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## Primitive elements of cyclic extensions of commutative rings

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## PRIMITIVE ELEMENTS OF CYCLIC EXTENSIONS OF COMMUTATIVE RINGS

Dedicated to Professor Hisao Tominaga on his 60th birthday

ISAO KIKUMASA and TAKASI NAGAHARA

Throughout this paper,  $A$  will mean a commutative ring with identity element 1 which is an algebra over a finite prime field  $GF(p)$ , and all ring extensions of  $A$  will be assumed with identity element 1, the identity element of  $A$ . Moreover,  $B$  will mean a Galois extension of  $A$  with a cyclic Galois group  $G = \langle \sigma \rangle$  generated by  $\sigma$  of order  $p^n$ , which will be called a cyclic  $p^n$ -extension of  $A$  (with a Galois group  $G$ ). If  $B$  is generated by a single element  $z$  over  $A$  then we say that  $B/A$  has a primitive element and  $z$  is a primitive element for  $B/A$ .

This paper is about the existness of primitive elements for cyclic  $p^n$ -extensions. In [2], K. Kishimoto made a study on primitive elements for cyclic  $2^2$ -extensions. In §1, we shall present a sharpening of [2] and some generalizations. In §2, we shall give some applications and generalizations of the results of §1 to cyclic  $p^n$ -extensions with  $p \geq 2$  and  $n \geq 1$ .

In what follows, given a Galois extension  $S/R$  with a Galois group  $G$ , we shall use the following conventions: For any subring  $T$  of  $S$  and any subgroup  $H$  of  $G$ ,

- 1)  $\mathfrak{M}(T) = \{M; M \text{ is a maximal ideal of } T\}$ ,
- 2)  $G(T) = \{\sigma \in G; \sigma(a) = a \text{ for all } a \in T\}$ ,
- 3)  $S(H) = \{a \in S; \sigma(a) = a \text{ for all } \sigma \in H\}$ ,
- 4)  $t_H(a) = \sum_{\sigma \in H} \sigma(a)$  for each  $a \in T$ , which will be called the  $H$ -trace of  $a$ . Moreover, for any set  $V$  and its subset  $W$ ,
- 5)  $|V|$  = the cardinal number of  $V$ ,
- 6)  $V \setminus W$  = the complement of  $W$  in  $V$ .

Now, we shall here consider a cyclic  $p^n$ -extension  $B/A$  with a Galois group  $G = \langle \sigma \rangle$ . Then, there exists an element  $a$  in  $B$  whose  $G$ -trace is 1 ([1, Lemma 1.6]). If, in particular,  $|G| = p$  then there exists an element  $b$  in  $B$  such that  $\sigma(b) = b+1$ . When this is the case, there holds that  $B = A[b]$  and  $t_G(b) = 0$  if  $p > 2$  ([7, Theorem 1.2]). Such an element  $b$  will be called a  $\sigma$ -generator of  $B/A$  (cf. [2]). In case  $|G| = 2$ , an element  $c$  in  $B$  is a  $\sigma$ -generator of  $B/A$  if and only if  $t_G(c) = 1$ .

**1. On primitive elements of cyclic  $2^2$ -extensions.** In this section, we shall discuss the case  $p = 2$  and  $n = 2$ , i. e.,  $|\langle \sigma \rangle| = 4$ . Throughout this section,  $H$  will mean a subgroup of  $G$  generated by  $\sigma^2$ , i. e.,  $H = \langle \sigma^2 \rangle$ . Moreover we put  $T = B(H)$  and  $\sigma|T = \bar{\sigma}$ .

First, we shall prove the following theorem which contains the result of K. Kishimoto [2, Lemma 1].

**Theorem 1.** *The following conditions are equivalent.*

- (a) *There exists a primitive element for  $B/A$  whose  $G$ -trace is zero.*
- (b) *There exists an invertible element of  $T$  whose  $\langle \bar{\sigma} \rangle$ -trace is 1.*

*Proof.* (a)  $\Leftrightarrow$  (b). Let  $B = A[z]$  and  $t_G(z) = 0$ , and set  $b = z + \sigma(z)$ . Then, we have  $\sigma^2(b) = b$ . This implies that  $b \in T$  and  $b + \sigma(b) \in A$ . By [4, Theorem 3.3],  $b$  and  $b + \sigma(b) = z + \sigma^2(z)$  are invertible in  $B$ . Hence  $x = b(b + \sigma(b))^{-1}$  is an invertible element of  $T$  and  $t_{\langle \bar{\sigma} \rangle}(x) = 1$ .

