e_i \in A$, $e_i \in M_i$ and $e_j \in M_i$ ($j \neq i$). Moreover, by our assumption, A/M_i is a field such that $A/M_i \neq GF(2)$. Indeed, if $A/M_i = GF(2)$ then $T/TM_i = GF(4)$. This means that $TM_i \in \mathfrak{M}(T)$ and $N_{i1} \in \mathfrak{M}'_1$. This is a contradiction. Hence, there exists an element a_i of A such that $a_i e_i \neq e_i$ and $\neq 0 \pmod{M_i}$. This shows that

$$(a_i^2 + a_i)e_i \neq 0 \pmod{M_i}.$$

Now, for an element x in T with $t_{\bar{\sigma}}(x) = 1$, we define an element y in T as follows:

If $x \in N_{ik}$ for all i and k then $y = x$ (in this case, it is clear that $y + \sigma(y) = 1$ and $y \notin N$ for all $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$).

If $x \in N_{ik}$ for some i and k then, without loss of generality, we may choose an integer s ($1 \leq s \leq t$) such that

$$\begin{aligned} x &\in N_{i1} \text{ or } x \in N_{i2} \text{ if } 1 \leq i \leq s \text{ and} \\ x &\notin N_{j1} \text{ and } x \notin N_{j2} \text{ if } s < j \leq t. \end{aligned}$$

For the s and the above a_i , we put

$$\begin{aligned} a &= a_1 e_1 + a_2 e_2 + \dots + a_s e_s \text{ and} \\ y &= x + a. \end{aligned}$$

Then, since $a \in A$, $y + \sigma(y) = x + \sigma(x) = 1$.

Now we shall show that $y \notin N$ for all $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$. As is easily seen,

$$a^2 + a = (a_1^2 + a_1)e_1 + (a_2^2 + a_2)e_2 + \dots + (a_s^2 + a_s)e_s \pmod{I}.$$

Since $e_j \in M_i$ ($j \neq i$),

$$\begin{aligned} a^2 + a &= (a_i^2 + a_i)e_i \neq 0 \pmod{M_i} \text{ (} 1 \leq i \leq s \text{) and} \\ a^2 + a &= 0 \pmod{M_j} \text{ (} s < j \leq t \text{)}. \end{aligned}$$

It follows that $a^2 + a \notin M_i$ ($1 \leq i \leq s$) and $a^2 + a \in M_j$ ($s < j \leq t$). We

note here that $x\sigma(x)$ is contained in $A = B(\sigma)$ and

$$x\sigma(x) \in N_{i_1}N_{i_2} \subset N_{i_1} \cap N_{i_2} \quad (1 \leq i \leq s).$$

Then

$$x\sigma(x) \in A \cap \left(\bigcap_{i=1}^s (N_{i_1} \cap N_{i_2})\right) = \bigcap_{i=1}^s M_i.$$

Moreover, $y\sigma(y) = x\sigma(x) + a^2 + a$. Hence, we see that

$$y\sigma(y) \notin M_i \quad (1 \leq i \leq s).$$

For j ($s < j \leq t$), x and $\sigma(x)$ are not in N_{j_k} ($k = 1, 2$) by the definition of s . Since N_{j_1} is a prime ideal, $x\sigma(x) \notin N_{j_1}$ and so $x\sigma(x) \notin M_j$. Thus we have

$$y\sigma(y) \notin M_j \quad (s < j \leq t).$$

Therefore, $y \notin N_{i_1}$ and $\sigma(y) \notin N_{i_1}$ ($1 \leq i \leq t$). Since $\sigma(y) \notin N_{i_1}$ means that $y \notin N_{i_2}$, we see that $y \notin N$ for all $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$.

Now, we are in a position to complete the proof. Indeed, it suffices to show that $y \in N$ for all $N \in \mathfrak{M}'_1$. Because if $y \notin N$ for all $N \in \mathfrak{M}(T)$ then y is an invertible element of T . In this case, B/A has a primitive element by Theorem 1.

Let N be any element of \mathfrak{M}'_1 . Then, by Lemma 4, $N = TM$ for some $M \in \mathfrak{M}_0$. Hence, σ induces an automorphism ρ of T/N . Thus, T/N is a Galois extension of $A/(A \cap N)$ with a cyclic Galois group $\langle \rho \rangle$. Since $y + \sigma(y) = 1$, we have $\bar{y} + \rho(\bar{y}) = \bar{1}$ in T/N . Hence $\bar{y} \neq \bar{0}$ and so $y \in N$.

Corollary 6. *Assume that $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$ is finite. Then the following are equivalent.*

- (a) B/A has a primitive element.
- (b) B/A has a primitive element whose G -trace is zero.

Proof. (b) \Leftrightarrow (a) is trivial.