(b)  $\Leftrightarrow$  (a). Let  $x$  be an invertible element of  $T$  whose  $\langle \bar{\sigma} \rangle$ -trace is 1. Then,  $\sigma(x) = x + 1$ . Hence we have  $T = A[x]$  by [7, Theorem 1.2]. Since  $B$  is a Galois extension of  $A$ , there exists an element  $y$  in  $B$  such that  $t_G(y) = 1$ . Put

$$b = x^2 + x \text{ and } z = xy + x\sigma(y) + \sigma(xy + x\sigma(y)).$$

Then, since  $x$  is invertible,  $\sigma(x) = x + 1$  is also invertible and so is  $b = x\sigma(x)$ . Moreover, since  $t_G(y) = 1$ , we have  $\sigma^2(z) = z + 1$ . Hence  $B = T[z]$ . Further,

$$\begin{aligned} z + \sigma(z) &= xy + x\sigma(y) + \sigma^2(xy + x\sigma(y)) \\ &= xt_G(y) = x. \end{aligned}$$

Hence we have  $\sigma(z) = z + x$ . Then we obtain  $\sigma(z^2 + z + xb) = z^2 + z + xb$ . Therefore, it follows that  $c = z^2 + z + xb \in A$ , and  $x = (z^2 + z + c)b^{-1} \in A[z]$ . This implies that  $A[z] = A[z, x] = T[z] = B$ . Moreover, noting  $\sigma(z) = z + x$  and  $\sigma(x) = x + 1$ , we have  $t_G(z) = 0$ .

**Corollary 2.** *Let  $x$  be an invertible element of  $T$  with  $t_{\langle \bar{\sigma} \rangle}(x) = 1$  and  $y$  an element of  $B$  with  $t_G(y) = 1$ . Then*

$$z = xy + x\sigma^2(y) + \sigma(y) + \sigma^2(y)$$

*is a primitive element for  $B/A$  whose  $G$ -trace is zero and so is  $z + a$  for any  $a \in A$ . Moreover*

$$z_1 = xy + x\sigma^2(y) + \sigma(y) + \sigma^2(y^2) + y + y^2$$

is also an element which has this property.

*Proof.* The first part is shown in the proof of Theorem 1. Moreover, it is clear that  $A[z+a] = A[z] = B$  and  $t_c(z+a) = t_c(z) = 0$  for any  $a \in A$ . Since  $t_c(y) = 1$  and

$$\begin{aligned} z + z_1 &= y + \sigma^2(y) + y^2 + \sigma^2(y^2), \\ \sigma(z + z_1) &= (\sigma(y) + \sigma^3(y)) + (\sigma(y^2) + \sigma^3(y^2)) \\ &= (y + \sigma^2(y) + 1) + (y^2 + \sigma^2(y^2) + 1) \\ &= z + z_1. \end{aligned}$$

Hence,  $z + z_1$  is in  $A$  and  $z_1 = z + b$  for some  $b \in A$ . This shows the last part.

**Remark 1.** Assume that there is an invertible element  $x$  in  $T$  whose  $\langle \bar{\sigma} \rangle$ -trace is 1. Then, for any element  $y$  of  $B$  whose  $G$ -trace is 1, we set

$$\begin{aligned} b &= x^2 + x, \quad z = xy + x\sigma(y) + \sigma(xy + x\sigma(y)), \quad c = z^2 + z + xb \quad \text{and} \\ f &= (X - z)(X - \sigma(z))(X - \sigma^2(z))(X - \sigma^3(z)). \end{aligned}$$

Then, noting  $\sigma(z) = z + x$ , we have

$$f = X^4 + (b+1)X^2 + bX + (b^3 + bc + c^2)$$

and  $B = A[z] \cong A[X]/(f)$  by [4, Theorems 3.3 and 3.4]. Clearly  $\{1, z, z^2, z^3\}$  is a linearly independent  $A$ -basis for  $B$ .

Next, for the  $z_1$  in Corollary 2, we set  $a = z_1 + z (\in A)$ , and

$$f_1 = (X - z_1)(X - \sigma(z_1))(X - \sigma^2(z_1))(X - \sigma^3(z_1)).$$

Then

$$f_1 = X^4 + (b+1)X^2 + bX + (b^3 + b(c + a^2 + a) + (c + a^2 + a)^2)$$

and  $B = A[z_1] \cong A[X]/(f_1)$ . This primitive element  $z_1$  for  $B/A$  and the polynomial  $f_1$  are of K. Kishimoto's type in [2, Lemma 1].

Next, we shall present an alternative proof of [2, Lemma 2] which is simple.

**Lemma 3** ([K. Kishimoto]). *Assume that  $B/A$  has a primitive element. Then, given  $M \in \mathfrak{M}(A)$ , if  $A/M = GF(2)$  then  $T/TM = GF(4)$ .*

*Proof.* Let  $M \in \mathfrak{M}(A)$  and  $A/M = GF(2)$ . Moreover, let  $x$  and  $z$  be primitive elements for  $T/A$  and  $B/A$ , respectively. Then  $B/BM$  is a cyclic  $2^2$ -extension of  $A/M$  with a Galois group  $\langle \rho \rangle$  where  $\rho$  is the automorphism of  $B/BM$  induced by  $\sigma$ . We set  $r = x + BM$  and  $s = z + BM$  in  $B/BM$ . Then  $B/BM = GF(2)[s]$  and  $(B/BM)(\rho^2) = T/TM = GF(2)[r]$ . We shall here assume that  $r^2 - r = 0$ , i.e.,  $r^2 = r$ . Then, noting  $[GF(2)[r] : GF(2)] = 2$ , we have  $T/TM = GF(2)r \oplus GF(2)(1-r)$ . Hence the units of  $T/TM$  are only 1. Clearly  $s + \rho^2(s) \in T/TM$ . By [4, Theorem 3.3],  $s + \rho^2(s)$  is a unit in  $B/BM$ , and so is in  $T/TM$ . Hence  $s + \rho^2(s) = 1$ , which implies that  $t_{\rho^2}(s) = 0$ . Thus, by Theorem 1, there exists a unit  $t$  in  $T/TM$  such that  $t + \rho(t) = 1$ . For  $t = 1$ , we have  $t + \rho(t) = 0$ , and this is a contradiction. Hence  $r^2 - r \neq 0$ , and so,  $r^2 - r = 1$ . Since  $f = X^2 + X + 1$  is irreducible over  $GF(2)$ ,  $GF(4) = GF(2)[X]/(f) \cong GF(2)[r]$ .

Now, we define here three sets  $\mathfrak{M}_0$ ,  $\mathfrak{M}_1$  and  $\mathfrak{M}'_1$  as follows:

$$\begin{aligned}\mathfrak{M}_0 &= \{M \in \mathfrak{M}(A); TM \in \mathfrak{M}(T)\}, \\ \mathfrak{M}_1 &= \{N \in \mathfrak{M}(T); BN \in \mathfrak{M}(B)\} \quad \text{and} \\ \mathfrak{M}'_1 &= \{N \in \mathfrak{M}(T); N \cap A \in \mathfrak{M}_0\}.\end{aligned}$$

We will often use the sets in the rest of this section.

**Lemma 4.** (i) If  $N \in \mathfrak{M}(T)$  then  $N \cap \sigma(N) = T(N \cap A)$  and

$$\{N' \in \mathfrak{M}(T); N \cap A \subset N'\} = \{N, \sigma(N)\}.$$

(ii) For  $N \in \mathfrak{M}(T)$ , there holds  $N \in \mathfrak{M}'_1$  if and only if  $\sigma(N) = N$ ; and hence  $N \notin \mathfrak{M}'_1$  if and only if  $\sigma(N) \neq N$ .

(iii)  $\mathfrak{M}'_1 = \{TM; M \in \mathfrak{M}_0\} \subset \mathfrak{M}_1$ .

*Proof.* (i) Set  $N_0 = N \cap \sigma(N)$  and  $M_0 = N \cap A$ . Then, since

$$\sigma(N_0) = N_0 \text{ and } \sigma(TM_0) = TM_0,$$

$T/N_0$  and  $T/TM_0$  are Galois extensions over the field  $A/M_0$  of order 2. Hence,

$$[T/N_0 : A/M_0] = [T/TM_0 : A/M_0] = 2.$$

Moreover, since  $TM_0 \subset N_0$ , we have a natural  $A/M_0$ -homomorphism of  $T/TM_0$  to  $T/N_0$ . Therefore,  $T/TM_0 = T/N_0$  and  $TM_0 = N_0$ . This shows the first equality.

For any  $N' \in \{N' \in \mathfrak{M}(T); N \cap A \subset N'\}$ ,

$$N\sigma(N) \subset N \cap \sigma(N) = T(N \cap A) \subset N'.$$

Thus  $N \subset N'$  or  $\sigma(N) \subset N'$  because  $N'$  is a prime ideal of  $T$ . Hence we have  $N = N'$  or  $\sigma(N) = N'$  by maximality. This implies that

$$\{N' \in \mathfrak{M}(T) : N \cap A \subset N'\} \subset \{N, \sigma(N)\}.$$

The converse inclusion is trivial.

(ii) Let  $N$  be an element of  $\mathfrak{M}(T)$ . Assume that  $N \in \mathfrak{M}'_1$ . Then, by (i),  $N \cap \sigma(N) = T(N \cap A) \in \mathfrak{M}(T)$ . Hence, by maximality,

$$N \cap \sigma(N) = N \text{ and } N \cap \sigma(N) = \sigma(N).$$

It follows therefore that  $\sigma(N) = N$ .

Conversely, assume that  $\sigma(N) = N$ . Then, by (i),

$$T(N \cap A) = N \cap \sigma(N) = N \in \mathfrak{M}(T).$$

Thus we obtain  $N \in \mathfrak{M}'_1$ .