(a) \Leftrightarrow (b). By Lemma 3, $T/TM = GF(4)$ for any $M \in \mathfrak{M}(A)$ such that $A/M = GF(2)$. Hence, by Theorem 1 and Theorem 5, we obtain (b).

The following theorem contains the result of [2, Theorem 3].

Theorem 7. *Assume that $|\{M \in \mathfrak{M}(A); A/M \cong GF(2)\}|$ is finite. Then, the following conditions are equivalent.*

- (a) B/A has a primitive element.

(b) $T/TM = GF(4)$ for any $M \in \mathfrak{M}(A)$ such that $A/M = GF(2)$.

Proof. (a) \Rightarrow (b). It is clear by Lemma 3.

(b) \Rightarrow (a). Let M be an element of $\mathfrak{M}(A)$ such that $A/M = GF(2)$. Then, $TM \in \mathfrak{M}(T)$ because $T/TM = GF(4)$ is a field. Hence we have $M \in \mathfrak{M}_0$. Since $|\{M \in \mathfrak{M}(A); A/M \neq GF(2)\}|$ is finite, so is $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$. Thus, by Theorem 5, B has a primitive element over A .

2. On primitive elements of cyclic p^n -extensions. Set $B_i = B(\sigma^{pi})$ ($i = 0, 1, 2, \dots, n$) and $\mathfrak{M}_i = \{M \in \mathfrak{M}(B_i); B_{i+1}M \in \mathfrak{M}(B_{i+1})\}$ ($i = 0, 1, 2, \dots, n-1$). Then, obviously $B = B_n$ and $A = B_0$. Moreover, B_i is a cyclic p^{i-j} -extension of B_j with a Galois group $\langle \sigma^{pj} | B_i \rangle$.

Theorem 8. Assume that $p = 2$ and $|\mathfrak{M}(B_0) \setminus \mathfrak{M}_0|$ is finite. Then, the following conditions are equivalent.

(a) B_2/B_0 has a primitive element.

(b) B_{k+2}/B_k has a primitive element for any k ($0 \leq k \leq n-2$).

Proof. (a) \Rightarrow (b). We note that B_{k+2} is a cyclic 2^2 -extension of B_k with a Galois group $\langle \sigma^{2k} | B_{k+2} \rangle$. First, we shall show that $|\mathfrak{M}(B_k) \setminus \mathfrak{M}_k|$ is finite for each k ($0 \leq k \leq n-2$) by induction. To prove this, let i be any integer such that $0 \leq i < n-2$ and N an element of $\mathfrak{M}(B_{i+1})$ such that $N \cap B_i \in \mathfrak{M}_i$. Then, by Lemma 4(iii), we have $N \in \mathfrak{M}_{i+1}$. Hence, if $L \in \mathfrak{M}(B_{i+1}) \setminus \mathfrak{M}_{i+1}$ then $L \cap B_i \in \mathfrak{M}(B_i) \setminus \mathfrak{M}_i$. Combining this with Lemma 4(i), we see that if $|\mathfrak{M}(B_i) \setminus \mathfrak{M}_i|$ is finite then $|\mathfrak{M}(B_{i+1}) \setminus \mathfrak{M}_{i+1}|$ is also finite.

Now, we shall show that B_{k+2}/B_k has a primitive element for all k ($0 \leq k \leq n-2$) by induction. We assume that B_{k+2}/B_k has a primitive element for all k ($0 \leq k < n-2$). Then, it is enough to show that $B_{k+1}/N \neq GF(2)$ for any $N \in \mathfrak{M}(B_{k+1})$. Indeed, in this case, we see that B_{k+3}/B_{k-1} has a primitive element by Theorem 5.

Assume that $B_{k+1}/N = GF(2)$ for some $N \in \mathfrak{M}(B_{k+1})$. Then, since

$$B_k/(B_k \cap N) \subset B_{k+1}/N,$$

we obtain $B_k/(B_k \cap N) = GF(2)$. Hence, by Lemma 3, $B_{k+1}/(B_k \cap N)B_{k+1} = GF(4)$, which is a field. Thus, we have $(B_k \cap N)B_{k+1} = N$ by the maximality of $(B_k \cap N)B_{k+1}$. It follows that $B_{k+1}/N = GF(4)$. This is a contradiction.

(b) \Rightarrow (a) is trivial.

Theorem 9. *Assume that $p = 2$ and $|\{M \in \mathfrak{M}(A); A/M \cong GF(2)\}|$ is finite. Then, the following conditions are equivalent.*

- (a) B_2/B_0 has a primitive element.
- (b) B_{k+2}/B_k has a primitive element for any k ($0 \leq k \leq n-2$).