(iii) By (i) and (ii), we can easily see that  $\mathfrak{M}'_1 = \{TM; M \in \mathfrak{M}_0\}$ . Let  $N$  be any element of  $\mathfrak{M}'_1$ . Then,  $N = TM$  for some  $M \in \mathfrak{M}_0$ . Since  $TM \in \mathfrak{M}(T)$ ,  $T/TM$  is a field. Thus, by [7, Theorem 1.8],  $B/BM$  is also a field. Hence

$$BN = B \cdot TM = BM \in \mathfrak{M}(B).$$

This implies that  $N \in \mathfrak{M}_1$  and so  $\mathfrak{M}'_1 \subset \mathfrak{M}_1$ .

**Theorem 5.** Assume that  $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$  is finite and  $T/TM = GF(4)$  for any  $M \in \mathfrak{M}(A)$  such that  $A/M = GF(2)$ . Then, there exists an invertible element  $y$  in  $T$  with  $t_{i,\bar{\sigma}}(y) = 1$ . Therefore  $B/A$  has a primitive element.

*Proof.* First, we shall show that there exists an element  $y$  in  $T$  such that  $y + \sigma(y) = 1$  and  $y \notin N$  for all  $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$ . Since  $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$  is finite, so is  $|\mathfrak{M}(T) \setminus \mathfrak{M}'_1|$ . Hence by Lemma 4, we can put

$$\mathfrak{M}(T) \setminus \mathfrak{M}'_1 = \{N_{11}, N_{12}, N_{21}, N_{22}, \dots, N_{t1}, N_{t2}\}$$

where  $\sigma(N_{i1}) = N_{i2}$  ( $i = 1, 2, \dots, t$ ) and  $N_{j1} \neq N_{i1}, N_{i2}$  for  $j \neq i$ . Moreover, set

$$M_i = A \cap N_{i1} \quad (i = 1, 2, \dots, t).$$

Then, if  $i \neq j$  then  $M_i \neq M_j$ . Indeed, if  $M_i = M_j$  for some  $i \neq j$  then

$$N_{j1} \cap A = N_{j1} \cap A \subset N_{j1} \text{ and } \subset N_{i1}.$$

Since  $N_{j1} \neq \sigma(N_{i1})$ , this is a contradiction by Lemma 4(i). Therefore, for  $I = \bigcap_{i=1}^t M_i$ ,  $A/I = A/M_1 \oplus A/M_2 \oplus \cdots \oplus A/M_t$ . Since all  $A/M_i$  are fields, there exists a set of orthogonal idempotents  $\{\bar{e}_1, \bar{e}_2, \dots, \bar{e}_t\}$  in  $A/I$  such that

$$\bar{1} = \bar{e}_1 + \bar{e}_2 + \cdots + \bar{e}_t,$$

$e_i \in A$ ,  $e_i \notin M_i$  and  $e_j \in M_i$  ( $j \neq i$ ). Moreover, by our assumption,  $A/M_i$  is a field such that  $A/M_i \neq GF(2)$ . Indeed, if  $A/M_i = GF(2)$  then  $T/TM_i = GF(4)$ . This means that  $TM_i \in \mathfrak{M}(T)$  and  $N_{i1} \in \mathfrak{M}'_1$ . This is a contradiction. Hence, there exists an element  $a_i$  of  $A$  such that  $a_i e_i \neq e_i$  and  $\neq 0 \pmod{M_i}$ . This shows that

$$(a_i^2 + a_i)e_i \neq 0 \pmod{M_i}.$$

Now, for an element  $x$  in  $T$  with  $t_{\langle \bar{\sigma} \rangle}(x) = 1$ , we define an element  $y$  in  $T$  as follows:

If  $x \in N_{ik}$  for all  $i$  and  $k$  then  $y = x$  (in this case, it is clear that  $y + \sigma(y) = 1$  and  $y \notin N$  for all  $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$ ).

If  $x \in N_{ik}$  for some  $i$  and  $k$  then, without loss of generality, we may choose an integer  $s$  ( $1 \leq s \leq t$ ) such that

$$\begin{aligned} x &\in N_{i1} \text{ or } x \in N_{i2} \text{ if } 1 \leq i \leq s \text{ and} \\ x &\notin N_{j1} \text{ and } x \notin N_{j2} \text{ if } s < j \leq t. \end{aligned}$$

For the  $s$  and the above  $a_i$ , we put

$$\begin{aligned} a &= a_1 e_1 + a_2 e_2 + \cdots + a_s e_s \text{ and} \\ y &= x + a. \end{aligned}$$

Then, since  $a \in A$ ,  $y + \sigma(y) = x + \sigma(x) = 1$ .

Now we shall show that  $y \notin N$  for all  $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$ . As is easily seen,

$$a^2 + a = (a_1^2 + a_1)e_1 + (a_2^2 + a_2)e_2 + \cdots + (a_s^2 + a_s)e_s \pmod{I}.$$

Since  $e_j \in M_i$  ( $j \neq i$ ),

$$\begin{aligned} a^2 + a &= (a_i^2 + a_i)e_i \neq 0 \pmod{M_i} \quad (1 \leq i \leq s) \text{ and} \\ a^2 + a &= 0 \pmod{M_j} \quad (s < j \leq t). \end{aligned}$$

It follows that  $a^2 + a \notin M_i$  ( $1 \leq i \leq s$ ) and  $a^2 + a \in M_j$  ( $s < j \leq t$ ). We

note here that  $x\sigma(x)$  is contained in  $A = B(\sigma)$  and

$$x\sigma(x) \in N_{i1}N_{i2} \subset N_{i1} \cap N_{i2} \quad (1 \leq i \leq s).$$

Then

$$x\sigma(x) \in A \cap (\bigcap_{i=1}^s (N_{i1} \cap N_{i2})) = \bigcap_{i=1}^s M_i.$$

Moreover,  $y\sigma(y) = x\sigma(x) + a^2 + a$ . Hence, we see that

$$y\sigma(y) \notin M_i \quad (1 \leq i \leq s).$$

For  $j$  ( $s < j \leq t$ ),  $x$  and  $\sigma(x)$  are not in  $N_{jk}$  ( $k = 1, 2$ ) by the definition of  $s$ . Since  $N_{j1}$  is a prime ideal,  $x\sigma(x) \notin N_{j1}$  and so  $x\sigma(x) \notin M_j$ . Thus we have

$$y\sigma(y) \notin M_j \quad (s < j \leq t).$$

Therefore,  $y \notin N_{i1}$  and  $\sigma(y) \notin N_{i1}$  ( $1 \leq i \leq t$ ). Since  $\sigma(y) \notin N_{i1}$  means that  $y \notin N_{i2}$ , we see that  $y \notin N$  for all  $N \in \mathfrak{M}(T) \setminus \mathfrak{M}'_1$ .

Now, we are in a position to complete the proof. Indeed, it suffices to show that  $y \notin N$  for all  $N \in \mathfrak{M}'_1$ . Because if  $y \notin N$  for all  $N \in \mathfrak{M}(T)$  then  $y$  is an invertible element of  $T$ . In this case,  $B/A$  has a primitive element by Theorem 1.

Let  $N$  be any element of  $\mathfrak{M}'_1$ . Then, by Lemma 4,  $N = TM$  for some  $M \in \mathfrak{M}_0$ . Hence,  $\sigma$  induces an automorphism  $\rho$  of  $T/N$ . Thus,  $T/N$  is a Galois extension of  $A/(A \cap N)$  with a cyclic Galois group  $\langle \rho \rangle$ . Since  $y + \sigma(y) = 1$ , we have  $\bar{y} + \rho(\bar{y}) = \bar{1}$  in  $T/N$ . Hence  $\bar{y} \neq \bar{0}$  and so  $y \notin N$ .

**Corollary 6.** Assume that  $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$  is finite. Then the following are equivalent.

- (a)  $B/A$  has a primitive element.
- (b)  $B/A$  has a primitive element whose  $G$ -trace is zero.

*Proof.* (b)  $\Rightarrow$  (a) is trivial.

(a)  $\Rightarrow$  (b). By Lemma 3,  $T/TM = GF(4)$  for any  $M \in \mathfrak{M}(A)$  such that  $A/M = GF(2)$ . Hence, by Theorem 1 and Theorem 5, we obtain (b).

The following theorem contains the result of [2, Theorem 3].

**Theorem 7.** Assume that  $|\{M \in \mathfrak{M}(A); A/M \neq GF(2)\}|$  is finite. Then, the following conditions are equivalent.

- (a)  $B/A$  has a primitive element.



(b)  $T/TM = GF(4)$  for any  $M \in \mathfrak{M}(A)$  such that  $A/M = GF(2)$ .

*Proof.* (a)  $\Rightarrow$  (b). It is clear by Lemma 3.

(b)  $\Rightarrow$  (a). Let  $M$  be an element of  $\mathfrak{M}(A)$  such that  $A/M = GF(2)$ . Then,  $TM \in \mathfrak{M}(T)$  because  $T/TM = GF(4)$  is a field. Hence we have  $M \in \mathfrak{M}_0$ . Since  $|\{M \in \mathfrak{M}(A); A/M \neq GF(2)\}|$  is finite, so is  $|\mathfrak{M}(A) \setminus \mathfrak{M}_0|$ . Thus, by Theorem 5,  $B$  has a primitive element over  $A$ .