Proof. (a) \Leftrightarrow (b). Let M be an element of $\mathfrak{M}(B_0)$ such that $A/M = GF(2)$. Then, by Theorem 7, $B_1/B_1M = GF(4)$. Hence $B_1M \in \mathfrak{M}(B_1)$ and so $M \in \mathfrak{M}_0$. This implies that

$$\mathfrak{M}(B_0) \setminus \mathfrak{M}_0 \subset \{M \in \mathfrak{M}(A); A/M \cong GF(2)\}.$$

Thus, $|\mathfrak{M}(B_0) \setminus \mathfrak{M}_0|$ is finite. Therefore, we have (b) by Theorem 8.

(b) \Leftrightarrow (a). Trivial.

Corollary 10. *When B/A is in the situation of Theorem 8 or 9, this has a system of generating elements consisting of m elements where $m = n/2$ if n is an even number, and $m = (n+1)/2$ if n is an odd number.*

Proof. The assertion is obvious by Theorems 8 and 9.

Theorem 11. *Assume that $p \geq 2$ and $\mathfrak{M}(A) = \mathfrak{M}_0$. Then, B/A has a primitive element. Moreover, if $x \in B$ with $t_c(x) = 1$ then x is a primitive element for B/A and is invertible.*

Proof. Let M be any element of $\mathfrak{M}(A)$. Then, B/BM is a cyclic p^n -extension with a Galois group $\langle \rho \rangle$ where ρ is the automorphism of B/BM induced by σ . Further, $(B/BM)(\rho^p) = B_1/B_1M$ which is a field. Hence, by [7, Theorem 1.8], B/BM is also a field. We will here denote $b + BM$ ($\in B/BM$) by \bar{b} . For an element x of B satisfying $t_c(x) = 1$, $\rho^i(\bar{x}) \neq \bar{x}$ for any i ($1 \leq i \leq p^n - 1$) since $t_{\rho^i}(\bar{x}) = \bar{1}$. Indeed, assume that $\rho^i(\bar{x}) = \bar{x}$ for some i and put $H = \{ \tau \in \langle \rho \rangle; \tau(\bar{x}) = \bar{x} \}$. Then, H is a subgroup of $\langle \rho \rangle$ and hence $|H| = p^s$ for some integer s ($1 \leq s \leq n$).

Since

$$\langle \rho \rangle = \rho_1 H \cup \rho_2 H \cup \dots \cup \rho_m H \quad (\rho_i \in \langle \rho \rangle; 1 \leq i \leq m)$$

for some integer m , we have $t_{\rho_i H}(\bar{x}) = p^s \rho_i(\bar{x}) = \bar{0}$. Hence, $t_{\langle \rho \rangle}(\bar{x}) = \bar{0}$ which is a contradiction. Therefore, by the Galois theory of fields,

$$B/BM = (A/M)[\bar{x}].$$

This implies that $B = A[x] + BM$. Since M is any maximal ideal of A , we

have $B = A[x]$ by [8, Theorem 9.1].

Next, we shall prove that the x is invertible. For any $M \in \mathfrak{M}(A)$, $\bar{x} \neq \bar{0}$ because $t_{(\rho)}(\bar{x}) = \bar{1}$. Noting that B/BM is a field, we have $(B/BM)\bar{x} = B/BM$. This means that $Bx + BM = B$. Thus, by the same way as in the above, we have $Bx = B$ and so x is invertible.

Remark 2. Let

$$B = GF(3^3) \oplus GF(3^3) \oplus GF(3^3)$$

and τ an automorphism of $GF(3^3)$ of order 3. Moreover, let σ be an automorphism of B defined by

$$\sigma((x_1, x_2, x_3)) = (\tau(x_3), x_1, x_2).$$

Then, by [6, Lemma 1.1], B is a cyclic 3^2 -extension of

$$A = \{(a, a, a); a \in GF(3)\}$$

with a Galois group $\langle \sigma \rangle$. As is seen in [3, p. 555], the following polynomials are irreducible over $GF(3)$:

$$\begin{aligned} f_1 &= X^3 + 2X + 1, \\ f_2 &= X^3 + 2X + 2 \text{ and} \\ f_3 &= X^3 + X^2 + 2. \end{aligned}$$

Clearly, each f_i and f_j ($i \neq j$) are relatively prime. Hence for $g = f_1 f_2 f_3$, we have

$$A[X]/(g) \cong A[X]/(f_1) \oplus A[X]/(f_2) \oplus A[X]/(f_3).$$

Since $A[X]/(f_i) \cong GF(3^3)$ ($i = 1, 2, 3$), it follows that $A[X]/(g) \cong B$. Noting $A[X]/(g) = A[x]$ for $x = X + (g)$, B/A has a primitive element. However, we have

$$B(\sigma^3) = GF(3) \oplus GF(3) \oplus GF(3)$$

which is not a field. Hence Lemma 3 does not hold for $p = 3$. Clearly, in the extension $B(\sigma^3)/A$, $(2, 1, 1)$ is an invertible element whose trace is 1, but there are not invertible σ -generators. Moreover, there are 8 irreducible polynomials of degree 3 in $GF(3)[X]$. On the other hand, the ones of degree 2 in $GF(2)[X]$ are only $X^2 + X + 1$ (cf. [3, pp. 553–555]).