**2. On primitive elements of cyclic  $p^n$ -extensions.** Set  $B_i = B(\sigma^{p^i})$  ( $i = 0, 1, 2, \dots, n$ ) and  $\mathfrak{M}_i = \{M \in \mathfrak{M}(B_i); B_{i+1}M \in \mathfrak{M}(B_{i+1})\}$  ( $i = 0, 1, 2, \dots, n-1$ ). Then, obviously  $B = B_n$  and  $A = B_0$ . Moreover,  $B_i$  is a cyclic  $p^{i-j}$ -extension of  $B_j$  with a Galois group  $\langle \sigma^{p^j} | B_i \rangle$ .

**Theorem 8.** Assume that  $p = 2$  and  $|\mathfrak{M}(B_0) \setminus \mathfrak{M}_0|$  is finite. Then, the following conditions are equivalent.

(a)  $B_2/B_0$  has a primitive element.

(b)  $B_{k+2}/B_k$  has a primitive element for any  $k$  ( $0 \leq k \leq n-2$ ).

*Proof.* (a)  $\Rightarrow$  (b). We note that  $B_{k+2}$  is a cyclic  $2^2$ -extension of  $B_k$  with a Galois group  $\langle \sigma^{2^k} | B_{k+2} \rangle$ . First, we shall show that  $|\mathfrak{M}(B_k) \setminus \mathfrak{M}_k|$  is finite for each  $k$  ( $0 \leq k \leq n-2$ ) by induction. To prove this, let  $i$  be any integer such that  $0 \leq i < n-2$  and  $N$  an element of  $\mathfrak{M}(B_{i+1})$  such that  $N \cap B_i \in \mathfrak{M}_i$ . Then, by Lemma 4(iii), we have  $N \in \mathfrak{M}_{i+1}$ . Hence, if  $L \in \mathfrak{M}(B_{i+1}) \setminus \mathfrak{M}_{i+1}$  then  $L \cap B_i \in \mathfrak{M}(B_i) \setminus \mathfrak{M}_i$ . Combining this with Lemma 4(i), we see that if  $|\mathfrak{M}(B_i) \setminus \mathfrak{M}_i|$  is finite then  $|\mathfrak{M}(B_{i+1}) \setminus \mathfrak{M}_{i+1}|$  is also finite.

Now, we shall show that  $B_{k+2}/B_k$  has a primitive element for all  $k$  ( $0 \leq k \leq n-2$ ) by induction. We assume that  $B_{k+2}/B_k$  has a primitive element for all  $k$  ( $0 \leq k < n-2$ ). Then, it is enough to show that  $B_{k+1}/N \neq GF(2)$  for any  $N \in \mathfrak{M}(B_{k+1})$ . Indeed, in this case, we see that  $B_{k+3}/B_{k-1}$  has a primitive element by Theorem 5.

Assume that  $B_{k+1}/N = GF(2)$  for some  $N \in \mathfrak{M}(B_{k+1})$ . Then, since

$$B_k/(B_k \cap N) \subset B_{k+1}/N,$$

we obtain  $B_k/(B_k \cap N) = GF(2)$ . Hence, by Lemma 3,  $B_{k+1}/(B_k \cap N)B_{k+1} = GF(4)$ , which is a field. Thus, we have  $(B_k \cap N)B_{k+1} = N$  by the maximality of  $(B_k \cap N)B_{k+1}$ . It follows that  $B_{k+1}/N = GF(4)$ . This is a contradiction.

(b)  $\Rightarrow$  (a) is trivial.

**Theorem 9.** Assume that  $p = 2$  and  $||M \in \mathfrak{M}(A); A/M \neq GF(2)||$  is finite. Then, the following conditions are equivalent.

- (a)  $B_2/B_0$  has a primitive element.
- (b)  $B_{k+2}/B_k$  has a primitive element for any  $k$  ( $0 \leq k \leq n-2$ ).

*Proof.* (a)  $\Leftrightarrow$  (b). Let  $M$  be an element of  $\mathfrak{M}(B_0)$  such that  $A/M = GF(2)$ . Then, by Theorem 7,  $B_1/B_1M = GF(4)$ . Hence  $B_1M \in \mathfrak{M}(B_1)$  and so  $M \in \mathfrak{M}_0$ . This implies that

$$\mathfrak{M}(B_0) \setminus \mathfrak{M}_0 \subset \{M \in \mathfrak{M}(A); A/M \neq GF(2)\}.$$

Thus,  $|\mathfrak{M}(B_0) \setminus \mathfrak{M}_0|$  is finite. Therefore, we have (b) by Theorem 8.

(b)  $\Leftrightarrow$  (a). Trivial.

**Corollary 10.** When  $B/A$  is in the situation of Theorem 8 or 9, this has a system of generating elements consisting of  $m$  elements where  $m = n/2$  if  $n$  is an even number, and  $m = (n+1)/2$  if  $n$  is an odd number.

*Proof.* The assertion is obvious by Theorems 8 and 9.

**Theorem 11.** Assume that  $p \geq 2$  and  $\mathfrak{M}(A) = \mathfrak{M}_0$ . Then,  $B/A$  has a primitive element. Moreover, if  $x \in B$  with  $t_c(x) = 1$  then  $x$  is a primitive element for  $B/A$  and is invertible.

*Proof.* Let  $M$  be any element of  $\mathfrak{M}(A)$ . Then,  $B/BM$  is a cyclic  $p^n$ -extension with a Galois group  $\langle \rho \rangle$  where  $\rho$  is the automorphism of  $B/BM$  induced by  $\sigma$ . Further,  $(B/BM)(\rho^p) = B_1/B_1M$  which is a field. Hence, by [7, Theorem 1.8],  $B/BM$  is also a field. We will here denote  $b + BM$  ( $\in B/BM$ ) by  $\bar{b}$ . For an element  $x$  of  $B$  satisfying  $t_c(x) = 1$ ,  $\rho^i(\bar{x}) \neq \bar{x}$  for any  $i$  ( $1 \leq i \leq p^n - 1$ ) since  $t_{\rho^i}(\bar{x}) = \bar{1}$ . Indeed, assume that  $\rho^i(\bar{x}) = \bar{x}$  for some  $i$  and put  $H = \{\tau \in \langle \rho \rangle; \tau(\bar{x}) = \bar{x}\}$ . Then,  $H$  is a subgroup of  $\langle \rho \rangle$  and hence  $|H| = p^s$  for some integer  $s$  ( $1 \leq s \leq n$ ).

Since

$$\langle \rho \rangle = \rho_1 H \cup \rho_2 H \cup \dots \cup \rho_m H \quad (\rho_i \in \langle \rho \rangle; 1 \leq i \leq m)$$

for some integer  $m$ , we have  $t_{\rho_i H}(\bar{x}) = p^s \rho_i(\bar{x}) = \bar{0}$ . Hence,  $t_{\langle \rho \rangle}(\bar{x}) = \bar{0}$  which is a contradiction. Therefore, by the Galois theory of fields,

$$B/BM = (A/M)[\bar{x}].$$

This implies that  $B = A[x] + BM$ . Since  $M$  is any maximal ideal of  $A$ , we

have  $B = A[x]$  by [8, Theorem 9.1].

Next, we shall prove that the  $x$  is invertible. For any  $M \in \mathfrak{M}(A)$ ,  $\bar{x} \neq \bar{0}$  because  $t_{\langle \sigma \rangle}(\bar{x}) = \bar{1}$ . Noting that  $B/BM$  is a field, we have  $(B/BM)\bar{x} = B/BM$ . This means that  $Bx + BM = B$ . Thus, by the same way as in the above, we have  $Bx = B$  and so  $x$  is invertible.

**Remark 2.** Let

$$B = GF(3^3) \oplus GF(3^3) \oplus GF(3^3)$$

and  $\tau$  an automorphism of  $GF(3^3)$  of order 3. Moreover, let  $\sigma$  be an automorphism of  $B$  defined by

$$\sigma((x_1, x_2, x_3)) = (\tau(x_3), x_1, x_2).$$

Then, by [6, Lemma 1.1],  $B$  is a cyclic  $3^2$ -extension of

$$A = \{(a, a, a); a \in GF(3)\}$$

with a Galois group  $\langle \sigma \rangle$ . As is seen in [3, p. 555], the following polynomials are irreducible over  $GF(3)$ :

$$\begin{aligned} f_1 &= X^3 + 2X + 1, \\ f_2 &= X^3 + 2X + 2 \text{ and} \\ f_3 &= X^3 + X^2 + 2. \end{aligned}$$

Clearly, each  $f_i$  and  $f_j$  ( $i \neq j$ ) are relatively prime. Hence for  $g = f_1 f_2 f_3$ , we have

$$A[X]/(g) \cong A[X]/(f_1) \oplus A[X]/(f_2) \oplus A[X]/(f_3).$$

Since  $A[X]/(f_i) \cong GF(3^3)$  ( $i = 1, 2, 3$ ), it follows that  $A[X]/(g) \cong B$ . Noting  $A[X]/(g) = A[x]$  for  $x = X + (g)$ ,  $B/A$  has a primitive element. However, we have

$$B(\sigma^3) = GF(3) \oplus GF(3) \oplus GF(3)$$

which is not a field. Hence Lemma 3 does not hold for  $p = 3$ . Clearly, in the extension  $B(\sigma^3)/A$ ,  $(2, 1, 1)$  is an invertible element whose trace is 1, but there are not invertible  $\sigma$ -generators. Moreover, there are 8 irreducible polynomials of degree 3 in  $GF(3)[X]$ . On the other hand, the ones of degree 2 in  $GF(2)[X]$  are only  $X^2 + X + 1$  (cf. [3, pp. 553–555]).