Remark 3. Let B be a cyclic 2^n -extension of $GF(2)$ with a Galois group $\langle \sigma \rangle$, $B_1 = B(\sigma^2)$, and $B_2 = B(\sigma^4)$. If $B_2/GF(2)$ has a primitive element then $B_1 = GF(4)$ by Lemma 3, and whence by [7, Theorem 1.8], B is a field, which has a primitive element over $GF(2)$. However, the converse does not hold. This is seen in the following example. Let

$$B = GF(2^4) \oplus GF(2^4)$$

and τ an automorphism of $GF(2^4)$ of order 4. Then B is a cyclic 2^3 -extension of $A = \{(a, a) ; a \in GF(2)\}$ with a Galois group $\langle \sigma \rangle$ where $\sigma((x_1, x_2)) = (\tau(x_2), x_1)$. Now, as is seen in [3, p. 553], the following polynomials in $GF(2)[X]$ are irreducible over $GF(2)$:

$$\begin{aligned} f_1 &= X^4 + X^3 + 1 \text{ and} \\ f_2 &= X^4 + X^3 + X^2 + X + 1. \end{aligned}$$

Hence, for $g = f_1 f_2$, we have the A -ring isomorphisms

$$A[X]/(g) \cong A[X]/(f_1) \oplus A[X]/(f_2) \cong GF(2^4) \oplus GF(2^4) = B.$$

Let b be an element of B which corresponds to $X+(g)$ under the above isomorphisms. Then b is a primitive element for B/A . However, since B is not a field, $B_2 = B(\sigma^4)$ has no primitive elements over A by the preceding statement. Moreover, it can be easily checked that $t_{i,\sigma_j}(b) = \alpha(1, 1)$ where α is the sum of the coefficients of X^3 in f_1 and f_2 . In this case, $t_{i,\sigma_j}(b) = 0$ because $\alpha = 0$. But if we replace the f_1 by $X^4 + X + 1$, which is irreducible over $GF(2)$, then $\alpha = 1$ and so $t_{i,\sigma_j}(b) = 1$. This shows that B/A has at least two primitive elements, each trace of which is 0 and 1.

Remark 4. Let

$$B = GF(4) \oplus \dots \oplus GF(4)$$

which is the direct sum of 2^3 copies of $GF(4)$. Then B is a cyclic 2^3 -extension of $A = \{(a, a, \dots, a) ; a \in GF(4)\}$ with a Galois group $\langle \sigma \rangle$ where $\sigma((x_1, x_2, \dots, x_8)) = (x_8, x_1, \dots, x_7)$ ($x_i \in GF(4) ; 1 \leq i \leq 8$). We set here $B_1 = B(\sigma^2)$ and $B_2 = B(\sigma^4)$. Then by Theorem 7, B_2/A has a primitive element. Hence by Theorem 9, B/B_1 has a primitive element. However, B/A has no primitive elements. Indeed, if $B = A[x]$ for some x in B then the elements $1, x, \dots, x^7$ are linearly independent over A by [4, Theorems 3.3 and 3.4]; on the other hand, since $a^4 = a$ for all $a \in GF(4)$, there holds $x^4 = x$, which is a contradiction. As is seen in Corollary 10, B is generated

by two elements over A .

Remark 5. Let

$$B = GF(4) \oplus GF(4) \oplus GF(4) \oplus GF(4).$$

Then, B is a cyclic 2^2 -extension of $A = \{(a, a, a, a); a \in GF(4)\}$ with a Galois group $\langle \sigma \rangle$ where $\sigma((x_1, x_2, x_3, x_4)) = (x_4, x_1, x_2, x_3)$ ($x_i \in GF(4)$; $i = 1, 2, 3, 4$). Then, by Theorem 7, B/A has a primitive element. Let $x = (x_1, x_2, x_3, x_4)$ be any primitive element for B/A . If $x_i = x_j$ for some $i < j$ then $x - \sigma^{j-i}(x)$ is not invertible in B , which is a contradiction by [4, Theorem 3.3]. Hence if $1 \leq i \neq j \leq 4$ then $x_i \neq x_j$. It follows therefore that $t_{(\sigma)}(x) = 0$ because $\sum_{a \in GF(4)} a = 0$.

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