**Remark 3.** Let  $B$  be a cyclic  $2^n$ -extension of  $GF(2)$  with a Galois group  $\langle \sigma \rangle$ ,  $B_1 = B(\sigma^2)$ , and  $B_2 = B(\sigma^4)$ . If  $B_2/GF(2)$  has a primitive element then  $B_1 = GF(4)$  by Lemma 3, and whence by [7, Theorem 1.8],  $B$  is a field, which has a primitive element over  $GF(2)$ . However, the converse does not hold. This is seen in the following example. Let

$$B = GF(2^4) \oplus GF(2^4)$$

and  $\tau$  an automorphism of  $GF(2^4)$  of order 4. Then  $B$  is a cyclic  $2^3$ -extension of  $A = \{(a, a) ; a \in GF(2)\}$  with a Galois group  $\langle \sigma \rangle$  where  $\sigma((x_1, x_2)) = (\tau(x_2), x_1)$ . Now, as is seen in [3, p. 553], the following polynomials in  $GF(2)[X]$  are irreducible over  $GF(2)$ :

$$\begin{aligned} f_1 &= X^4 + X^3 + 1 \text{ and} \\ f_2 &= X^4 + X^3 + X^2 + X + 1. \end{aligned}$$

Hence, for  $g = f_1 f_2$ , we have the  $A$ -ring isomorphisms

$$A[X]/(g) \cong A[X]/(f_1) \oplus A[X]/(f_2) \cong GF(2^4) \oplus GF(2^4) = B.$$

Let  $b$  be an element of  $B$  which corresponds to  $X+(g)$  under the above isomorphisms. Then  $b$  is a primitive element for  $B/A$ . However, since  $B$  is not a field,  $B_2 = B(\sigma^4)$  has no primitive elements over  $A$  by the preceding statement. Moreover, it can be easily checked that  $t_{\langle \sigma \rangle}(b) = \alpha(1, 1)$  where  $\alpha$  is the sum of the coefficients of  $X^3$  in  $f_1$  and  $f_2$ . In this case,  $t_{\langle \sigma \rangle}(b) = 0$  because  $\alpha = 0$ . But if we replace the  $f_1$  by  $X^4 + X + 1$ , which is irreducible over  $GF(2)$ , then  $\alpha = 1$  and so  $t_{\langle \sigma \rangle}(b) = 1$ . This shows that  $B/A$  has at least two primitive elements, each trace of which is 0 and 1.

**Remark 4.** Let

$$B = GF(4) \oplus \dots \oplus GF(4)$$

which is the direct sum of  $2^3$  copies of  $GF(4)$ . Then  $B$  is a cyclic  $2^3$ -extension of  $A = \{(a, a, \dots, a) ; a \in GF(4)\}$  with a Galois group  $\langle \sigma \rangle$  where  $\sigma((x_1, x_2, \dots, x_8)) = (x_8, x_1, \dots, x_7)$  ( $x_i \in GF(4)$ ;  $1 \leq i \leq 8$ ). We set here  $B_1 = B(\sigma^2)$  and  $B_2 = B(\sigma^4)$ . Then by Theorem 7,  $B_2/A$  has a primitive element. Hence by Theorem 9,  $B/B_1$  has a primitive element. However,  $B/A$  has no primitive elements. Indeed, if  $B = A[x]$  for some  $x$  in  $B$  then the elements  $1, x, \dots, x^7$  are linearly independent over  $A$  by [4, Theorems 3.3 and 3.4]; on the other hand, since  $a^4 = a$  for all  $a \in GF(4)$ , there holds  $x^4 = x$ , which is a contradiction. As is seen in Corollary 10,  $B$  is generated

by two elements over  $A$ .

**Remark 5.** Let

$$B = GF(4) \oplus GF(4) \oplus GF(4) \oplus GF(4).$$

Then,  $B$  is a cyclic  $2^2$ -extension of  $A = \{(a, a, a, a); a \in GF(4)\}$  with a Galois group  $\langle \sigma \rangle$  where  $\sigma((x_1, x_2, x_3, x_4)) = (x_4, x_1, x_2, x_3)$  ( $x_i \in GF(4)$ ;  $i = 1, 2, 3, 4$ ). Then, by Theorem 7,  $B/A$  has a primitive element. Let  $x = (x_1, x_2, x_3, x_4)$  be any primitive element for  $B/A$ . If  $x_i = x_j$  for some  $i < j$  then  $x - \sigma^{j-i}(x)$  is not invertible in  $B$ , which is a contradiction by [4, Theorem 3.3]. Hence if  $1 \leq i \neq j \leq 4$  then  $x_i \neq x_j$ . It follows therefore that  $t_{(\sigma)}(x) = 0$  because  $\sum_{a \in GF(4)} a = 0$ .

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